



# From T2K to Hyper-K

David Hadley, University of Warwick  
RAL Seminar, February 2018



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# Outline

Long baseline neutrino oscillation at T2K

Hyper-K Detector

Systematic uncertainty challenges and solutions

# Neutrino Oscillations

Weak flavour eigenstates  $\neq$  Mass eigenstates

Neutrinos produced and detected in their weak flavour states

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{MNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Unitary PMNS mixing matrix  
parameterised with 3 angles  
and **CP violating phase**  
 $\theta_{ij}, \delta_{\text{CP}}$

Relative phase difference between due to mass difference,  $\Delta m^2$

Appearance probability:

$$P_{\mu \rightarrow e} \approx \sin^2 \theta_{23} \cdot \sin^2 2\theta_{13} \cdot \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$

+ higher order terms involving  $\delta_{\text{CP}}$

# Neutrino Oscillations

## T2K



©Yuki A., higgstan.com



**J-PARC-chan**  
lives in Tokai-mura, Naka-gun, Ibaraki, Japan.

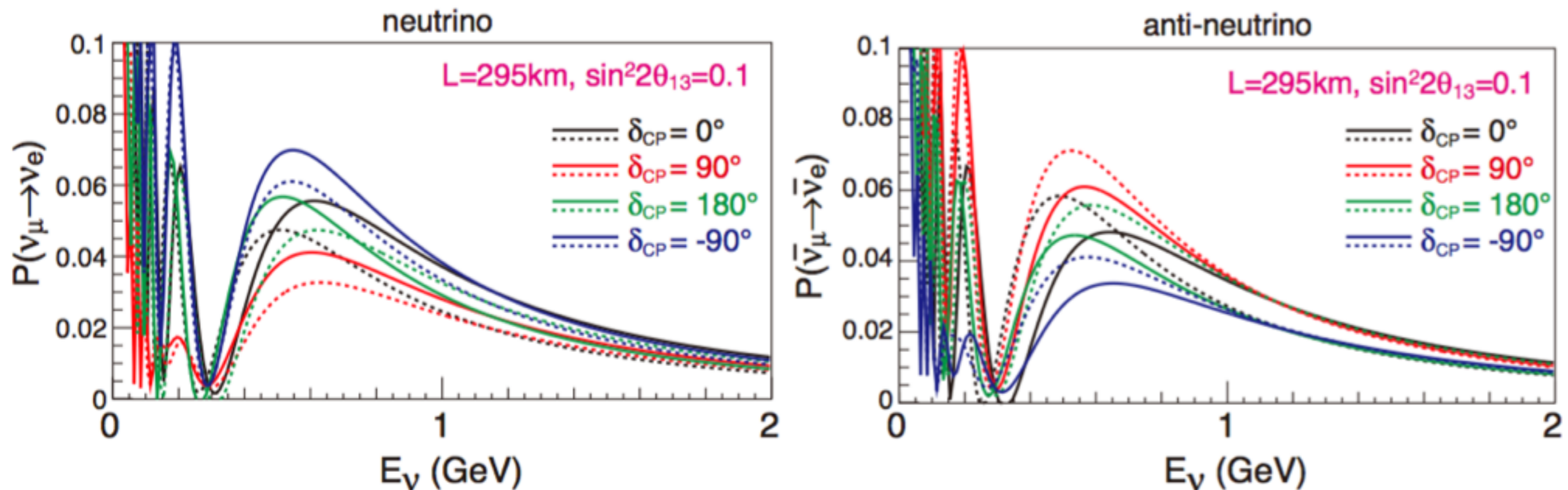


**Super-Kamiokande-chan**  
lives in Kamioka-cho, Hida-city, Gifu, Japan.



# Neutrino Oscillations

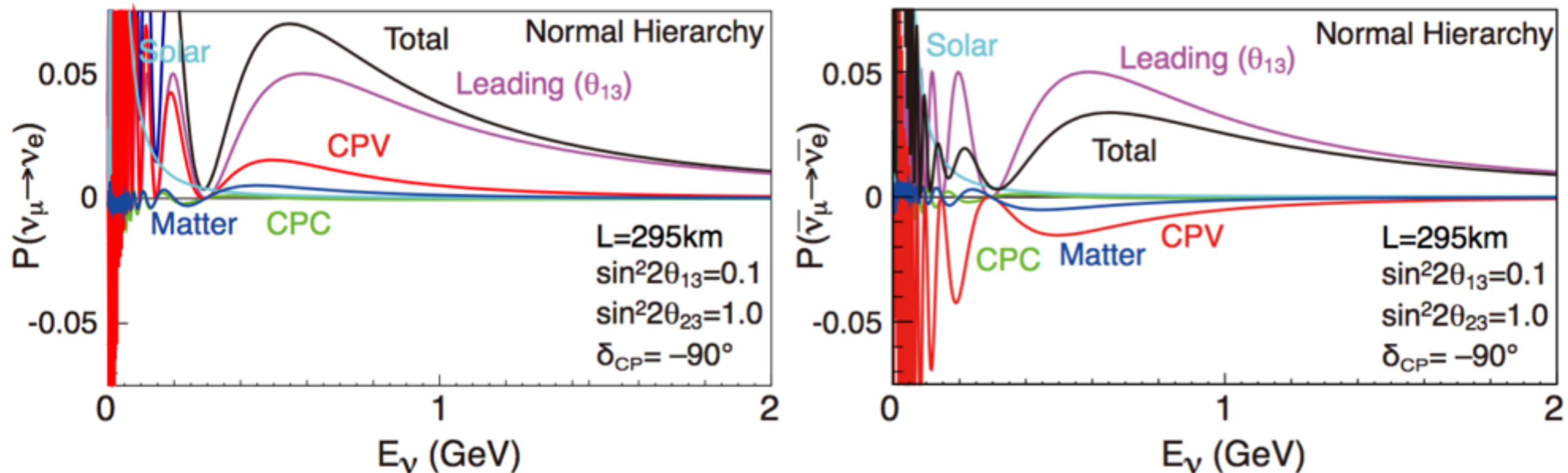
Typically perform experiment at fixed  $L$  with wide range of  $E$



CP violation  $\sim 20\%$  effect at 1st oscillation maximum  
Much larger effect at 2nd oscillation maximum

# Neutrino Oscillations

Typically perform experiment at fixed  $L$  with wide range of  $E$

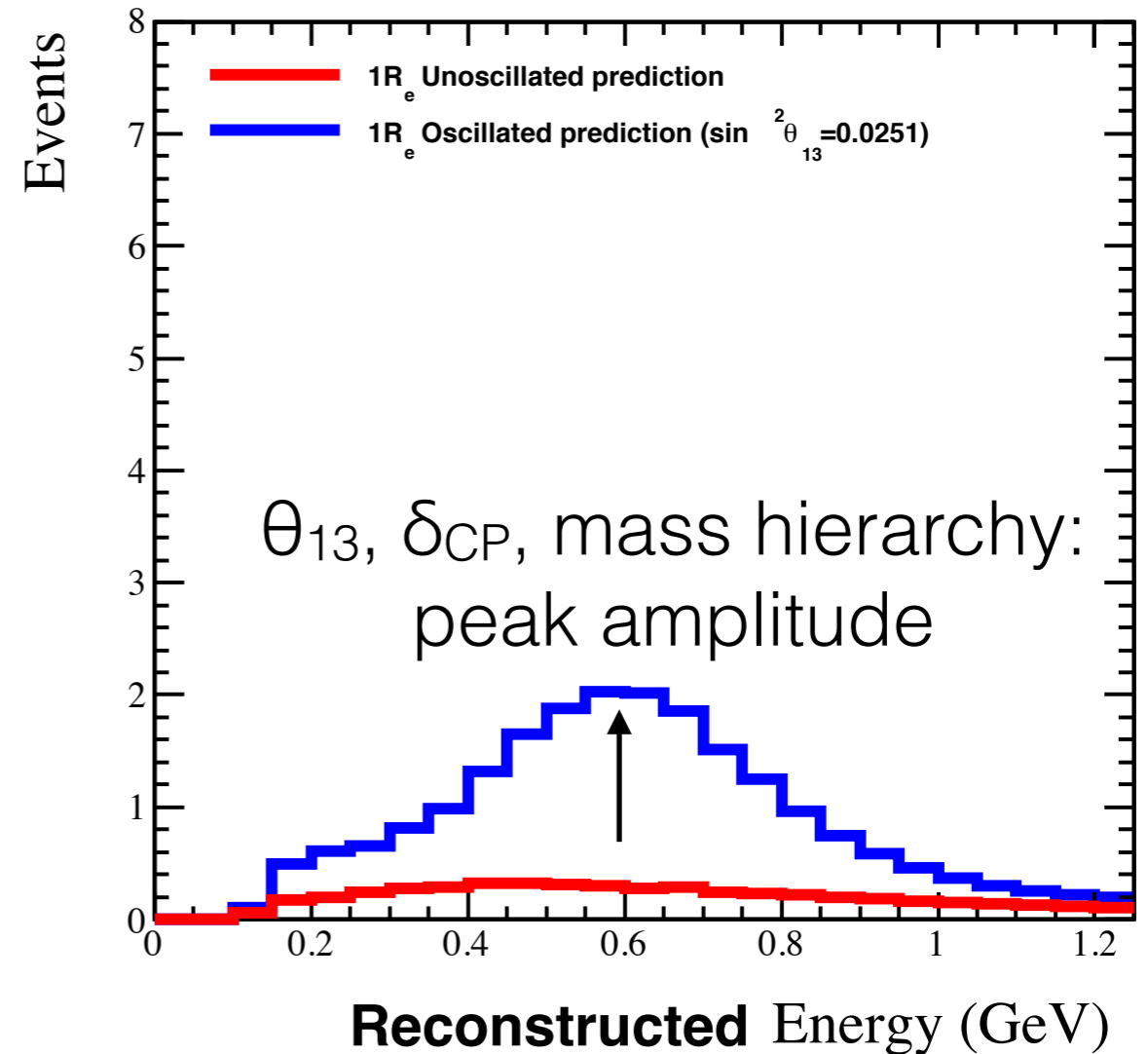
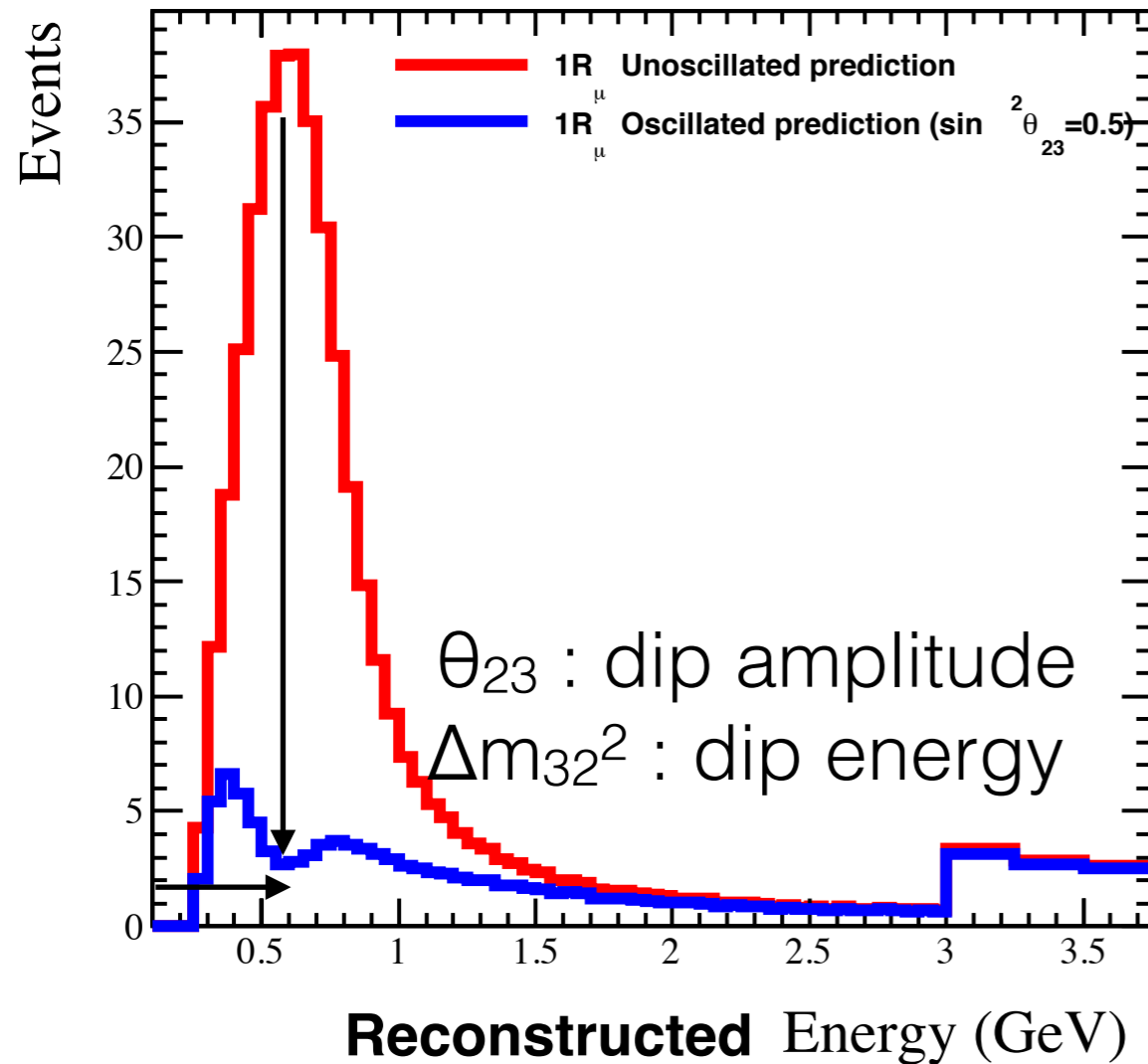


CP violation  $\sim 20\%$  effect at 1st oscillation maximum  
Much larger effect at 2nd oscillation maximum

# What we actually measure:

$\nu_\mu$  disappearance

$\nu_e$  appearance



Measurement precision limited by:

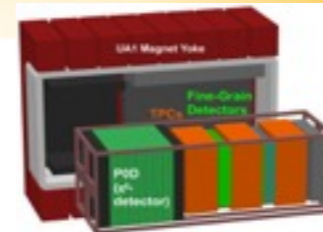
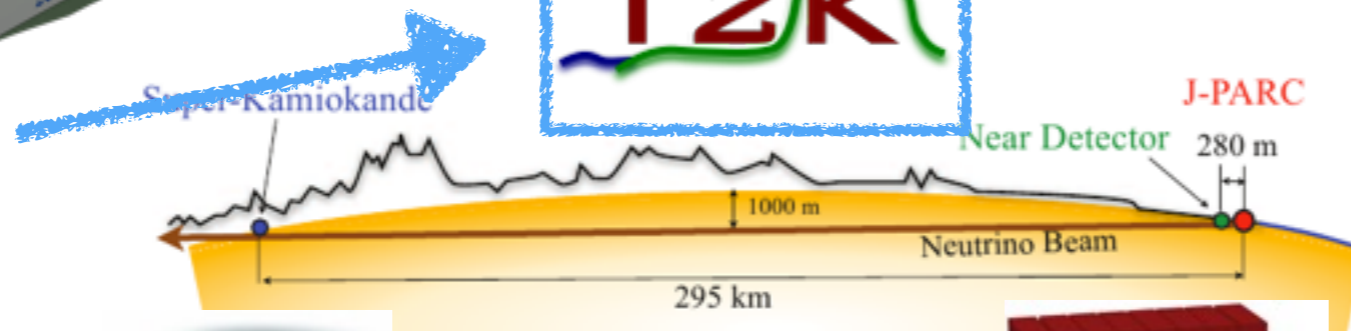
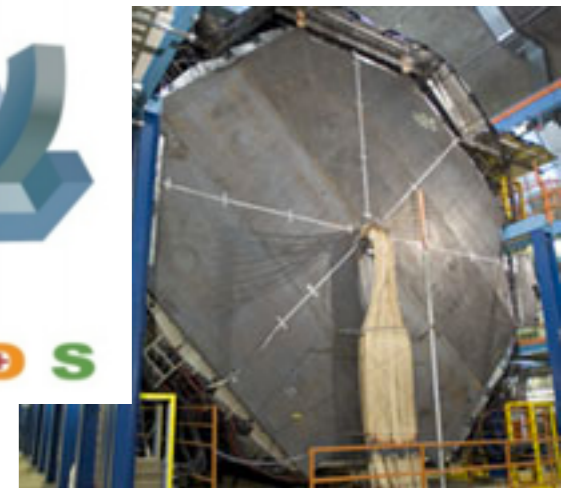
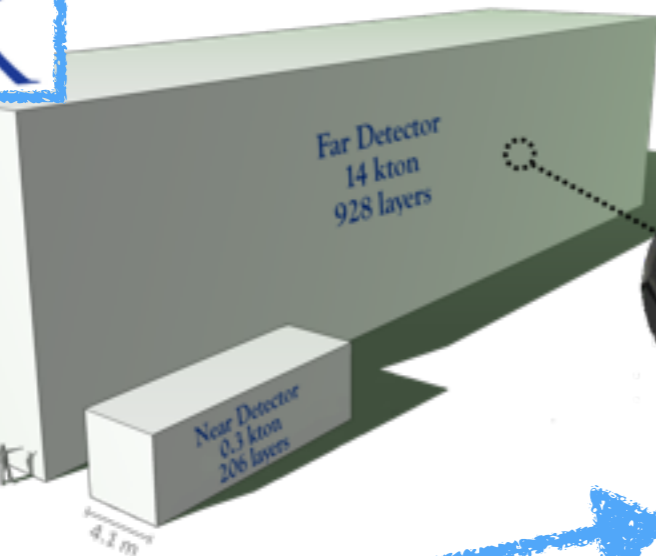
- Statistics
- Neutrino energy reconstruction
- Knowledge of unoscillated spectrum and background contamination

# Accelerator based Neutrino Oscillation Experiments

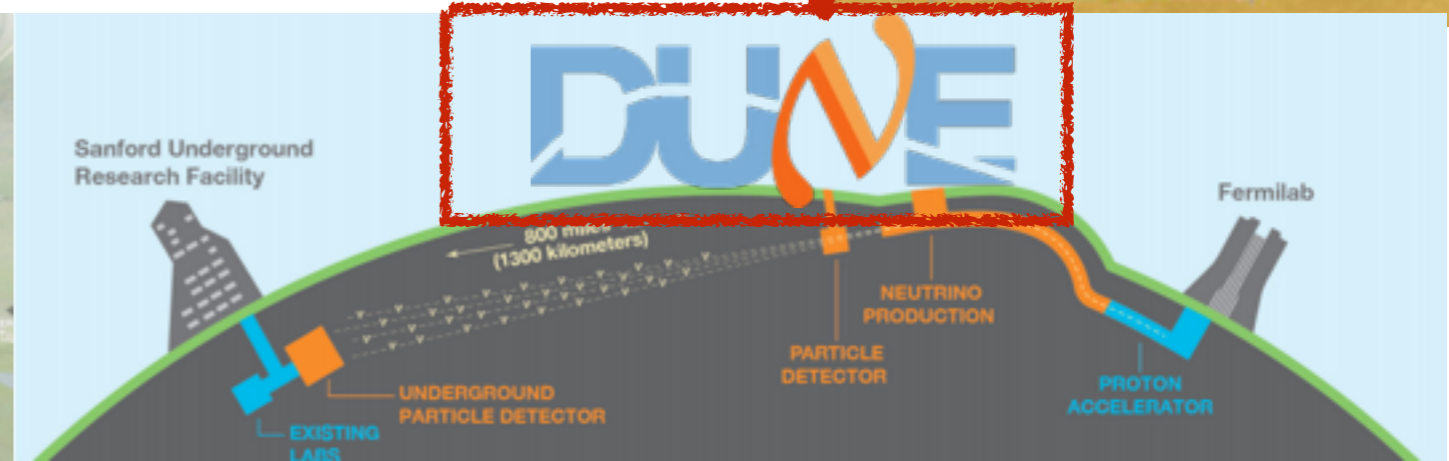
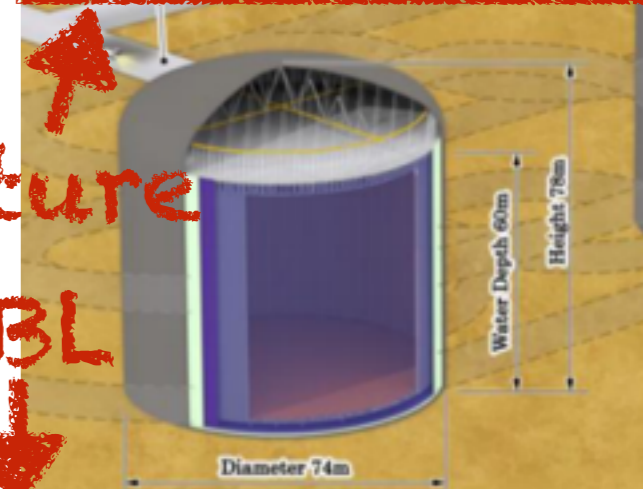
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Current  
LBL

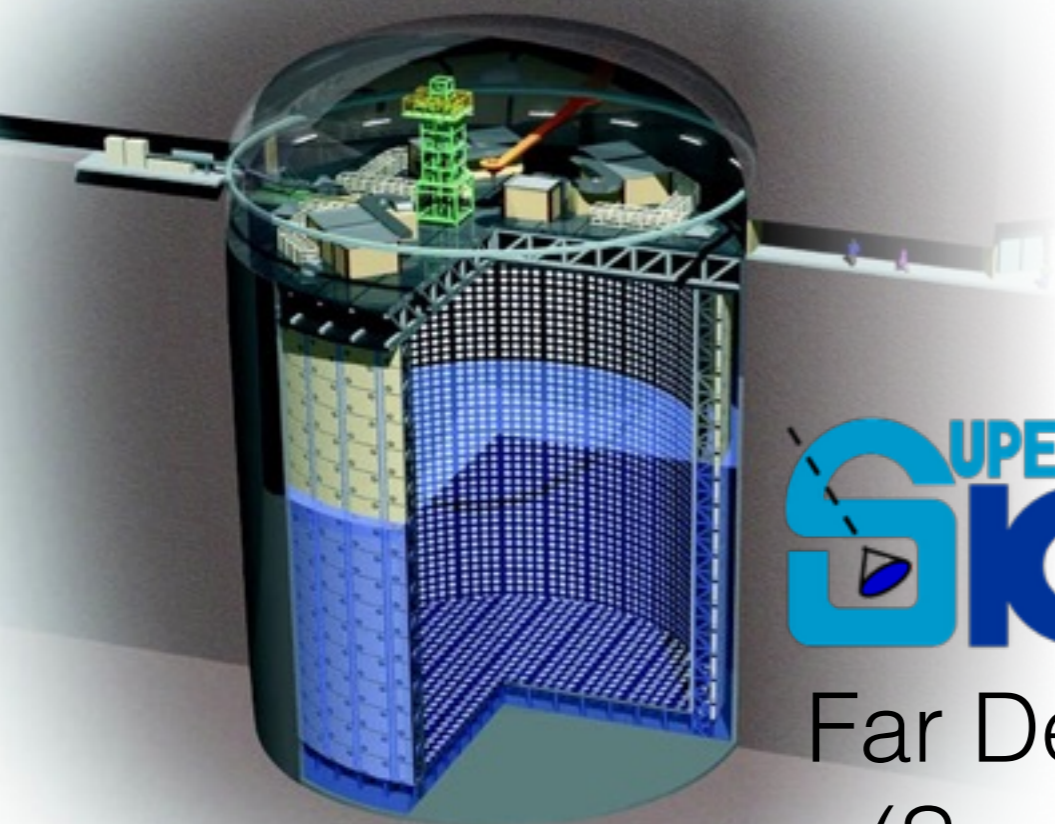
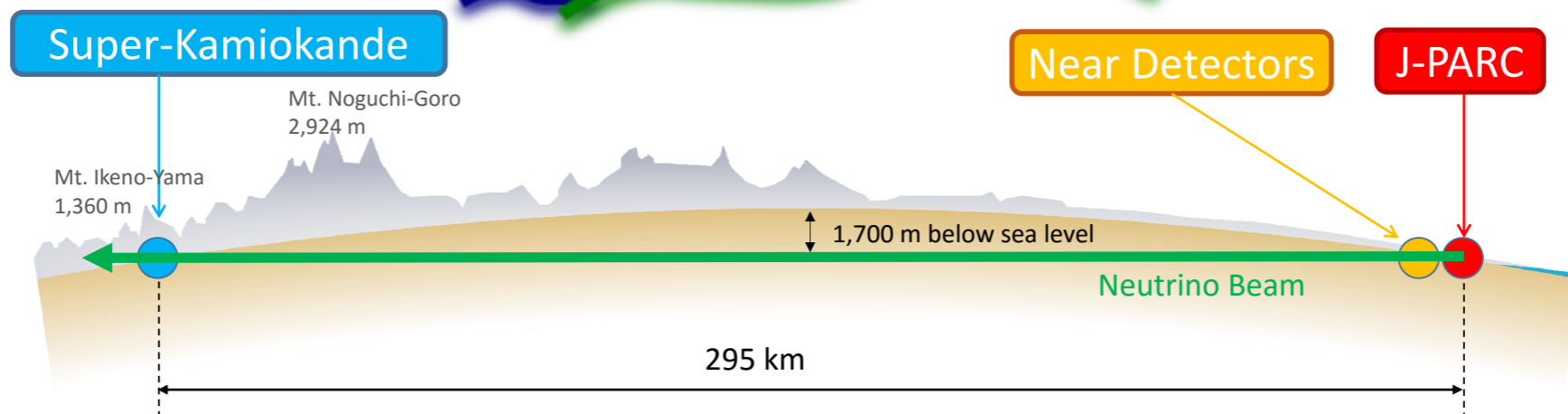


Future  
LBL

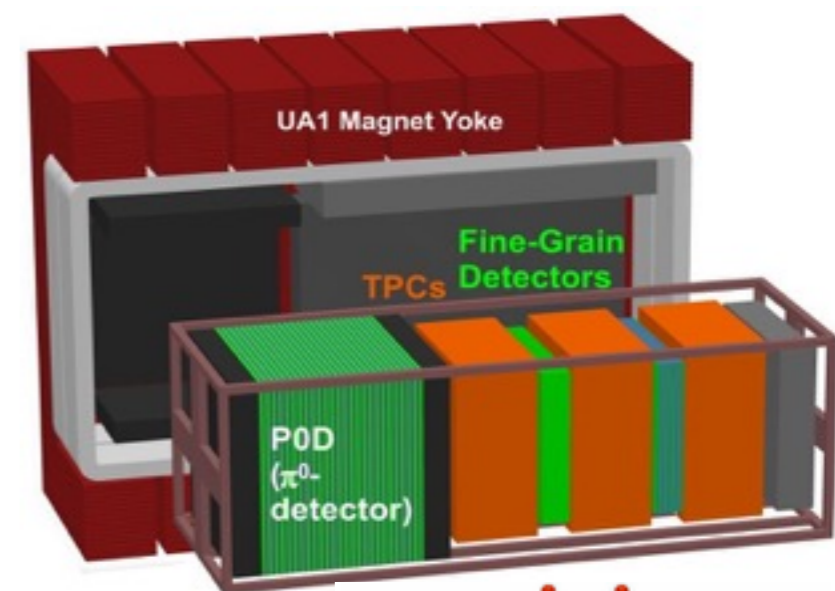




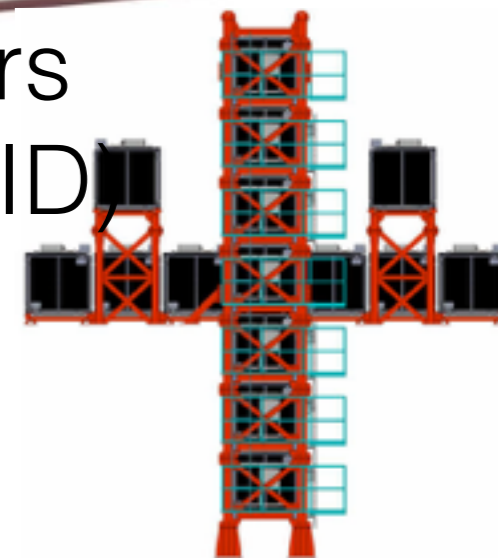
# T2K



Far Detector  
(Super-K)



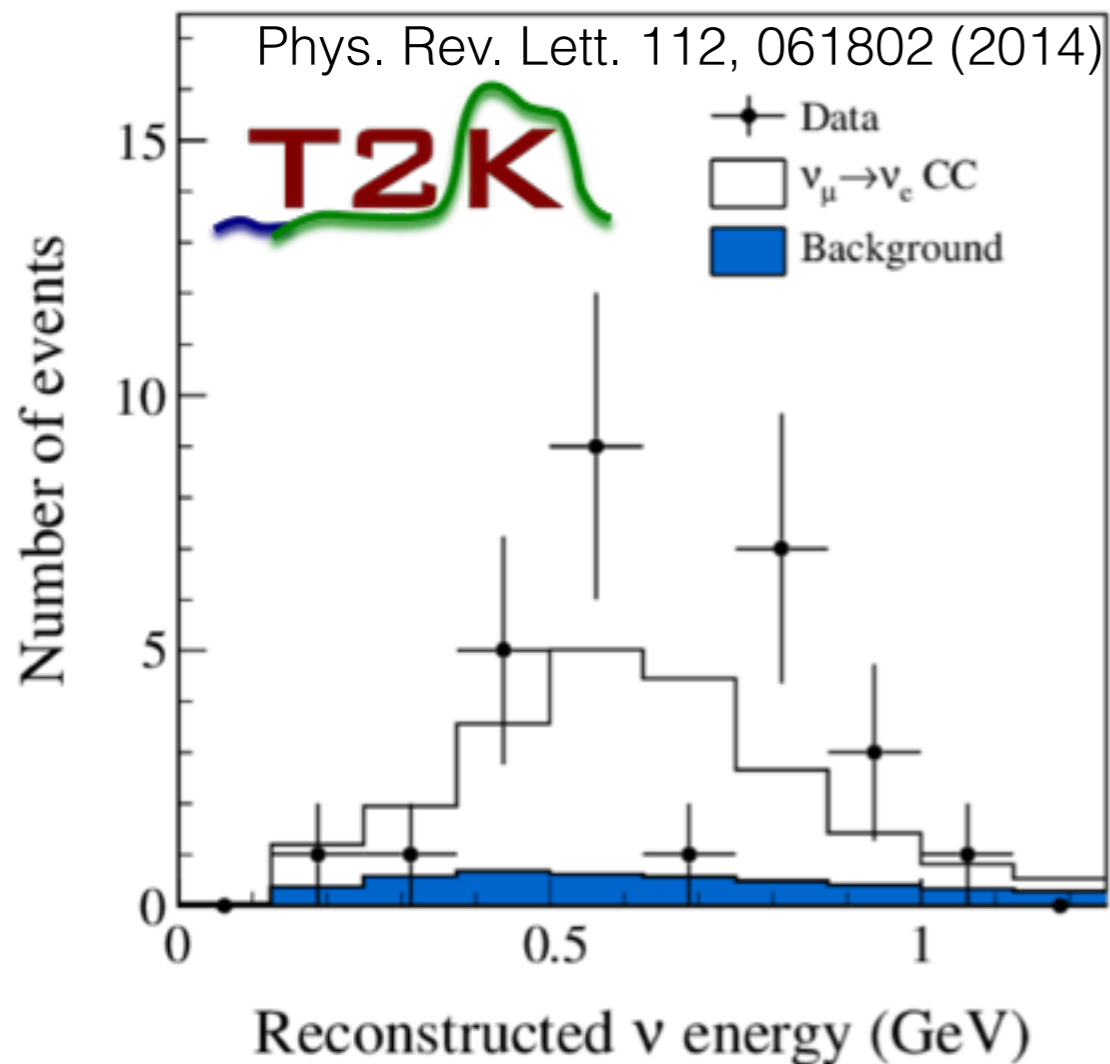
Near Detectors  
(ND280+INGRID)



# T2K $\nu_e$ appearance

2013:  $\nu_e$  appearance established

28 events observed (4.3 expected background)



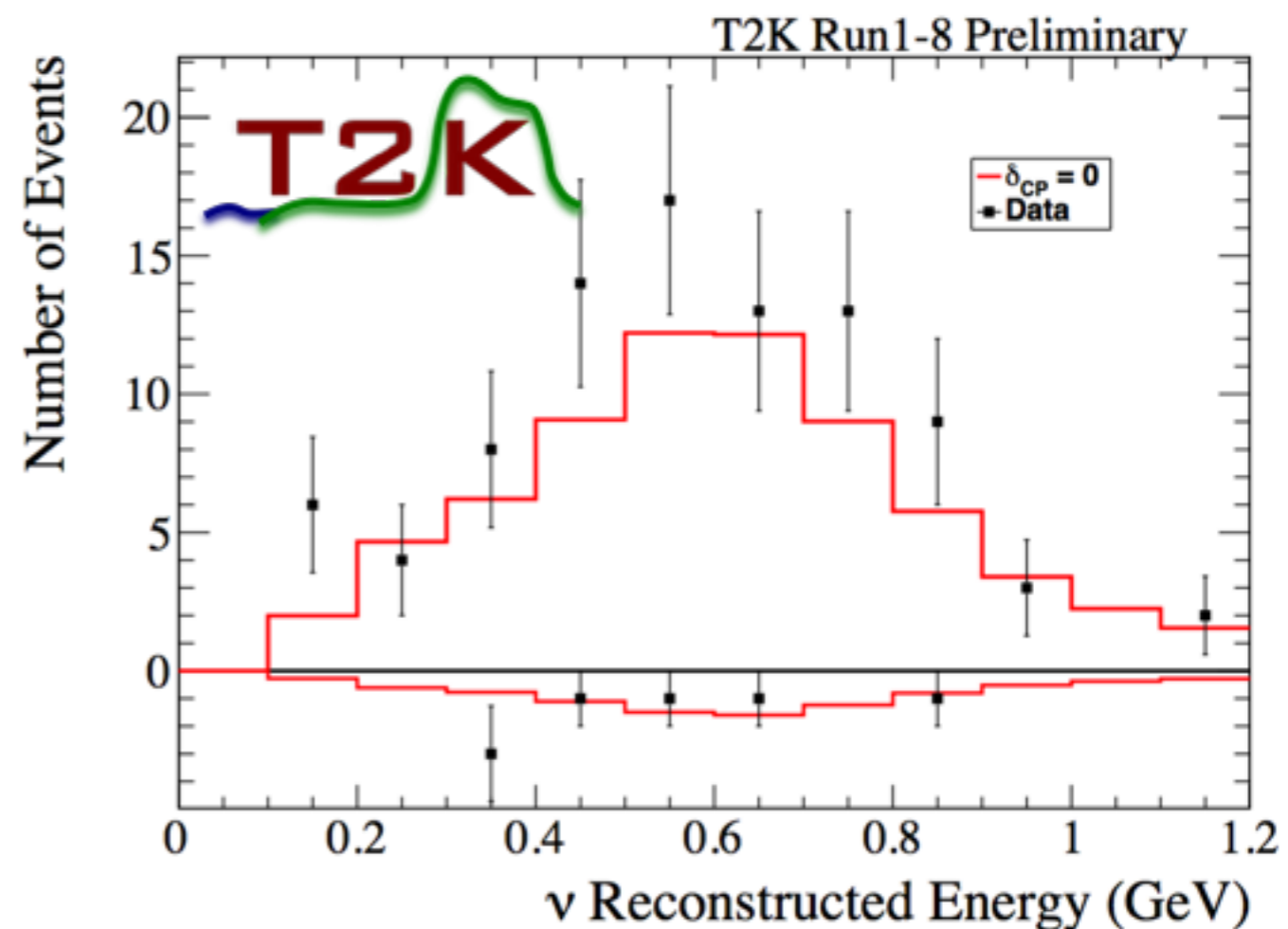
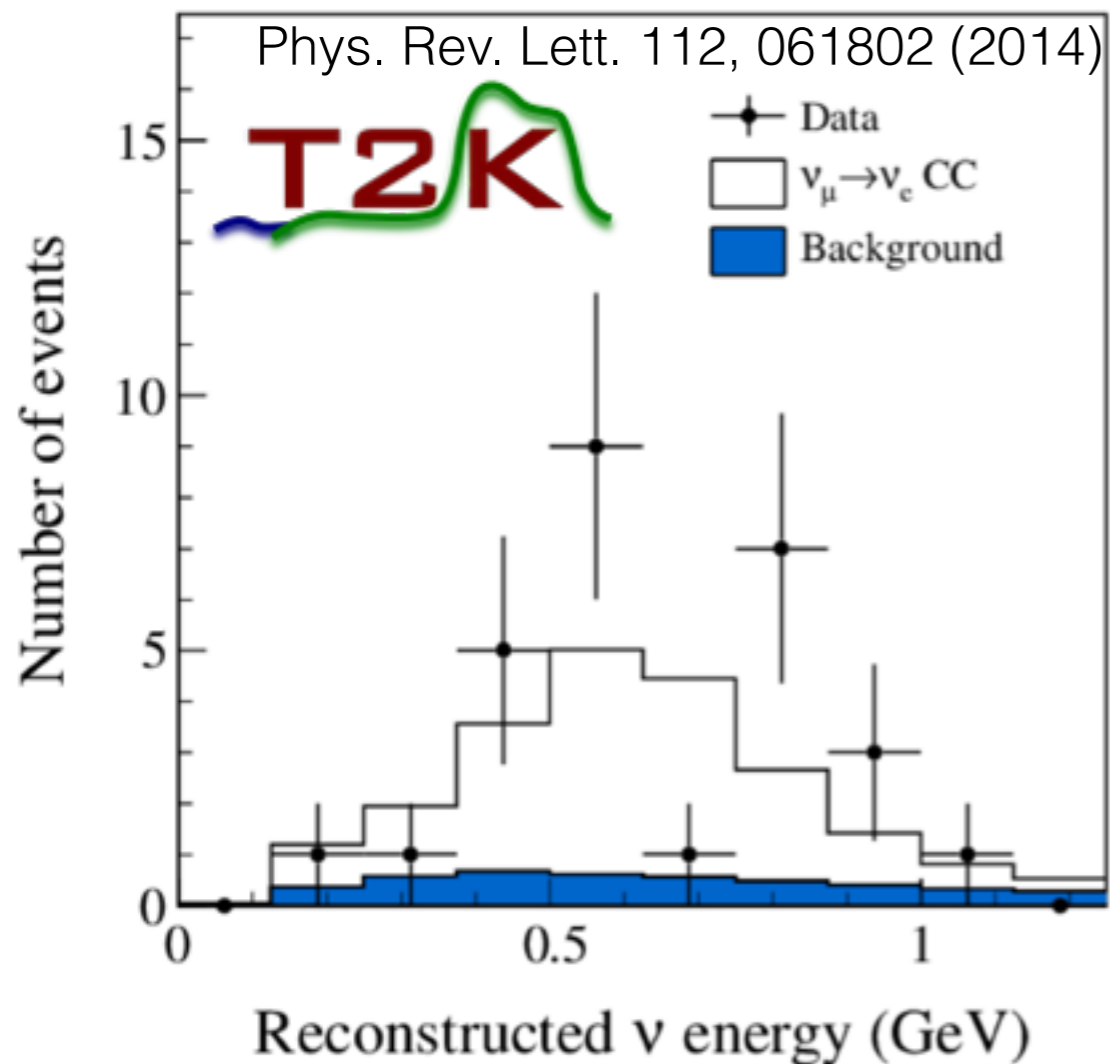
effect is large, opens the way to leptonic CP violation

$\delta_{CP}$ .

# T2K $\nu_e$ appearance

2013:  $\nu_e$  appearance established → 2017: “indications” of CP violation

28 events observed (4.3 expected background)



effect is large, opens the way to leptonic CP violation

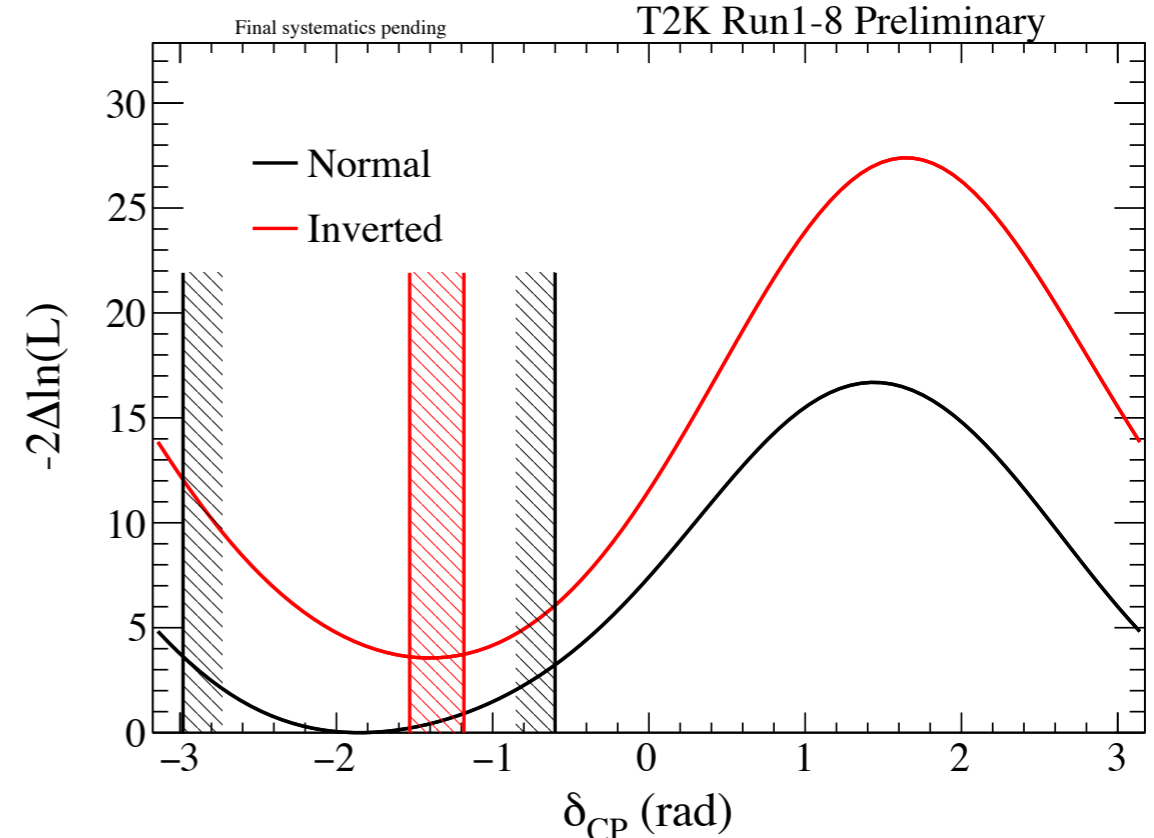
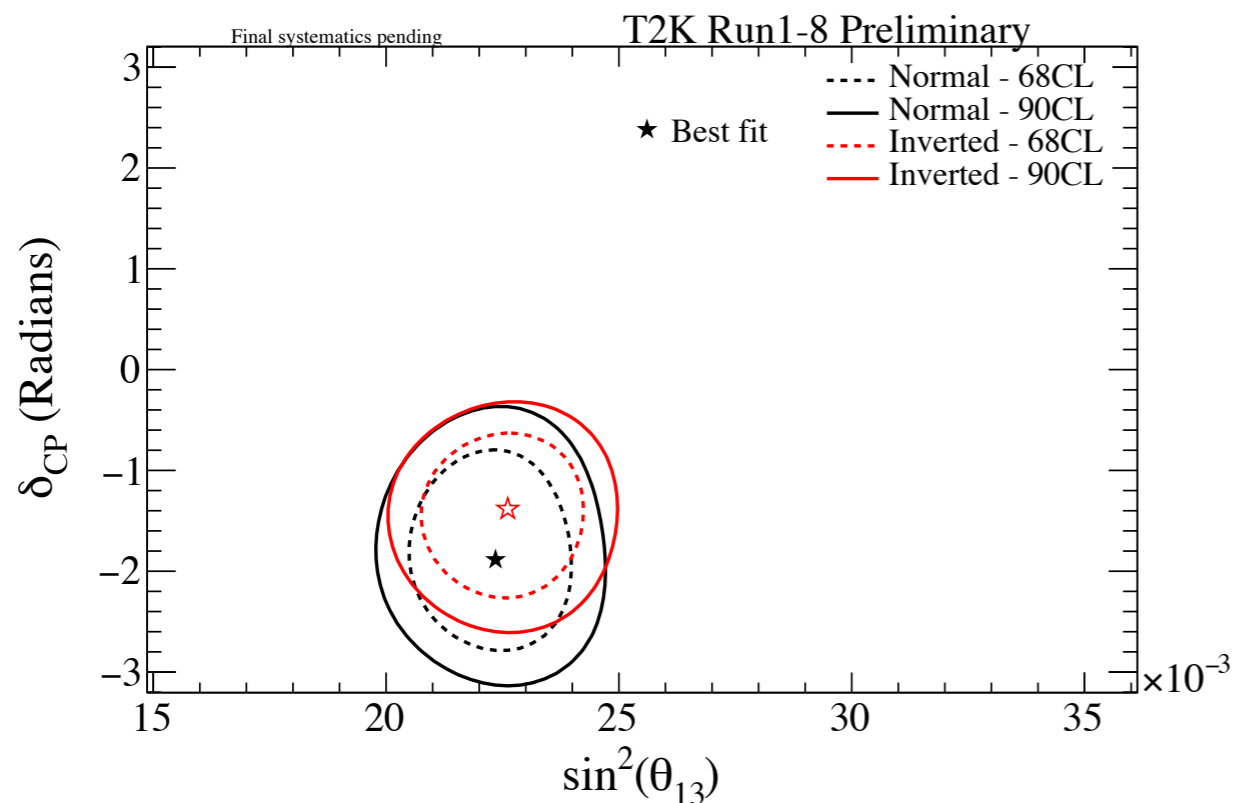
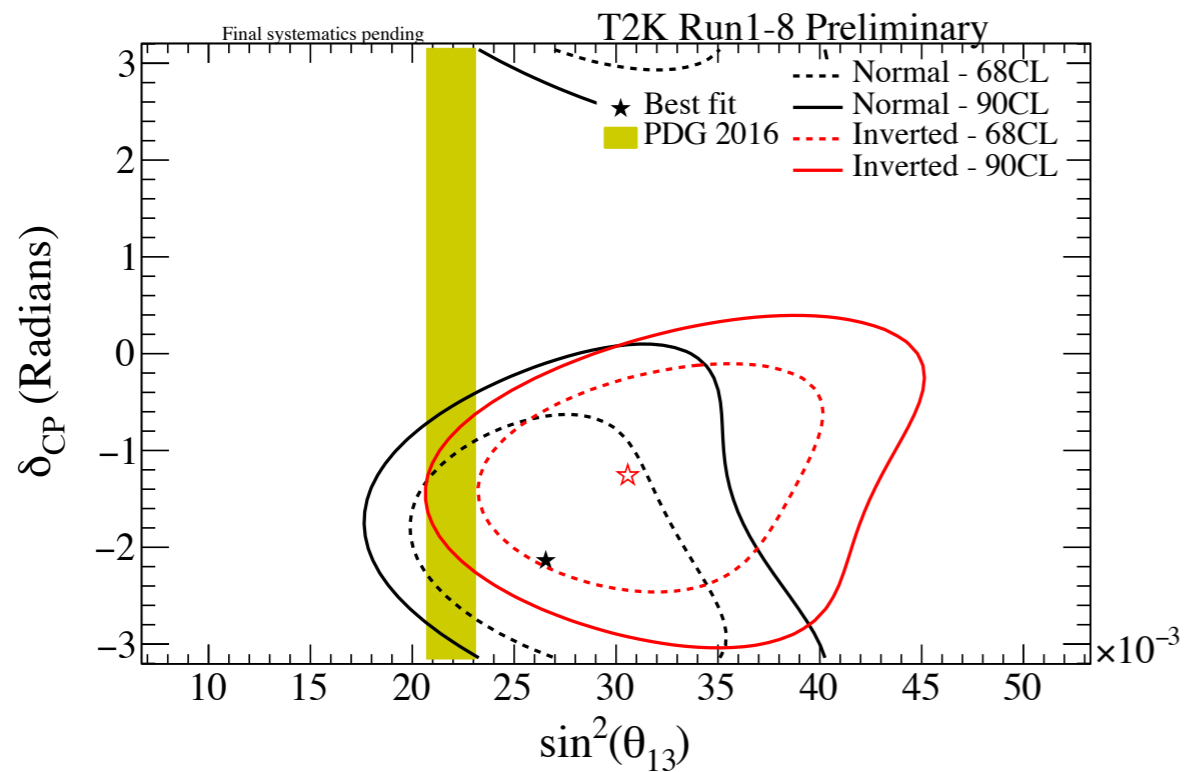
$\delta_{CP}$ .

Small  $\nu_e$  excess and  $\bar{\nu}_e$  deficit  
Current measurement based on 74+7 events in single ring sample

# First Indications of CP violation

CP conserving values  
excluded at  $2\sigma$

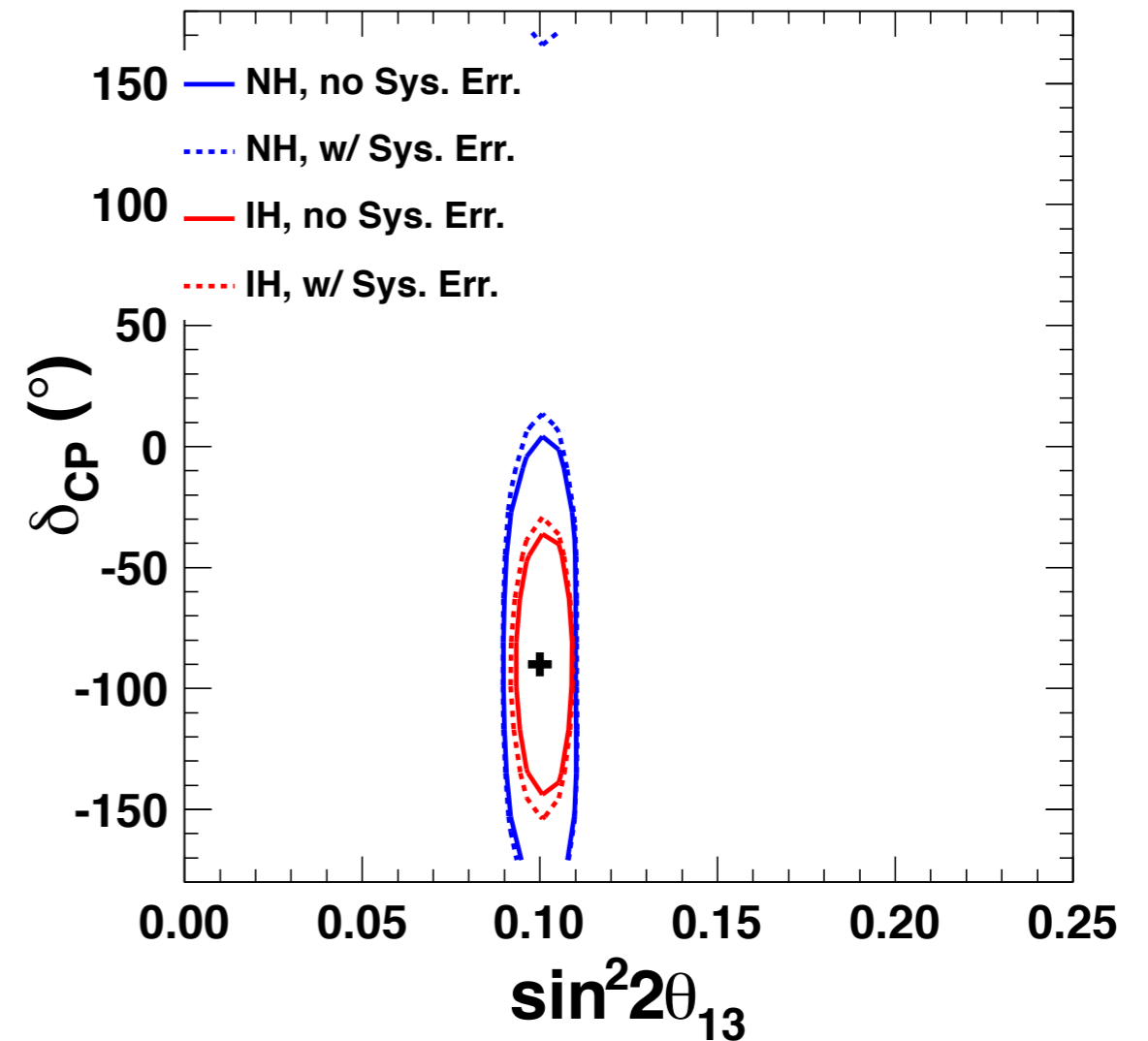
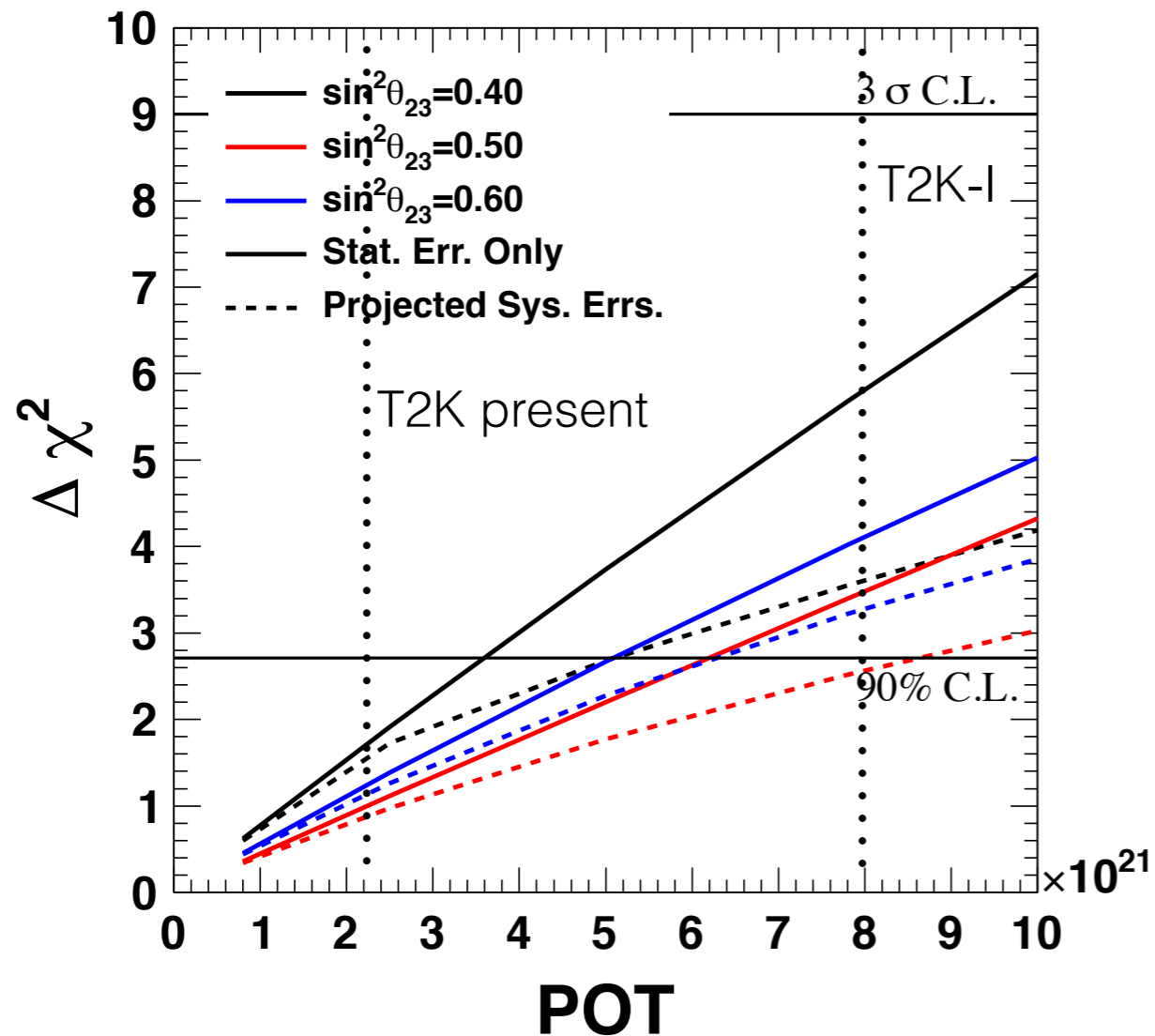
Statistically limited  
Dependent on reactor  $\bar{\nu}_e$   
disappearance  
measurement



# T2K Projected Sensitivity

arXiv:1409.7469 [hep-ex]

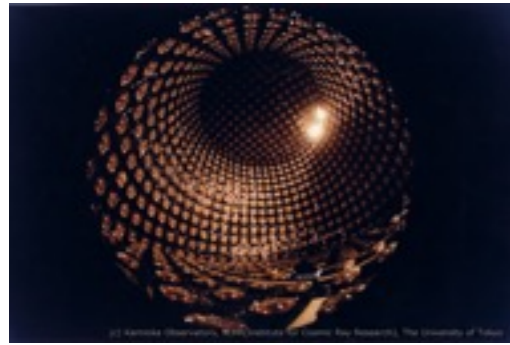
arXiv:1409.7469 [hep-ex]



$\sim 2.5\sigma$  projected significance if *maximal CP violation*.

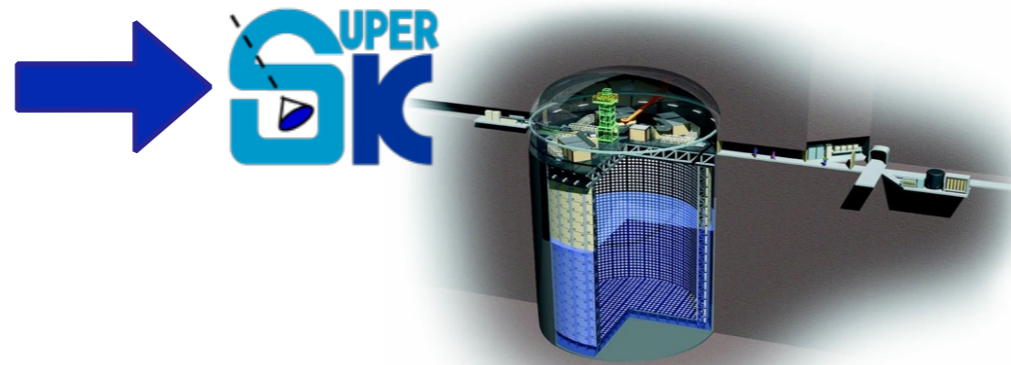
to firmly establish CP violation we will need **Hyper-K!**

# Kamiokande Detectors



Kamiokande  
680 tonne  
fiducial mass  
(1983)

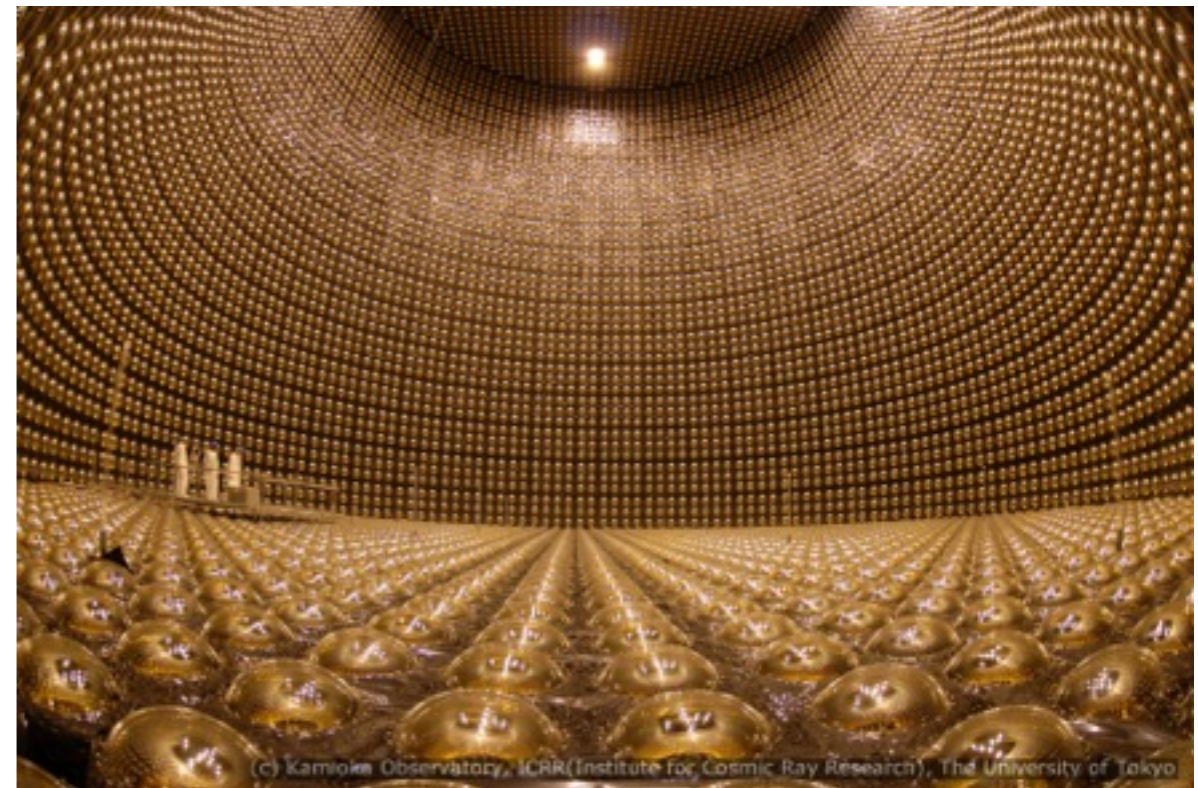
# Kamiokande Detectors



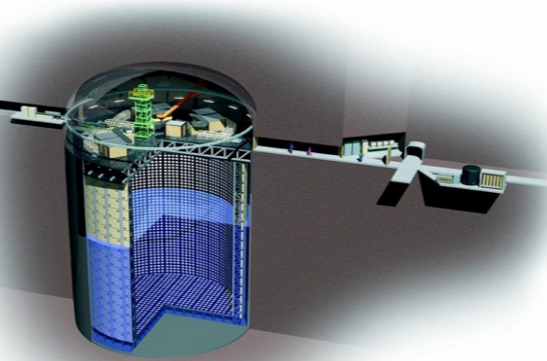
Super-Kamiokande  
22.5kt fiducial mass  
(33x Kamiokande)

(1996)

Kamiokande  
680 tonne  
fiducial mass  
(1983)



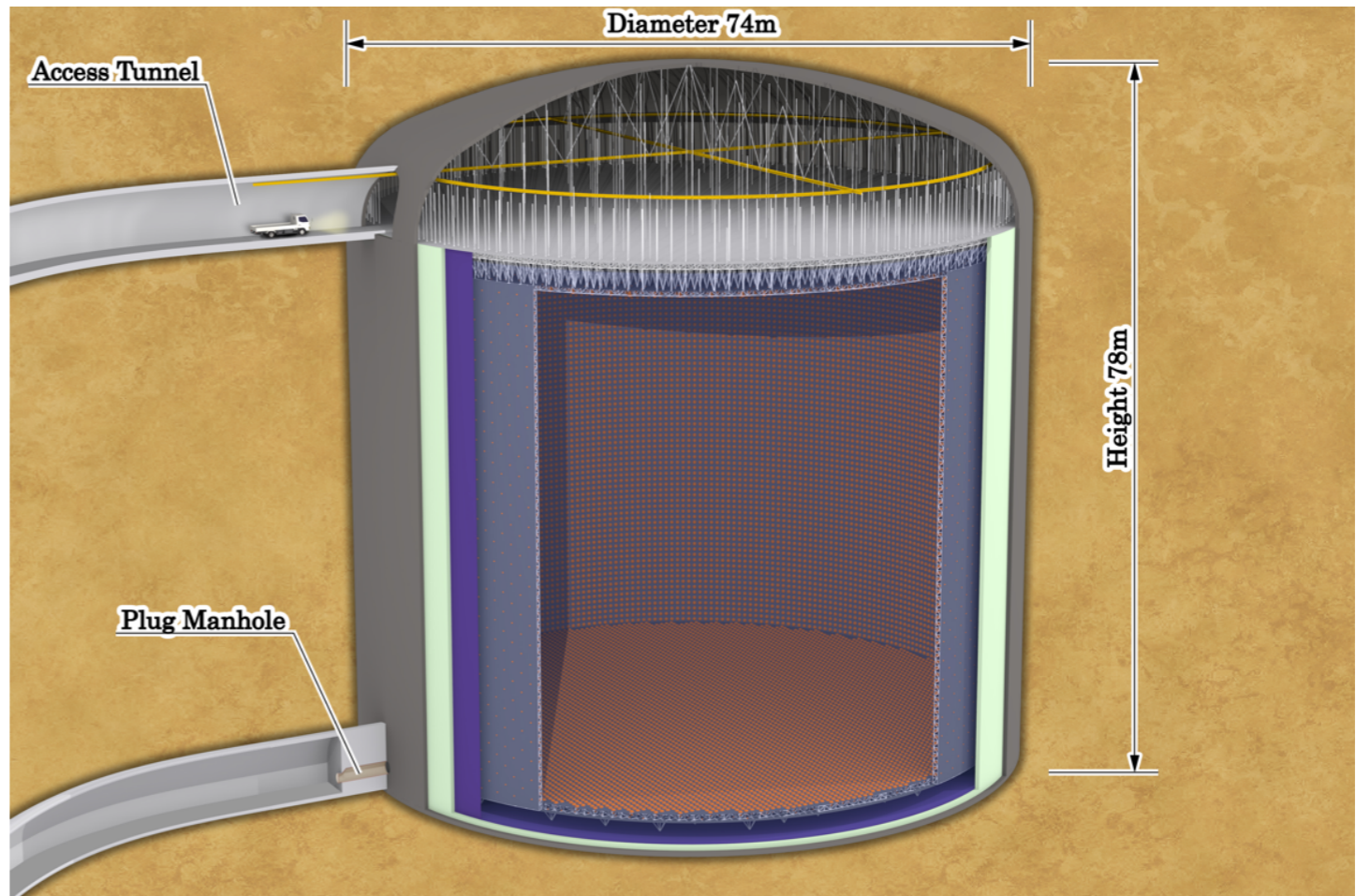
# Kamiokande Detectors



Super-Kamiokande  
22.5kt fiducial mass  
(33x Kamiokande)

(1996)

Kamiokande  
680 tonne  
fiducial mass  
(1983)



Hyper-Kamiokande  
187 kt fiducial mass per tank  
(2026?)



# Hyper-K Collaboration

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THE UNIVERSITY OF WARWICK



Growing international collaboration: 14 countries, ~300 people

# Why Water Cherenkov?

## **Scalability**

Water is cheap, non-toxic, liquid at room temperature  
we already know how to build big water WC detectors

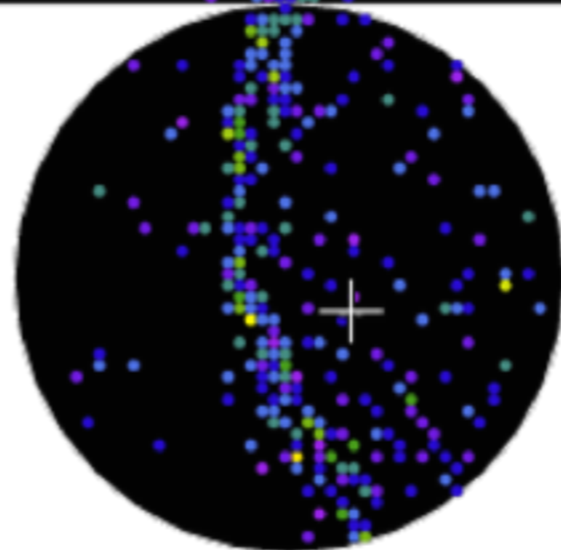
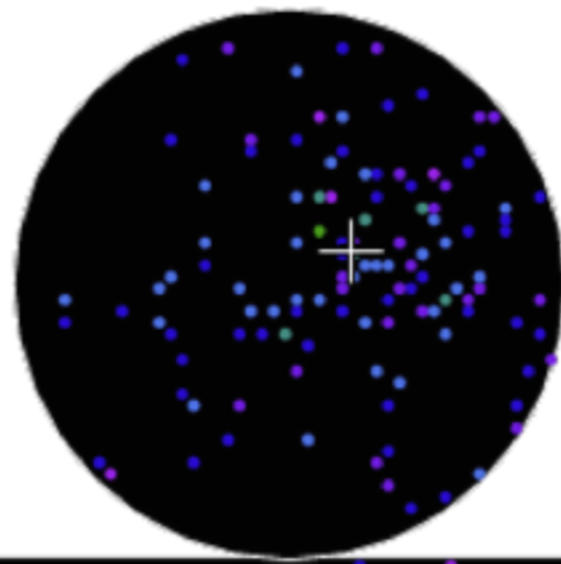
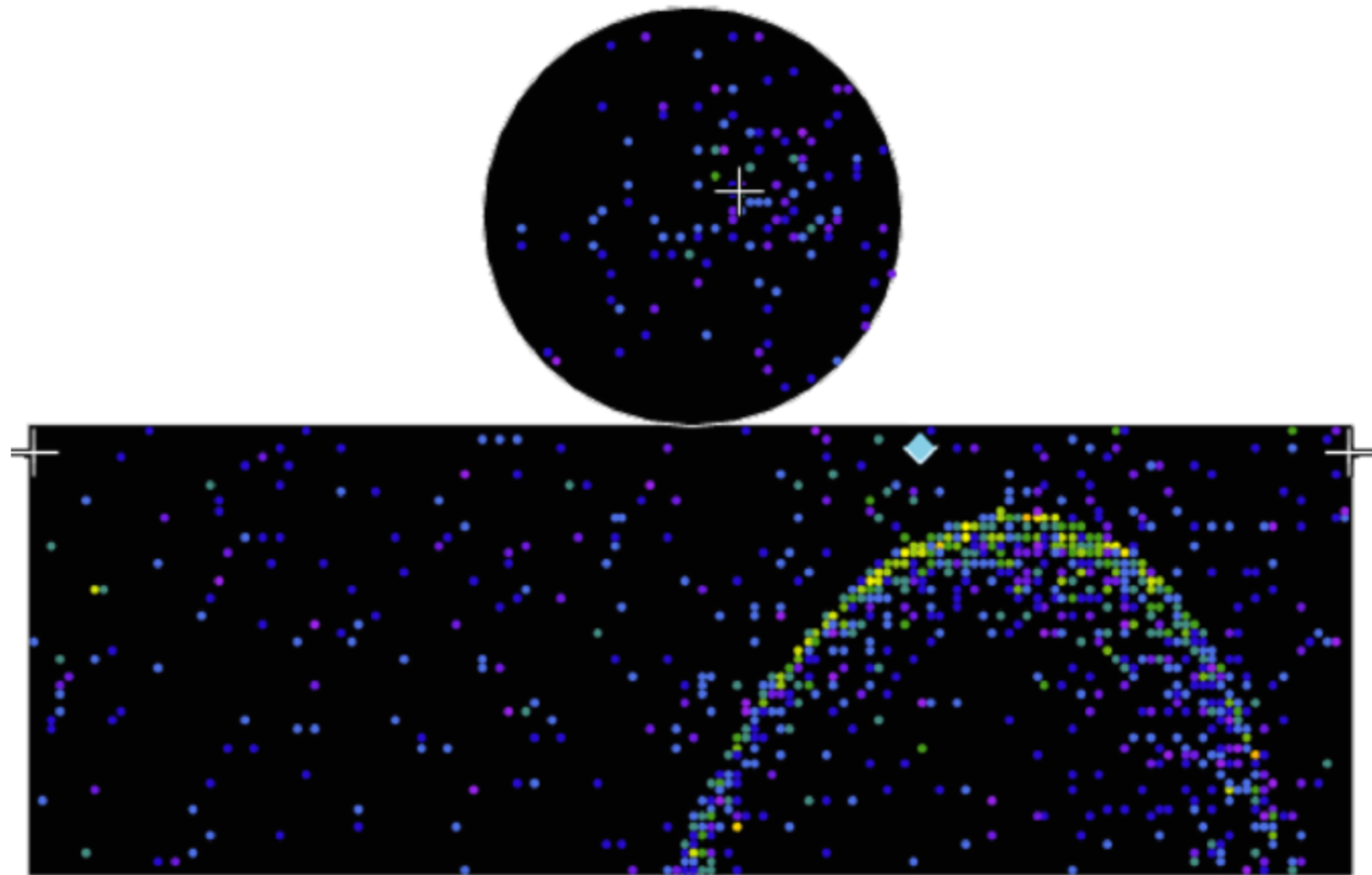
## **Proven technology**

many years of experience from Super-K  
low risk

## **Excellent performance**

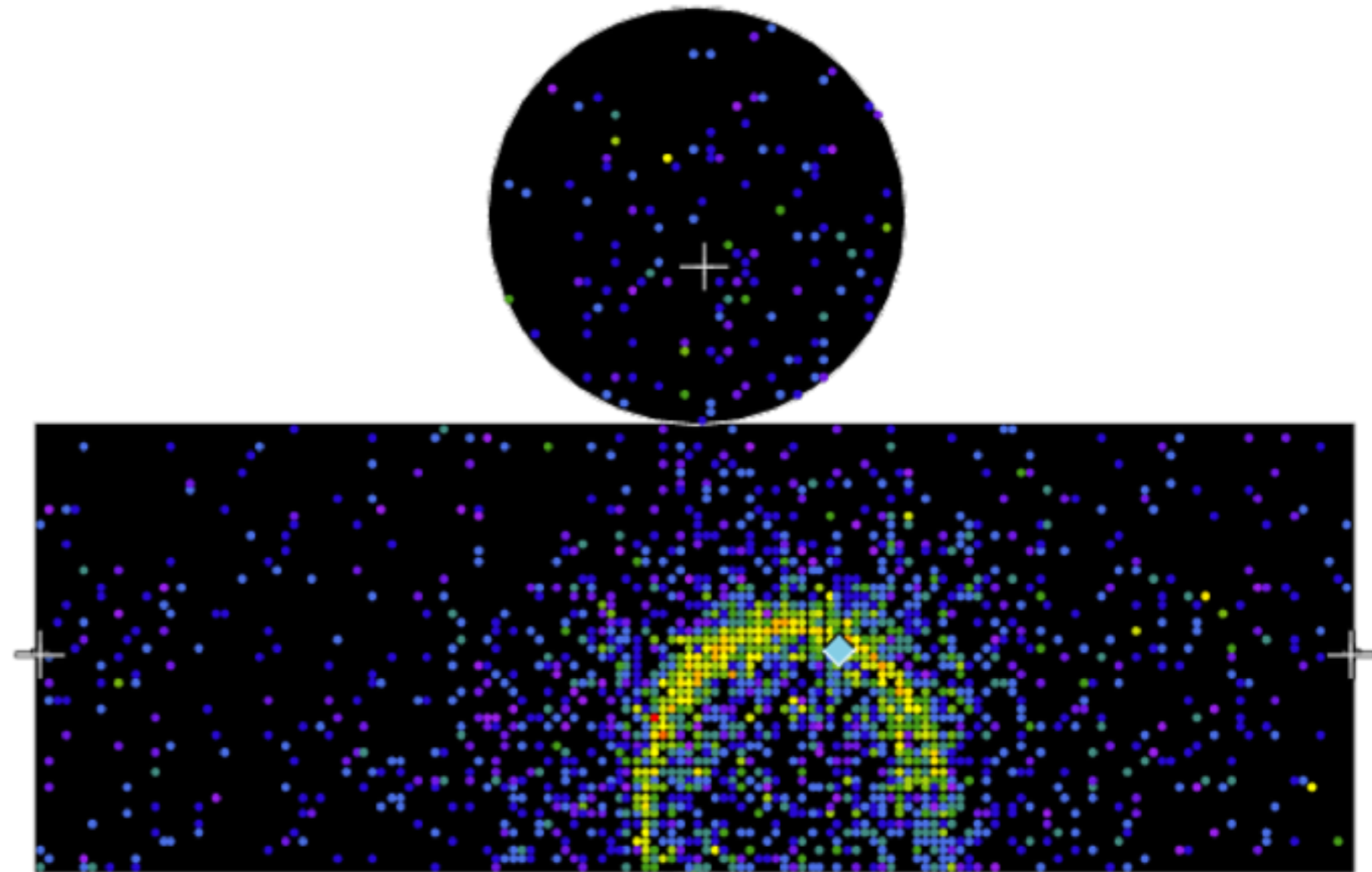
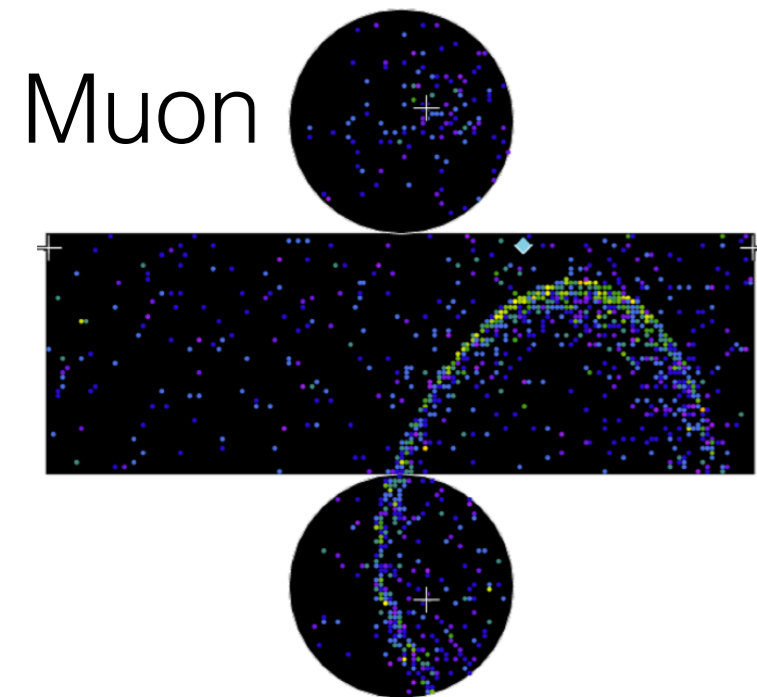
based on real Super-K and T2K performance

# Water Cherenkov Technique



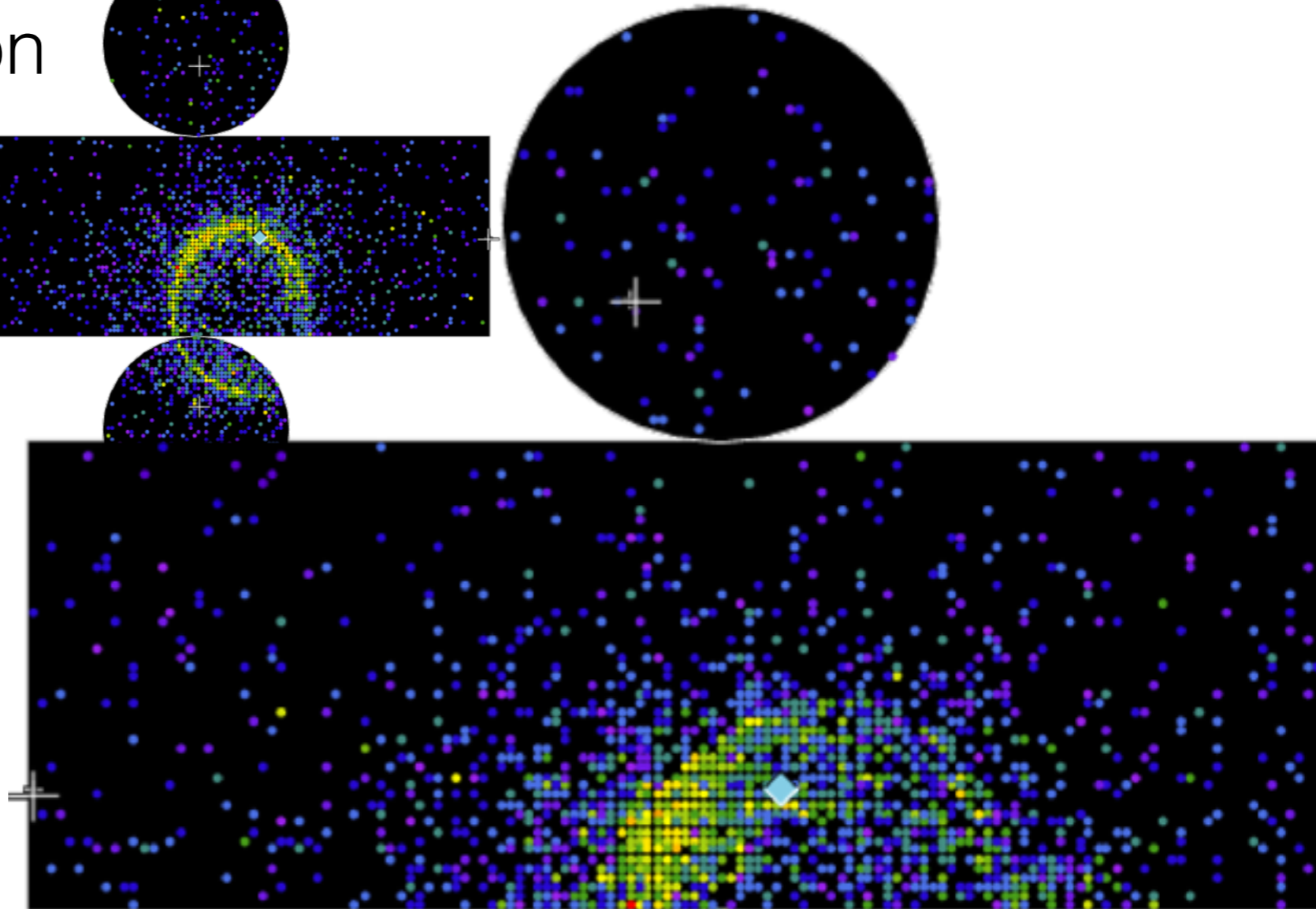
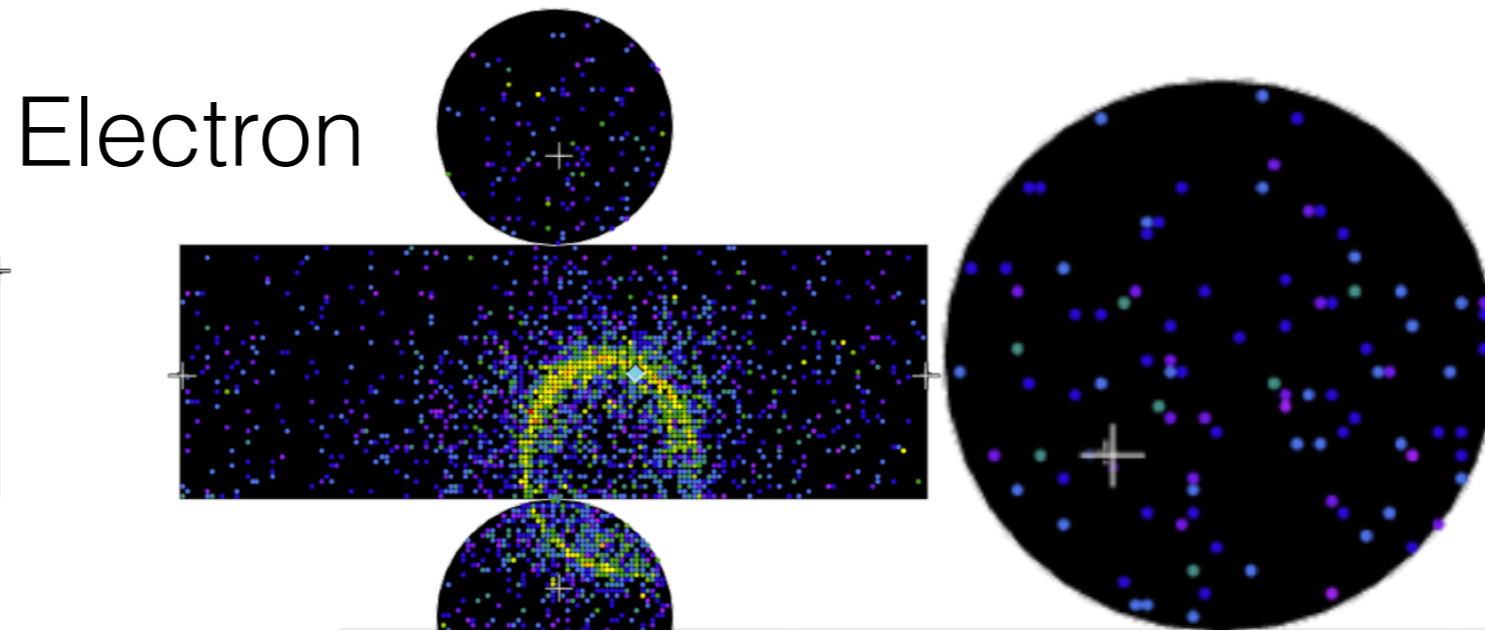
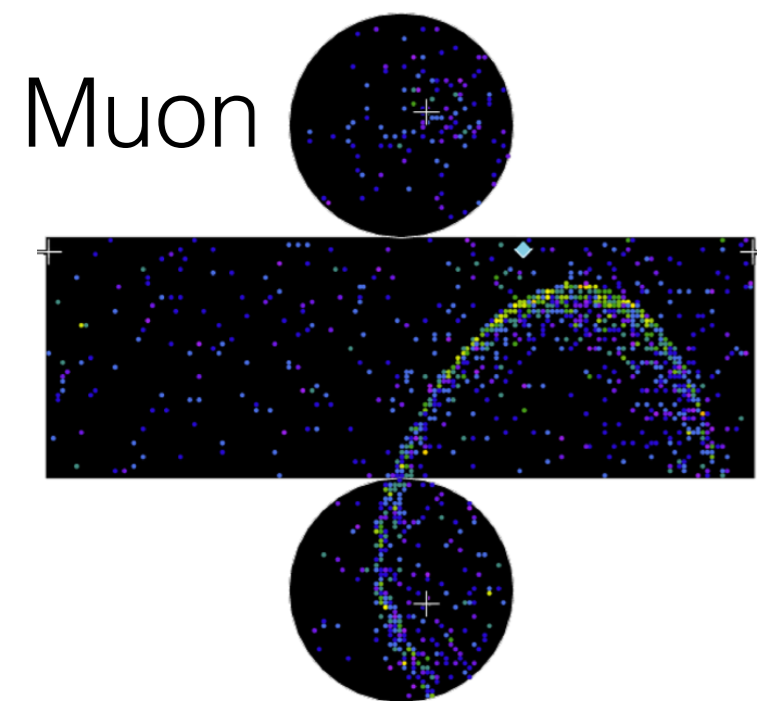
Muon

# Water Cherenkov Technique



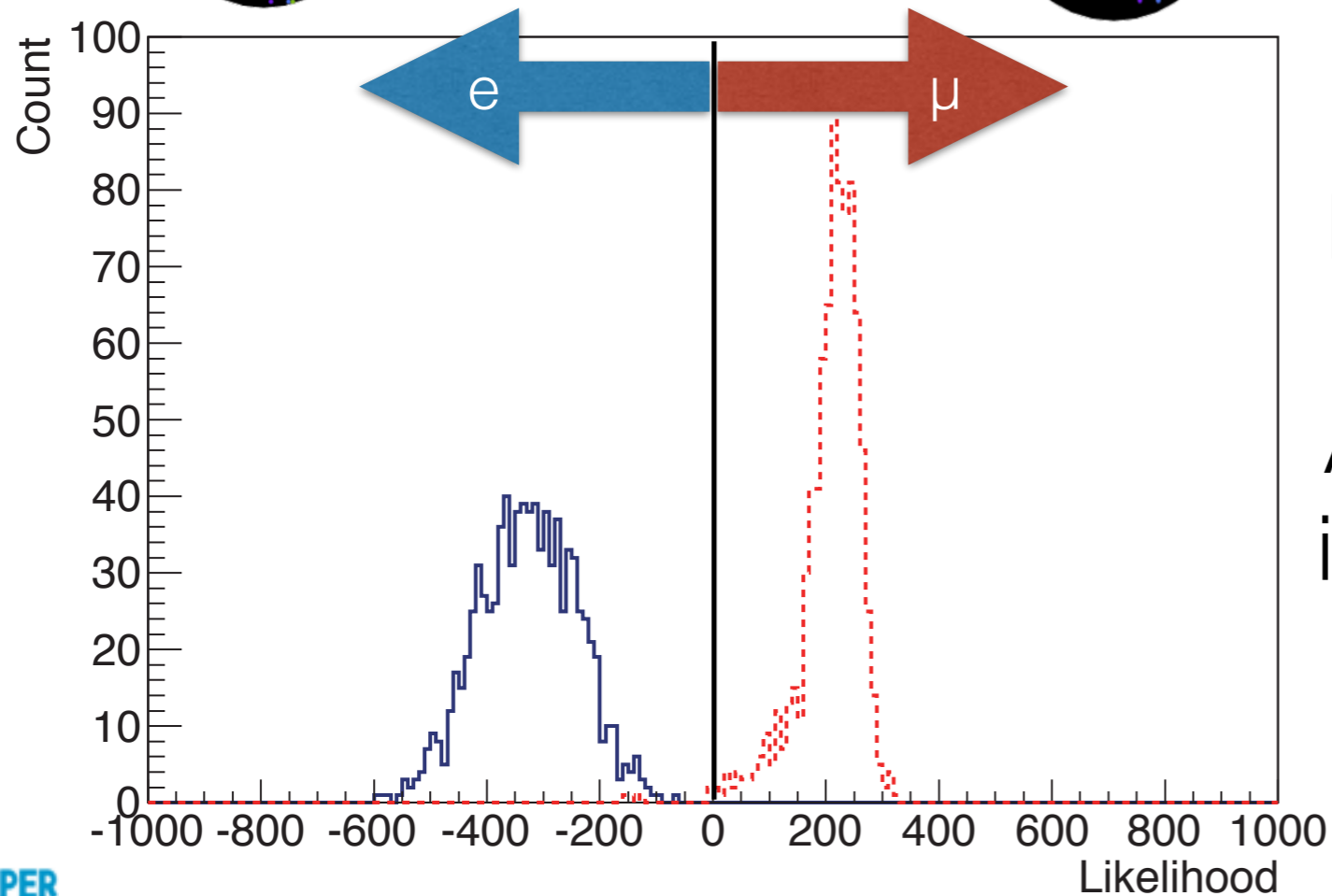
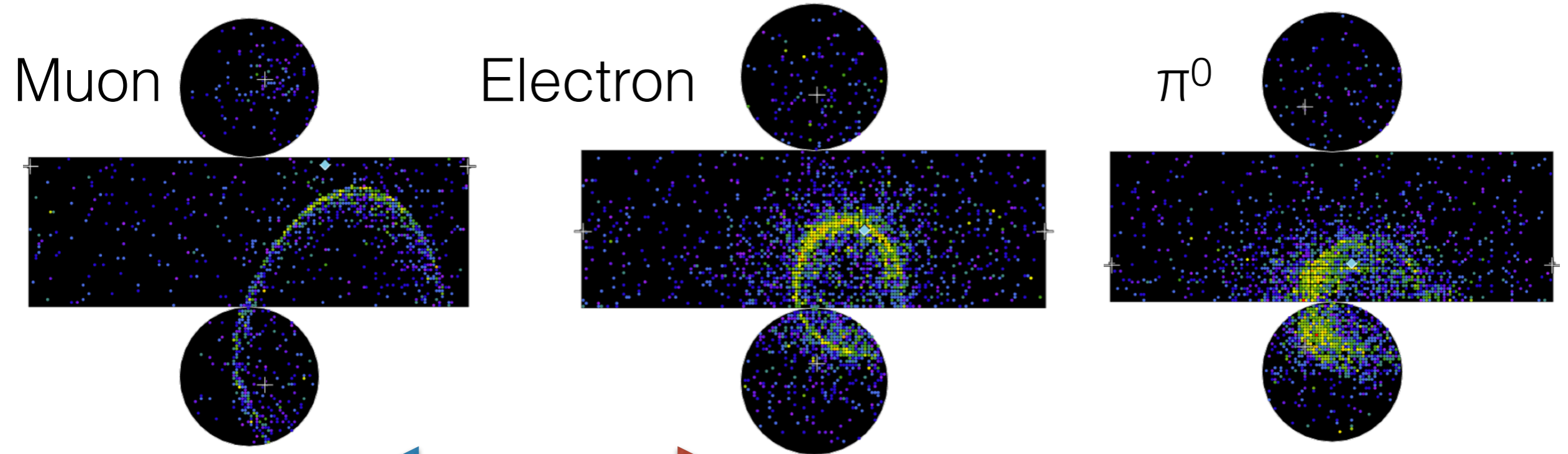
Electron

# Water Cherenkov Technique



Neutral Pion

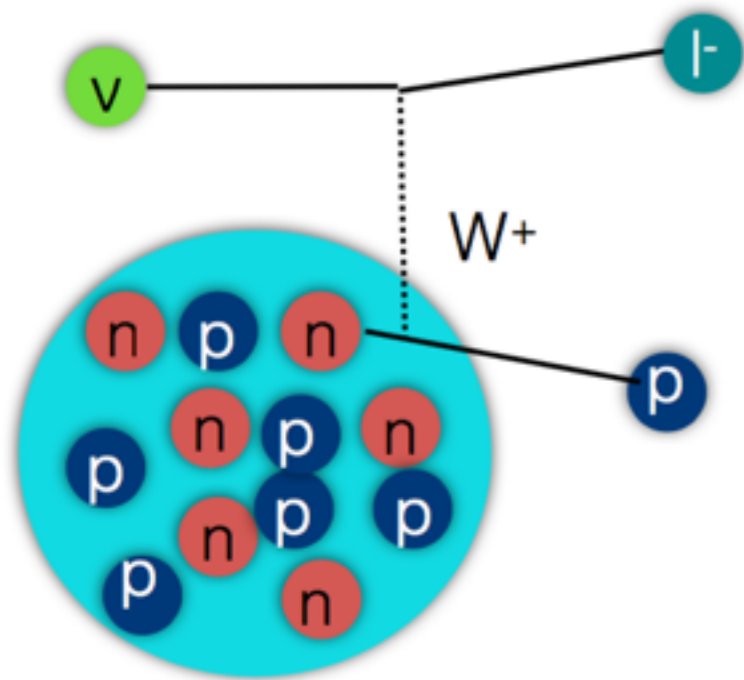
# Water Cherenkov Technique



Excellent PID performance

Accelerator  $\nu_e$  background is dominated by irreducible intrinsic  $\nu_e$ .

# Neutrino Energy Measurement



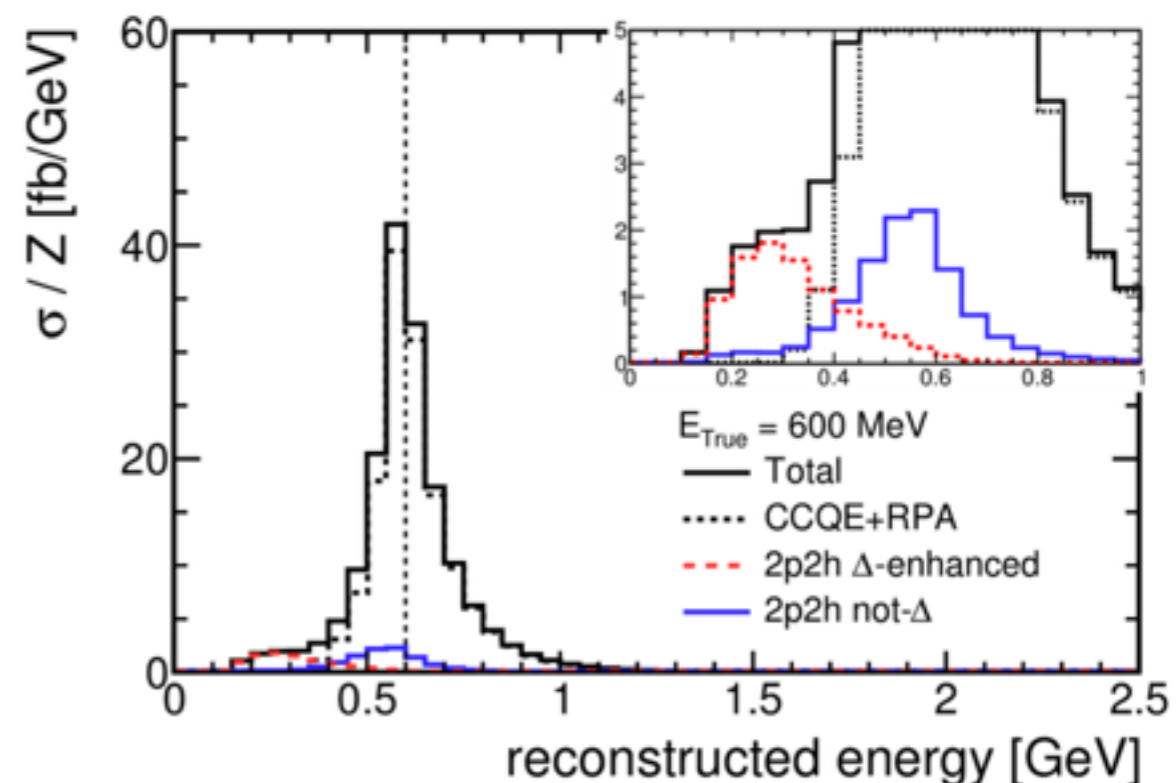
Protons usually below Cherenkov threshold  
Neutrons can be counted but no energy measurement

For quasi-elastic interactions neutrino energy can be reconstructed from lepton kinematics

$$E_{\nu}^{\text{rec}} = \frac{m_p^2 - (m_n - E_b)^2 - m_e^2 + 2(m_n - E_b)E_e}{2(m_n - E_b - E_e + p_e \cos \theta_e)}$$

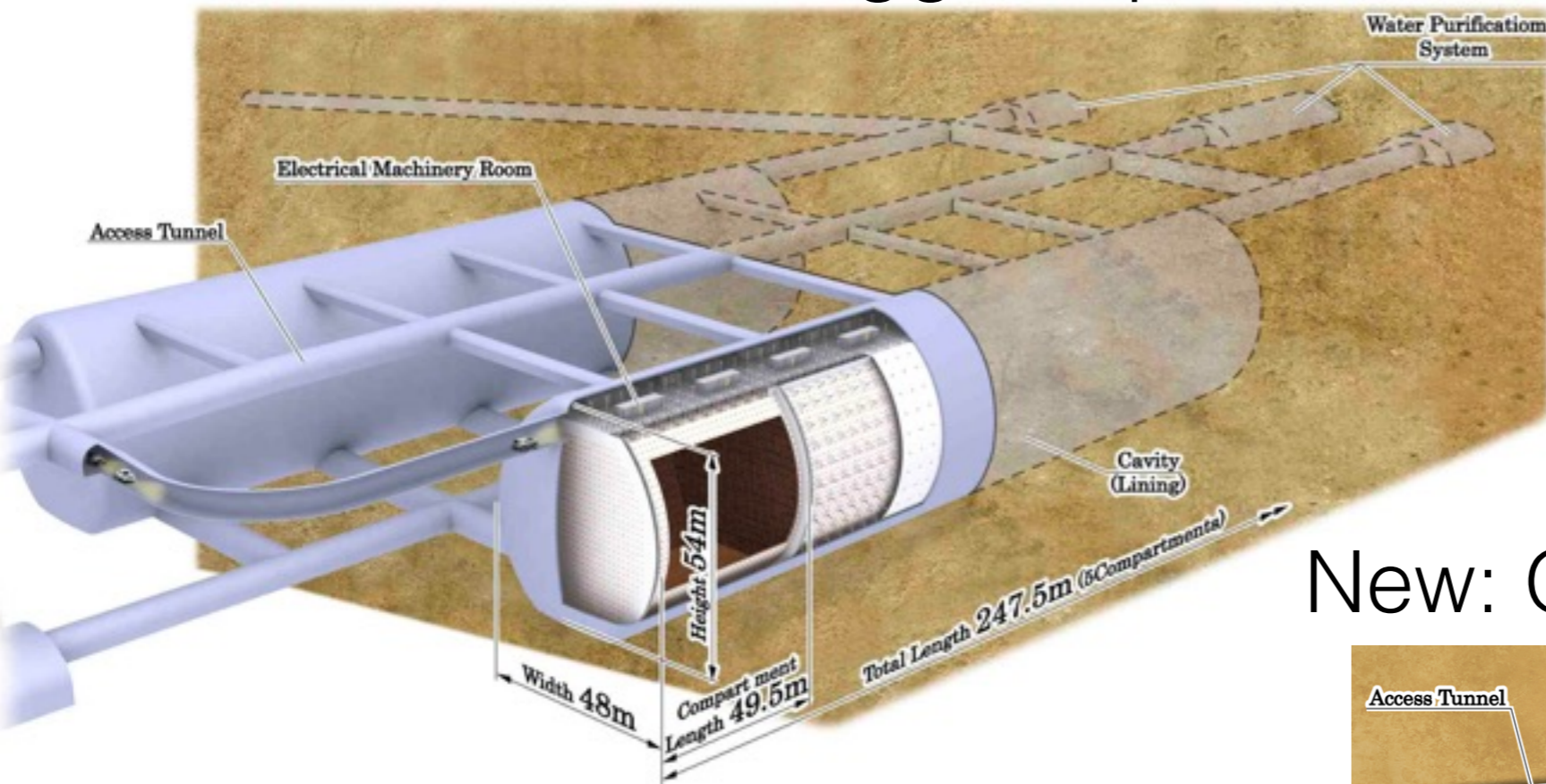
Background from inelastic scattering where energy is mis-measured

Interaction is on bound state  
Nuclear effects are important

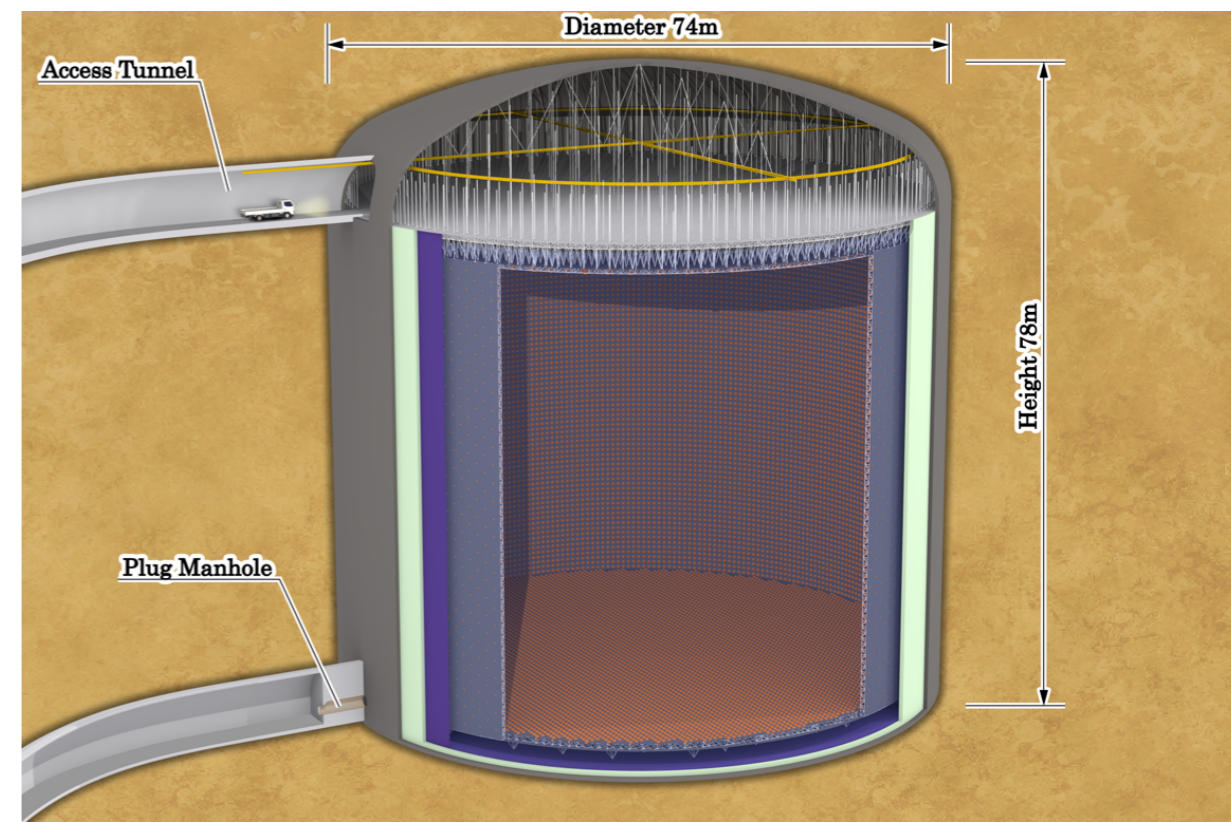


# Tank Design

Old: Horizontal Egg-shaped Tank



New: Optimised Vertical Tank

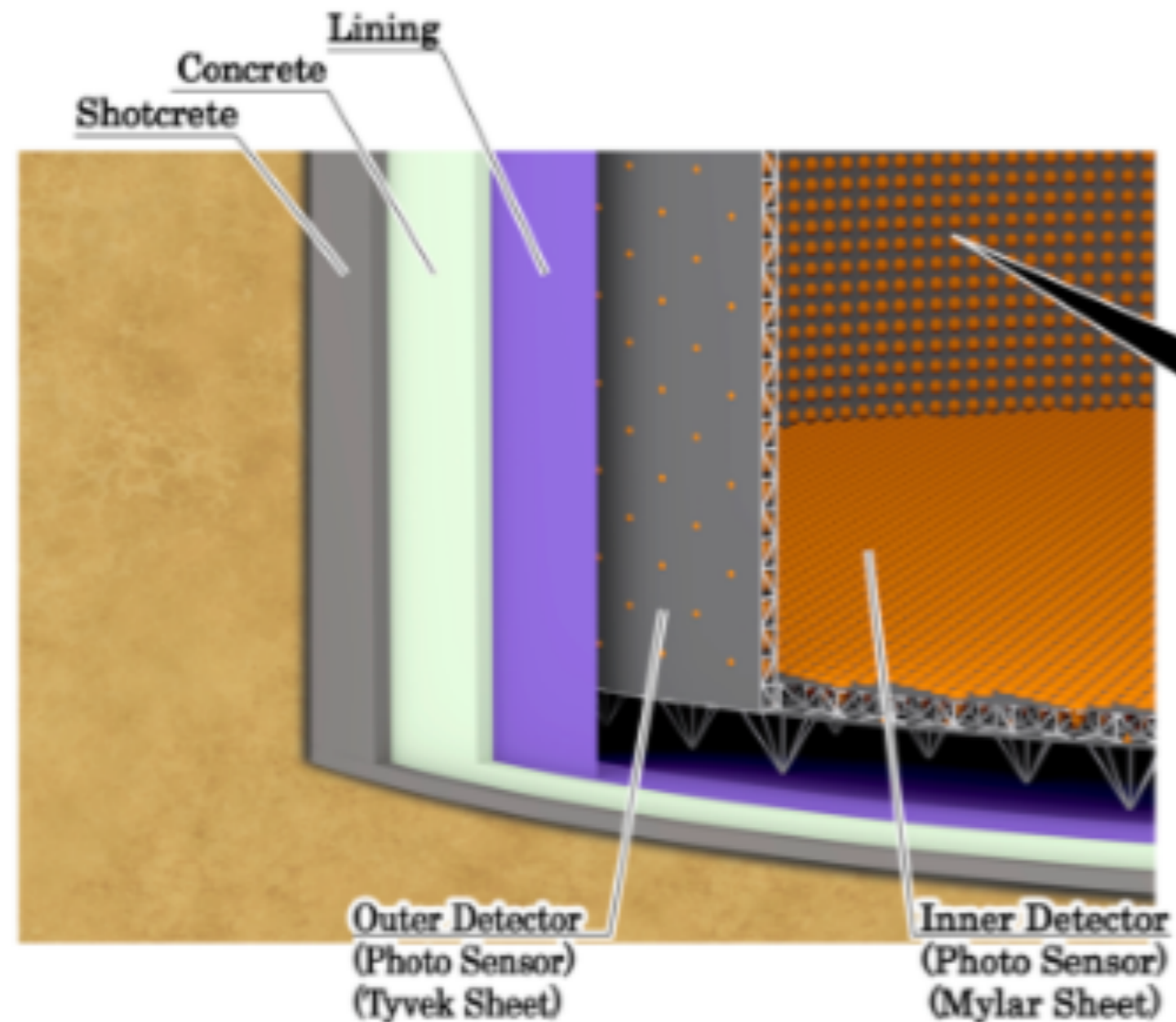




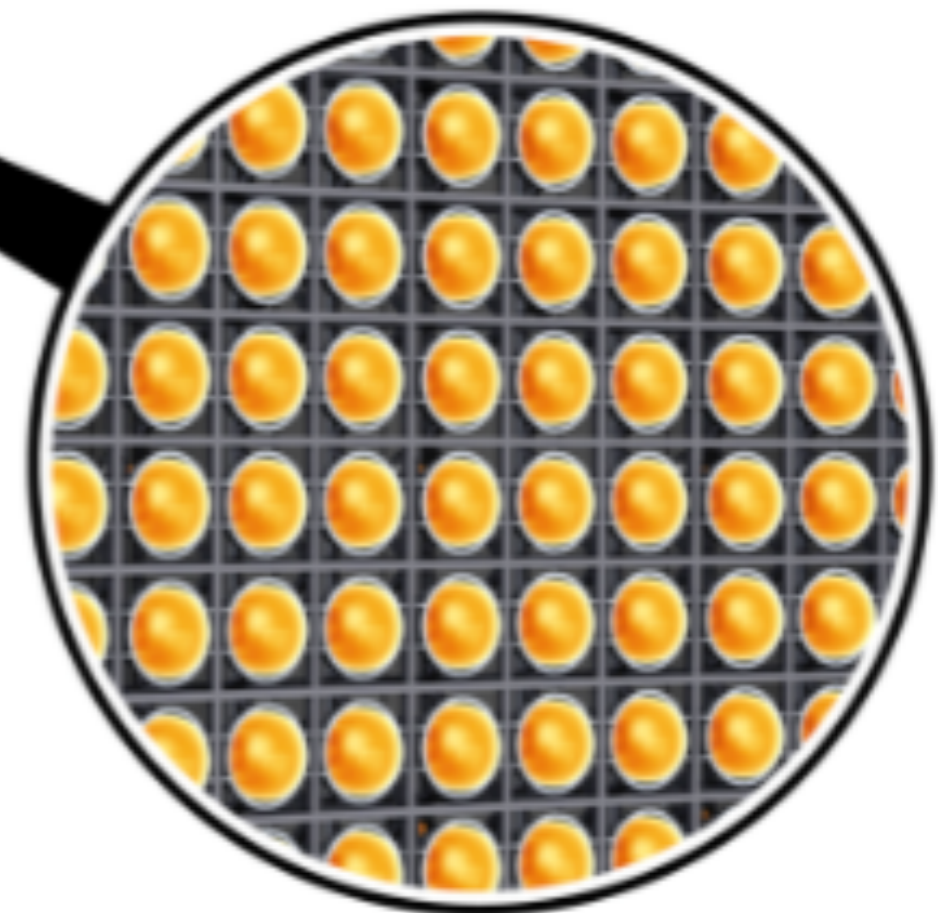
# Tank Design

ID: 40% photo-coverage  
40,000 photo sensors per tank  
OD:

## Structure of bottom part



## Photo-Sensors

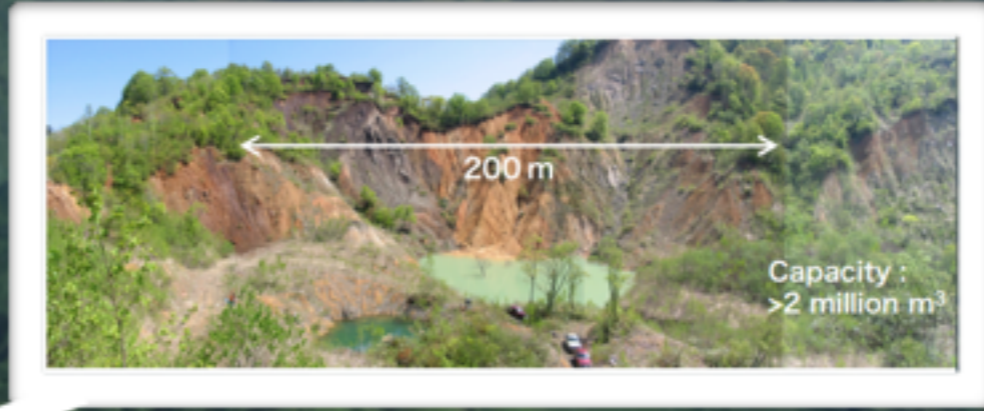


Mt. Ikeno-yama

SK

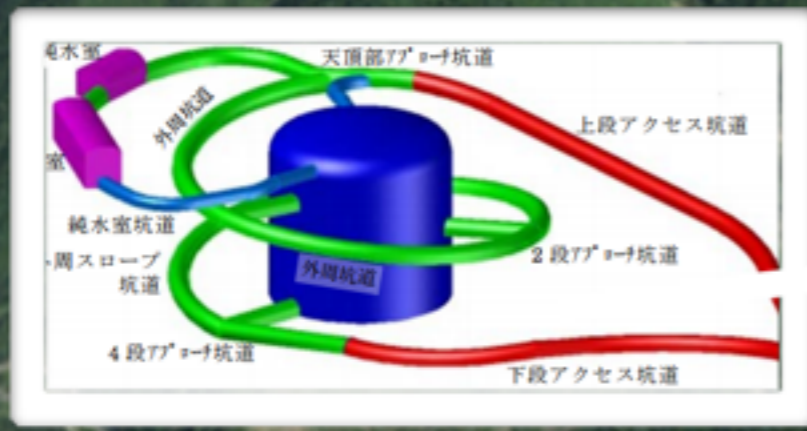
1000 m

Maruyama



Excavated rock disposal site

Mt. Nijyugo-yama



650 m

HK



Tunnel Entrance

Route 41

Kamioka Town

Wasabo

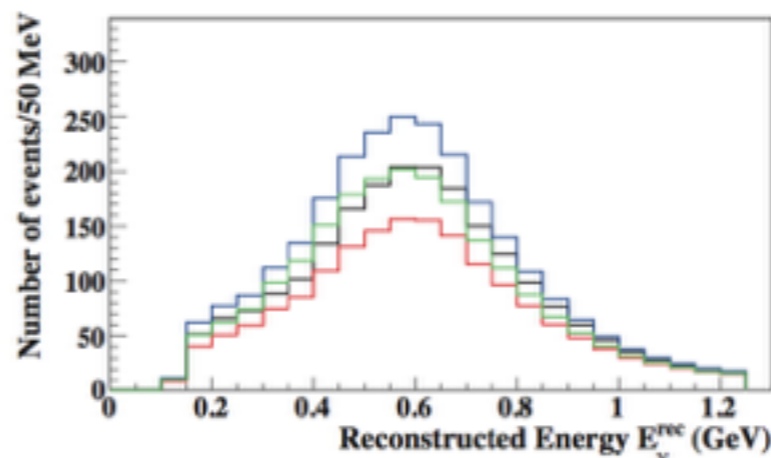
Funatsu Bridge

Google

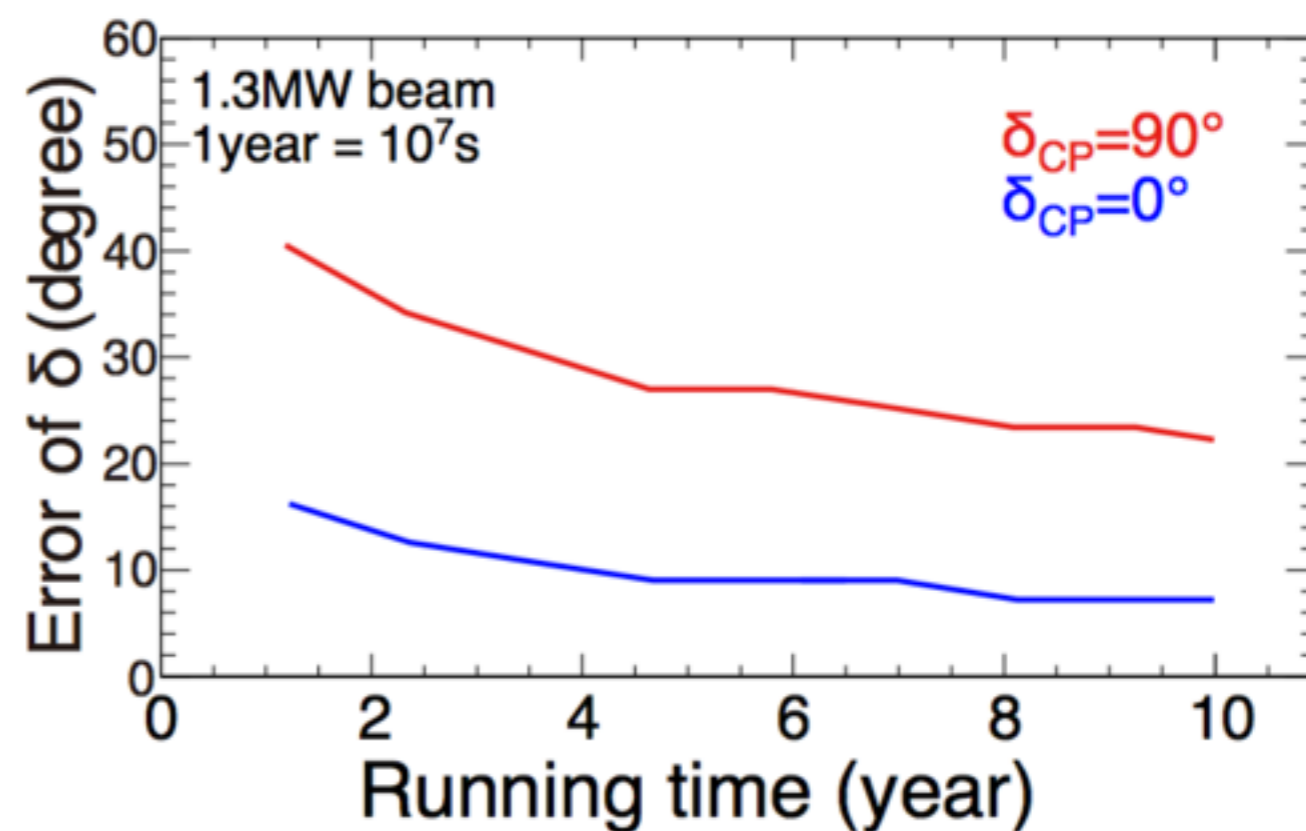
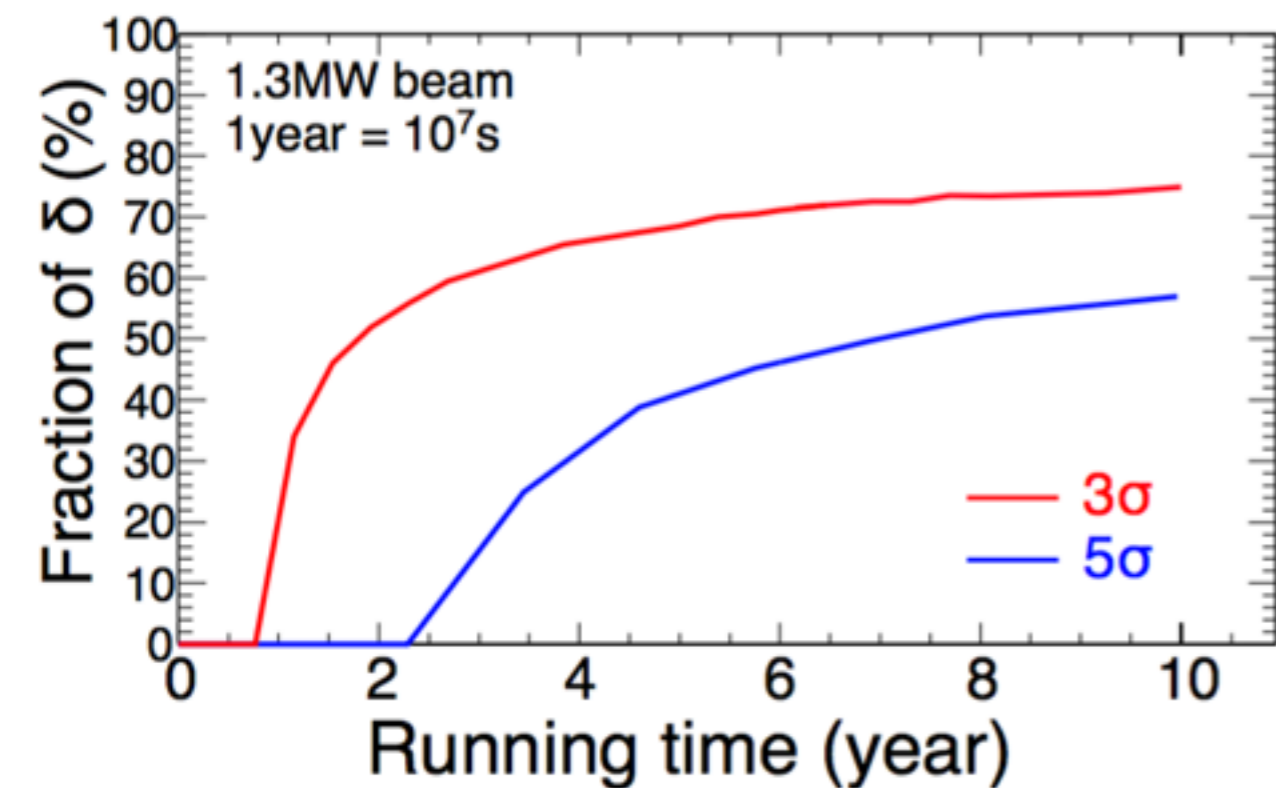
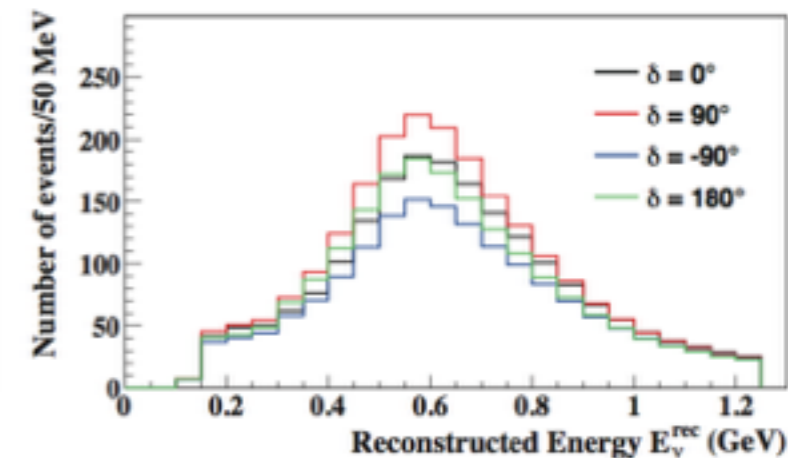
# Hyper-K Projected Sensitivity

10 years x 1 tank x 1.3 MW  
 $\nu_e \sim 2058$ ,  $\bar{\nu}_e \sim 1906$  events

Neutrino mode: appearance



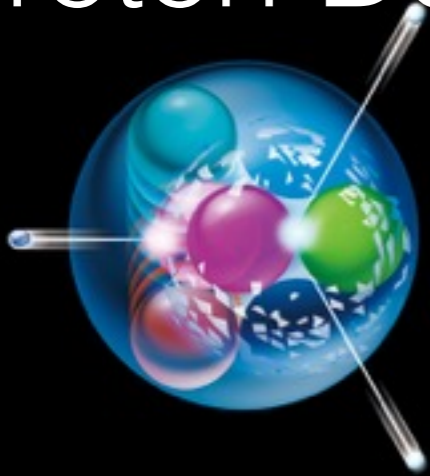
Antineutrino mode: appearance



Assuming 3-4% systematic uncertainty (cf T2K present  $\sim 6\%$ )

# Physics at Hyper-K

Proton Decay

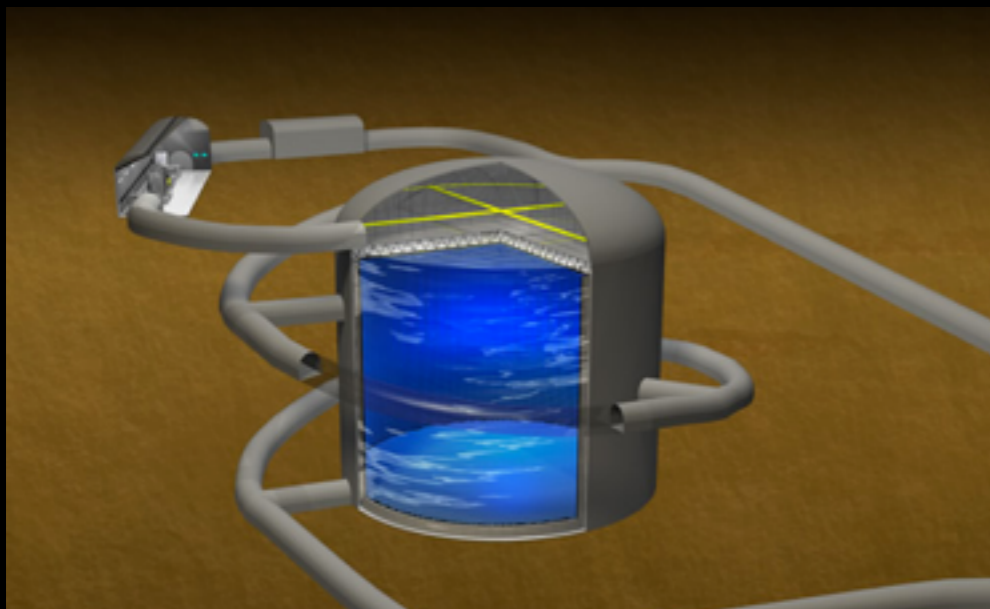
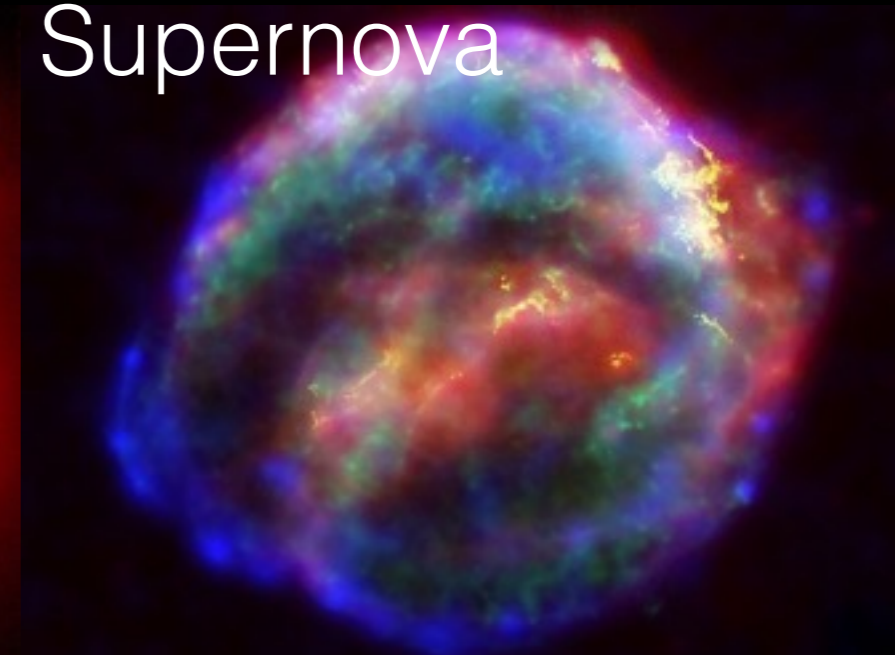


Neutrinos

Solar



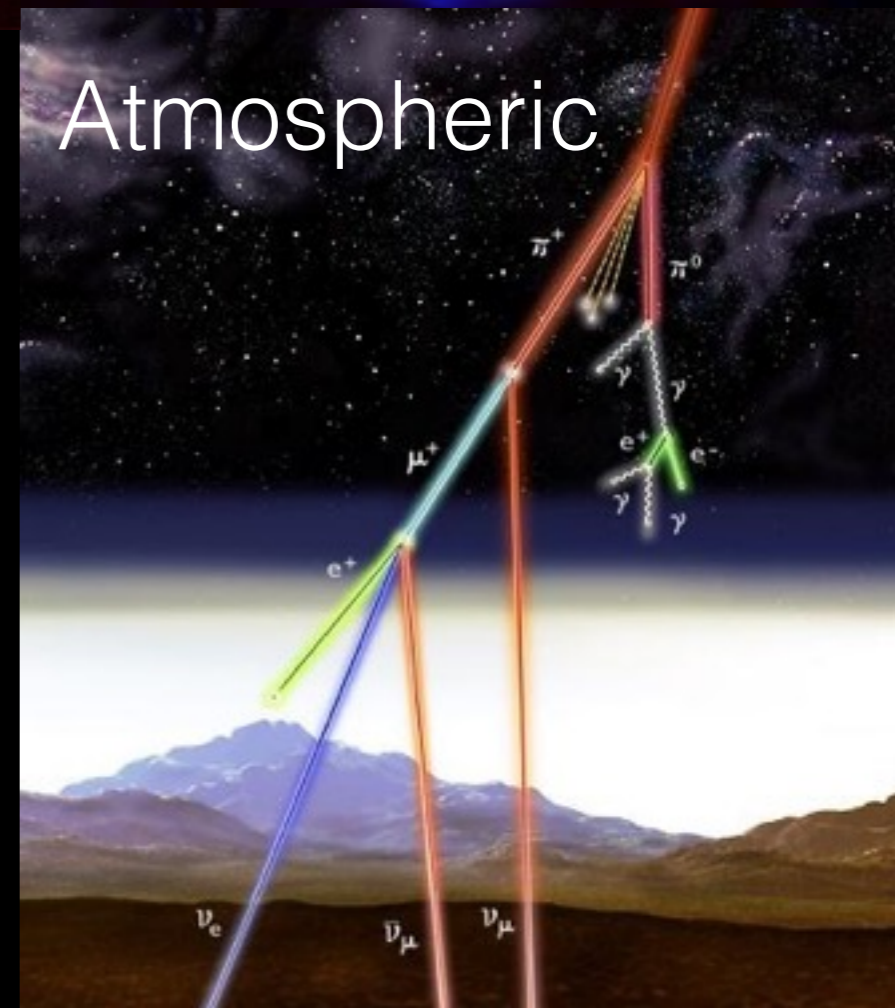
Supernova



Accelerator



Atmospheric



Broad physics programme.

# Statistics

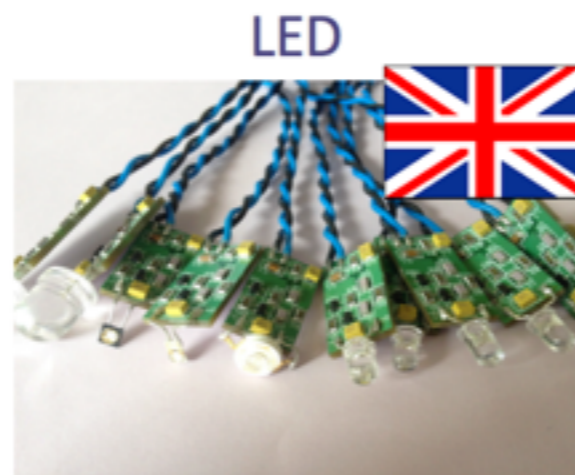
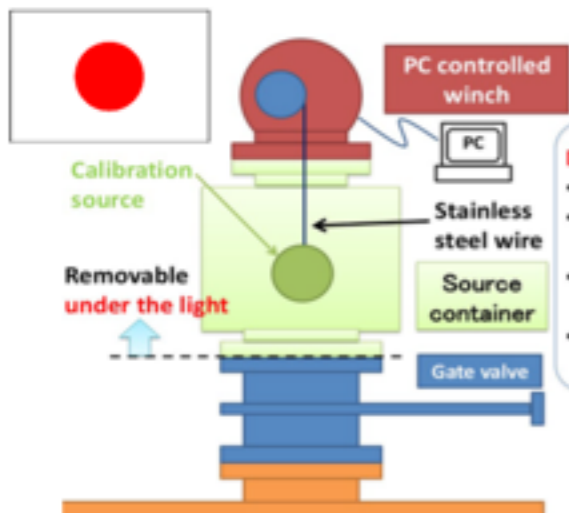
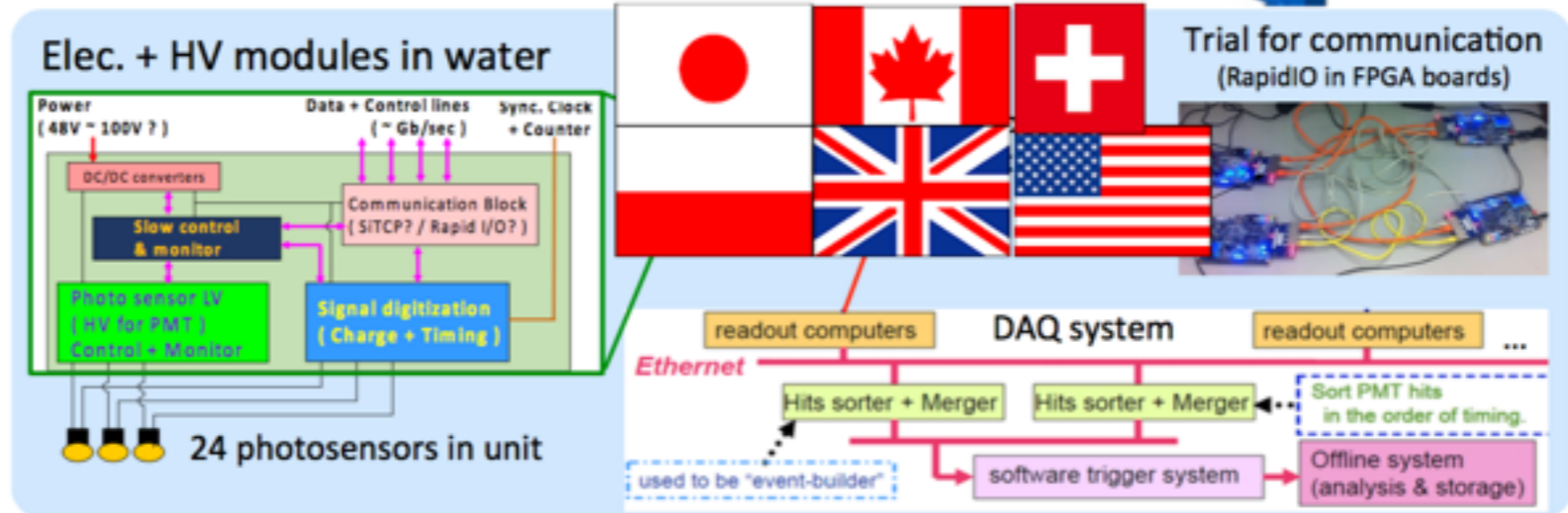
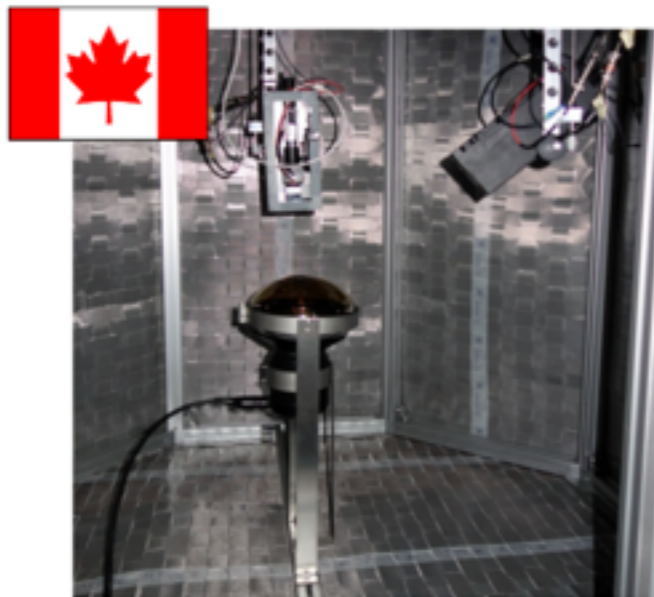
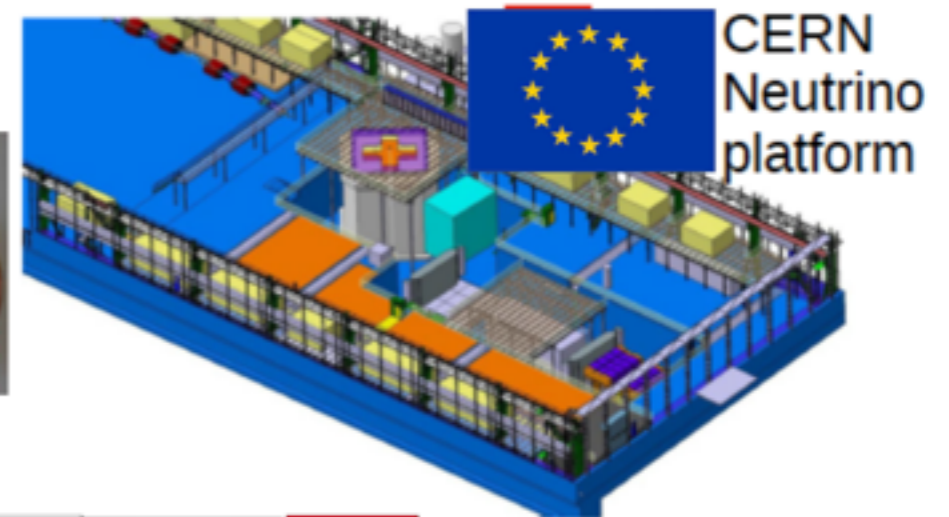
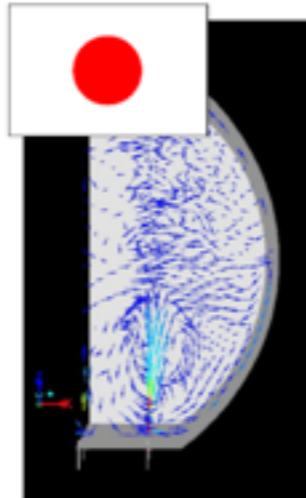
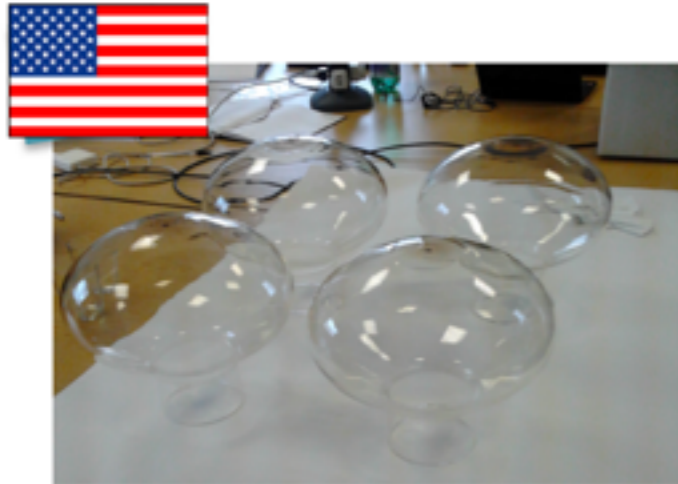
Experiment	$\nu_e + \bar{\nu}_e$	$1/\sqrt{N}$	Ref.
T2K (current)	74 + 7	12% + 40%	2.2×10 <sup>21</sup> POT
NOvA (current)	33	17%	FERMILAB-PUB-17-065-ND
NOvA (projected)	110 + 50	10% + 14%	arXiv:1409.7469 [hep-ex]
T2K-I (projected)	150 + 50	8% + 14%	7.8×10 <sup>21</sup> POT, arXiv:1409.7469 [hep-ex]
T2K-II	470 + 130	5% + 9%	20×10 <sup>21</sup> POT, arXiv1607.08004 [hep-ex]
Hyper-K	2058 + 1906	2% + 2%	10 yrs 1-tank 2017 Design Report TBR
DUNE	1200 + 350	3% + 5%	3.5+3.5 yrs x 40kt @ 1.07 MW arXiv:1512.06148 [physics.ins-det]

Current appearance measurements stats dominate

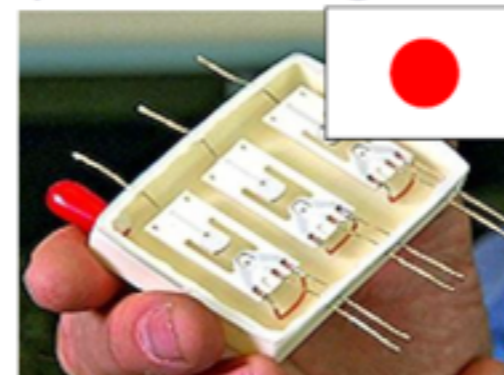
$O(10^3) \nu_e$  at future experiments → demands ~2% systematics

$O(10^4) \nu_\mu$  → need systematics as good as we can get!

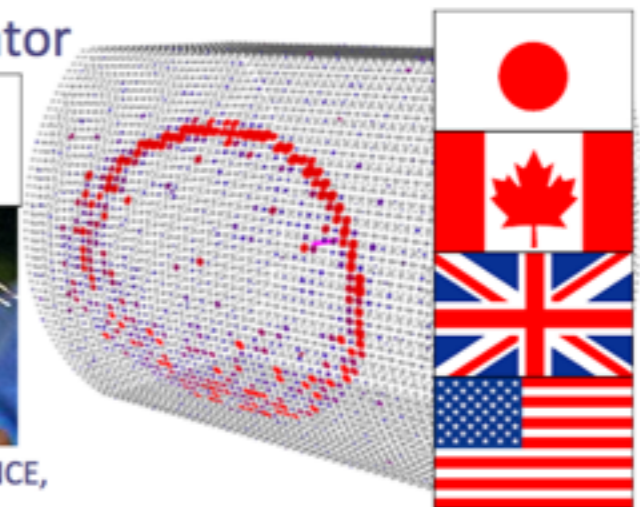
# Worldwide R&D



LED Compact neutron generator



IEEE TRANSACTIONS ON PLASMA SCIENCE,  
VOL. 40, NO. 9, SEPTEMBER 2012

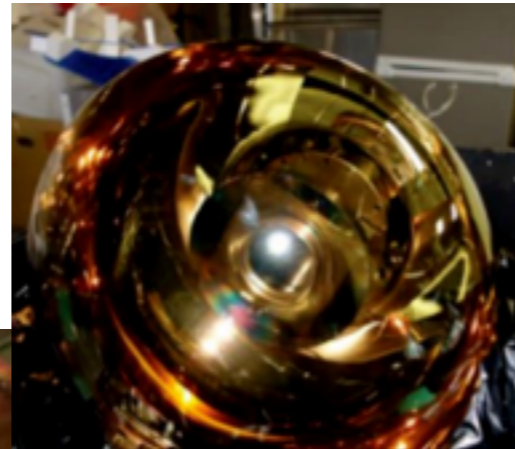


# Photo Sensors



Super-K PMT

QE 22%  
CE 80%



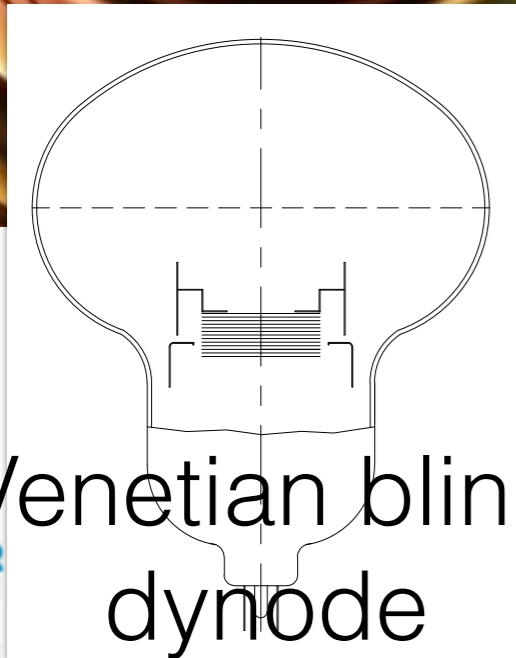
High QE/CE PMT

QE 30%  
CE 93%

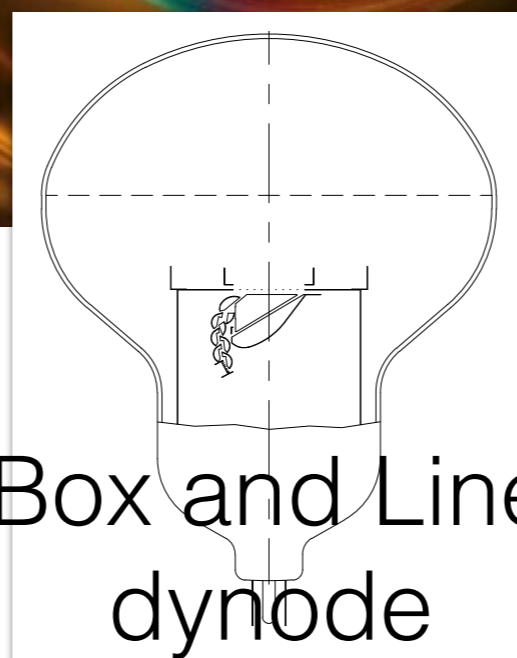


High QE/CE Hybrid PD

QE 30%  
CE 95%



Venetian blind  
dynode



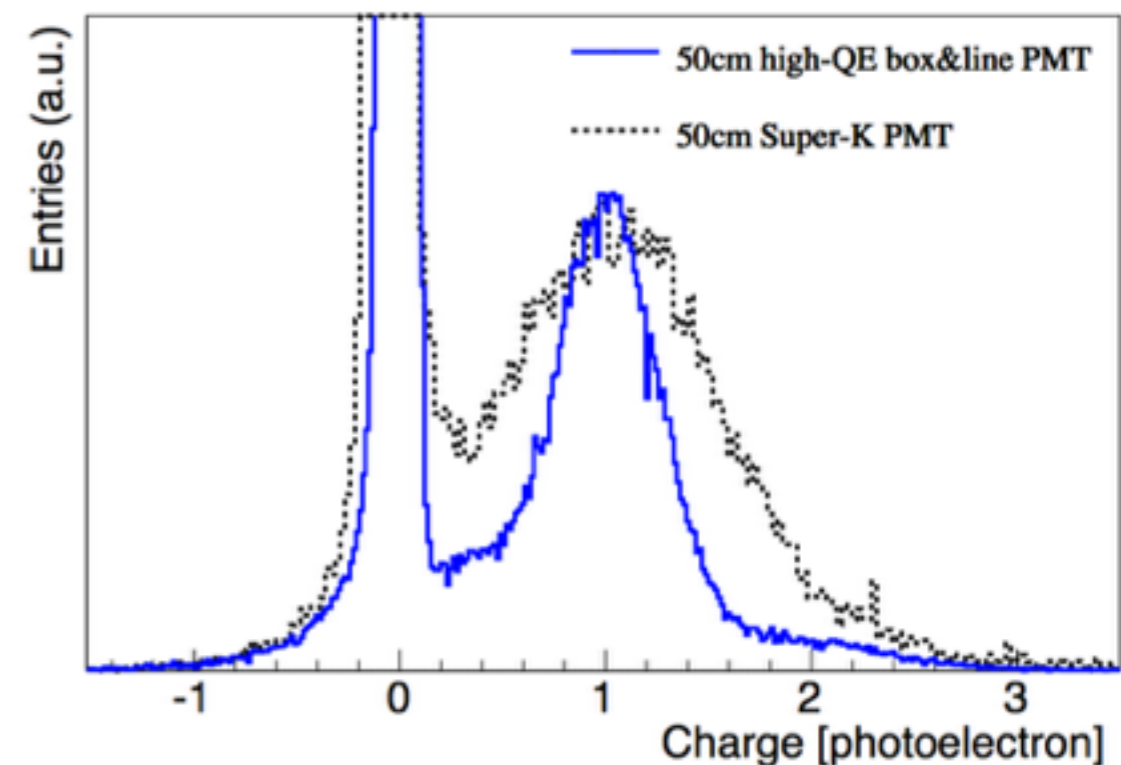
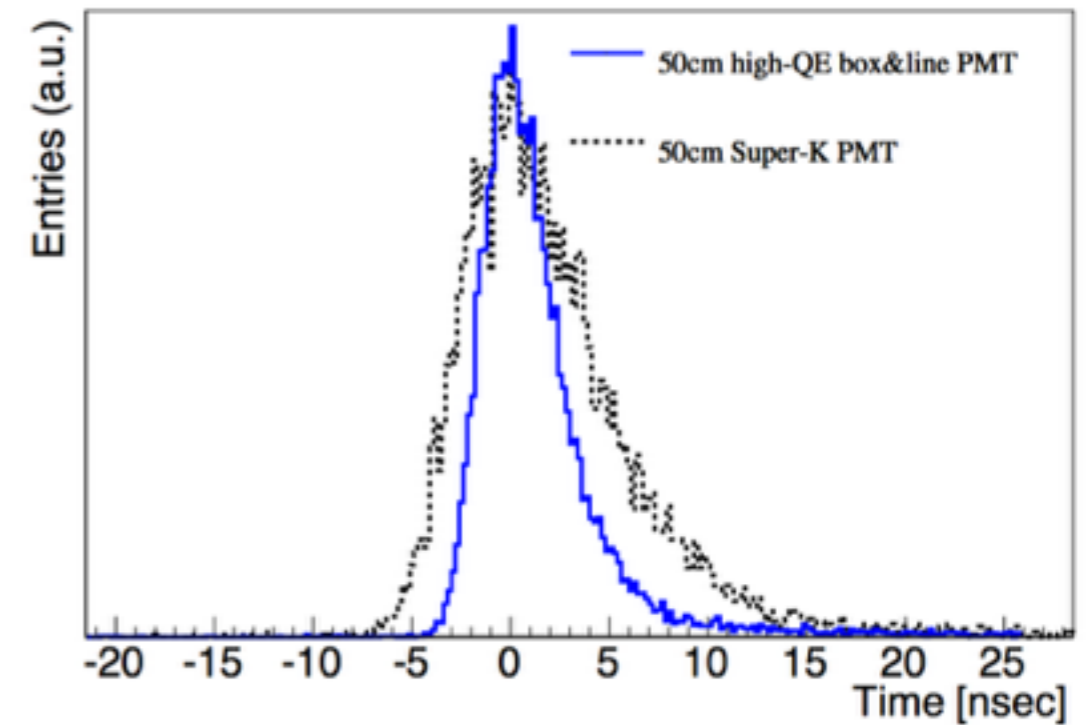
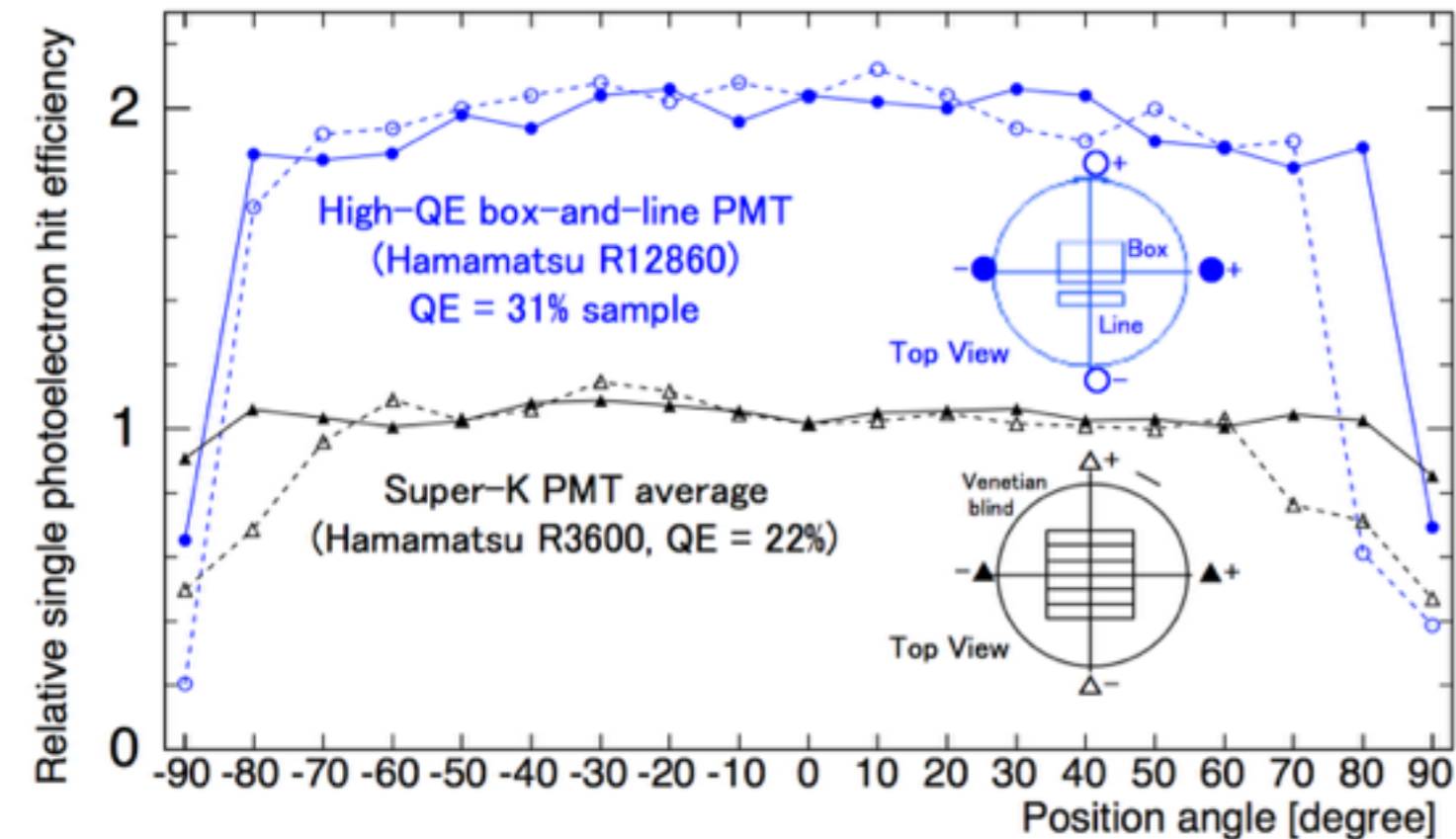
Box and Line  
dynode



Avalanche diode

# Photo Sensors

WARWICK

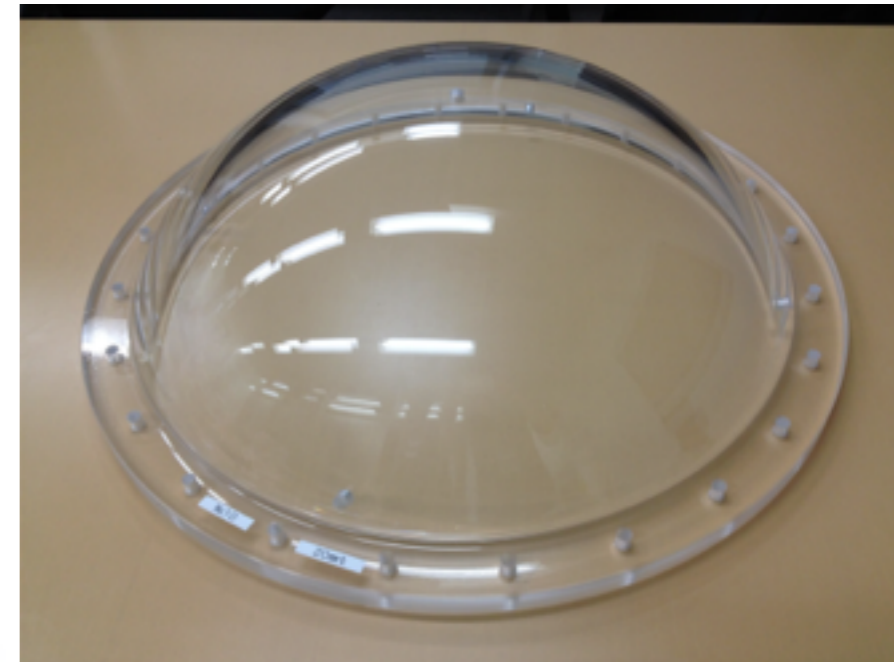
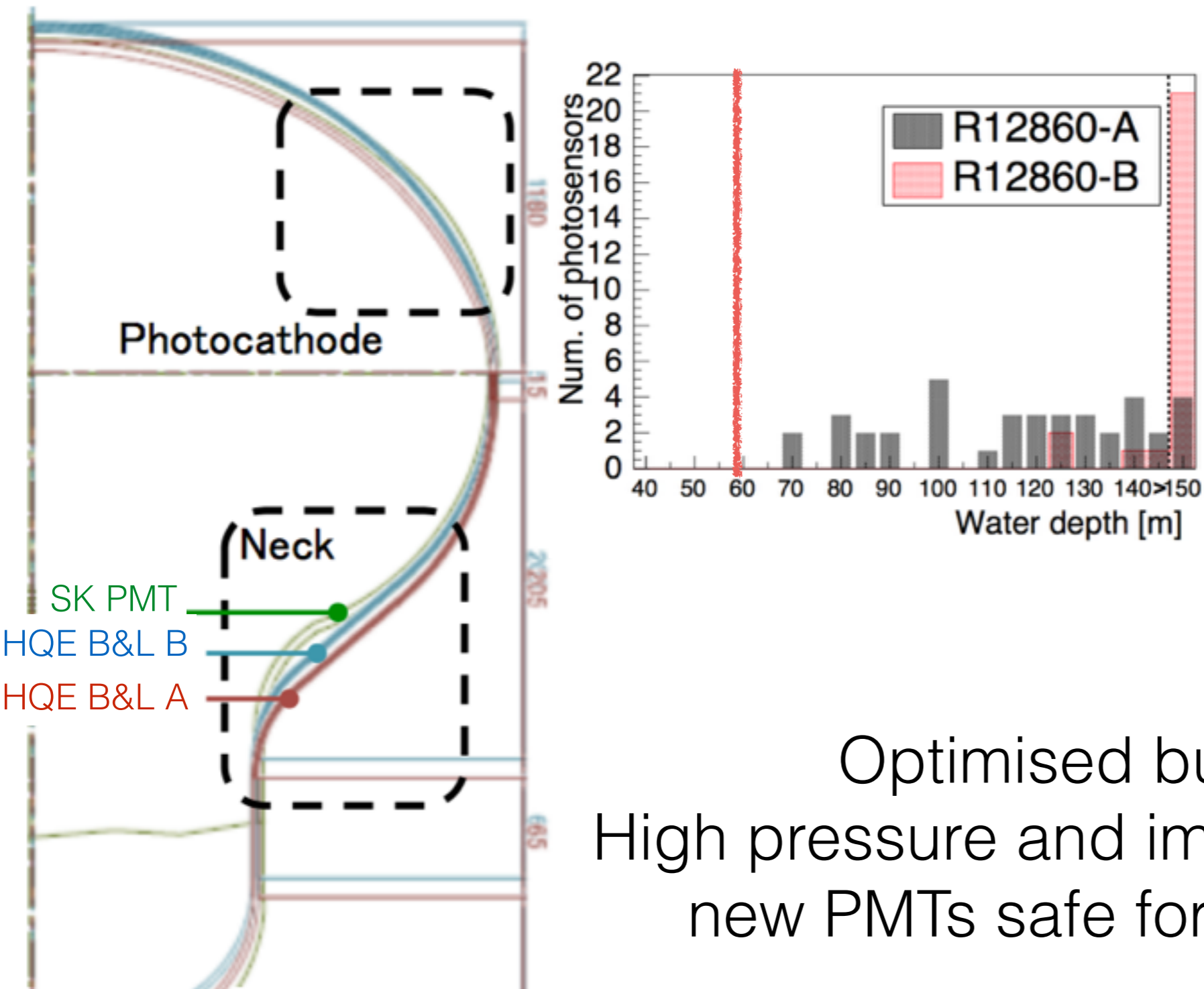


2x improvement in photon detection efficiency

Better timing and charge resolution



# Photo Sensors

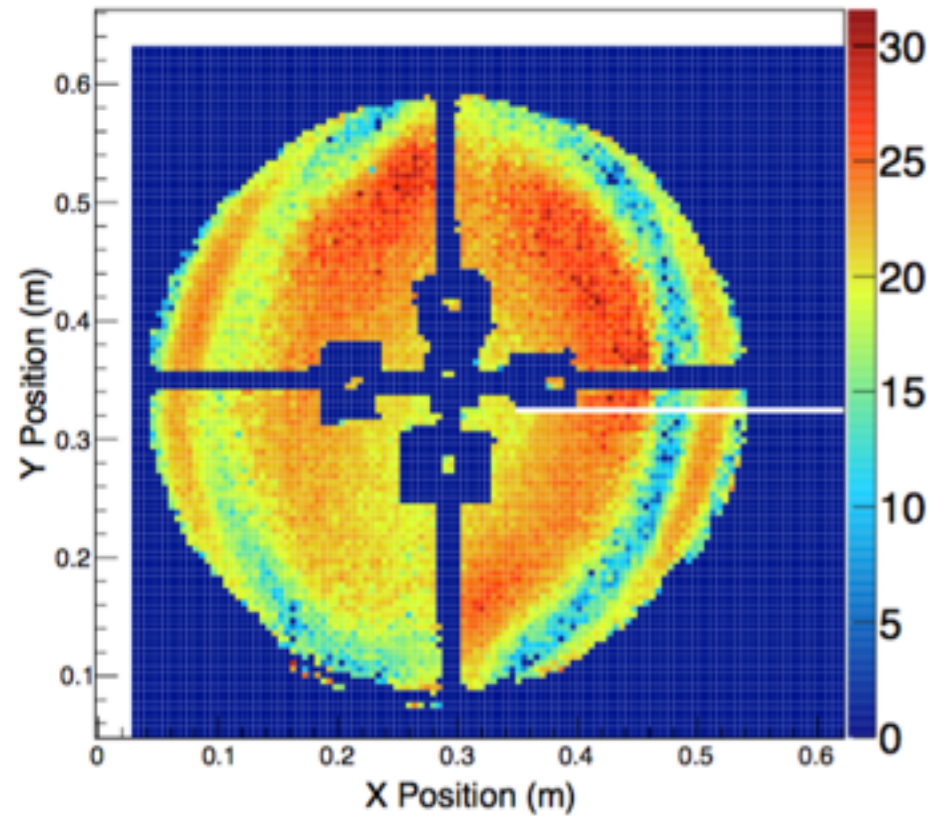
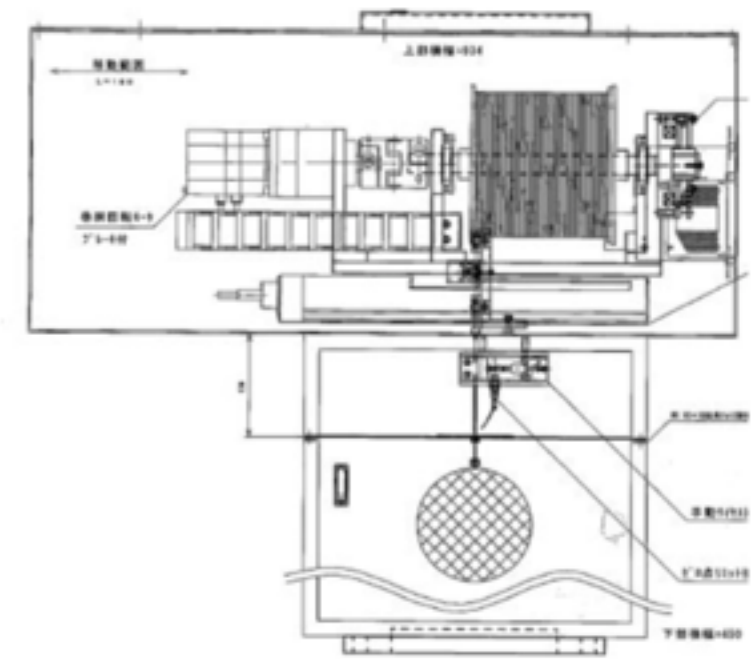
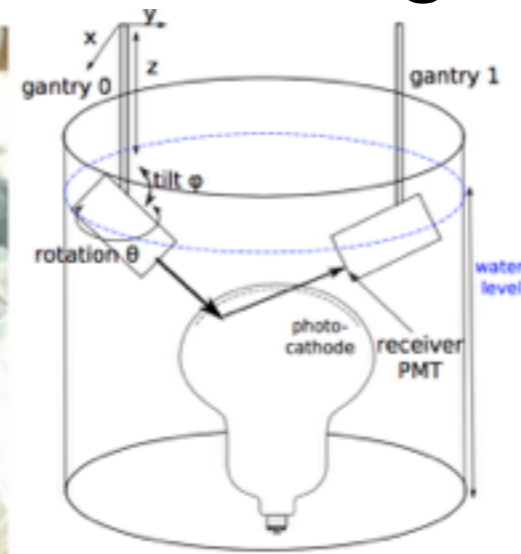
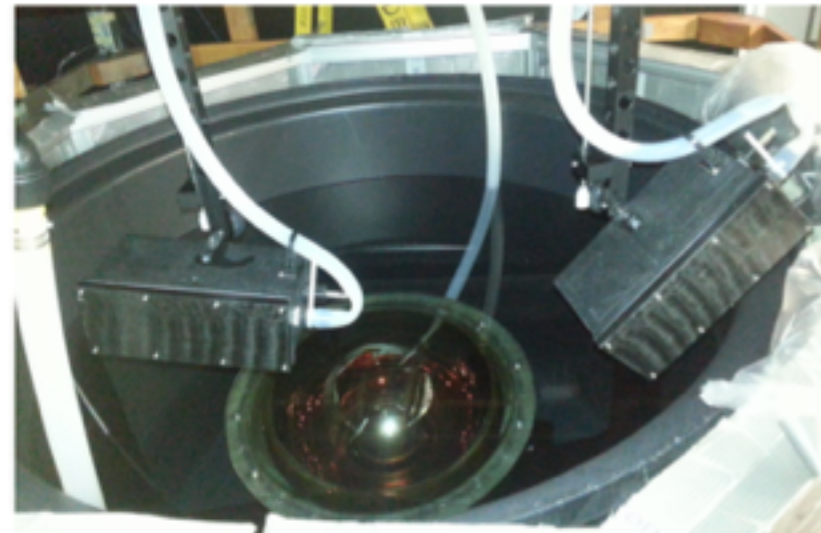


Optimised bulb design  
High pressure and implosion tests show  
new PMTs safe for use in HK tank

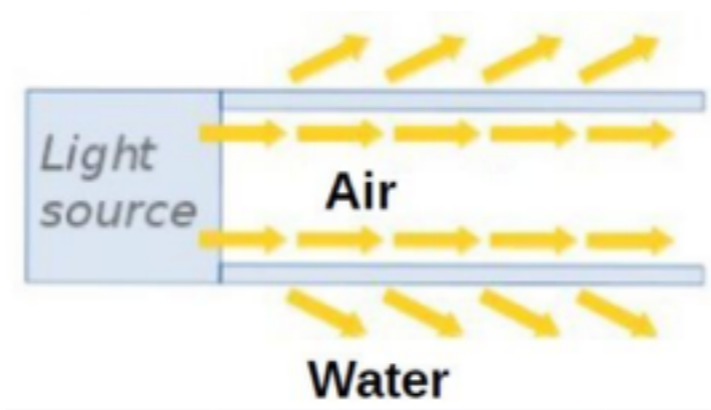
# Calibration

Precise PMT response testing

Automated source deployment



Fake muon source

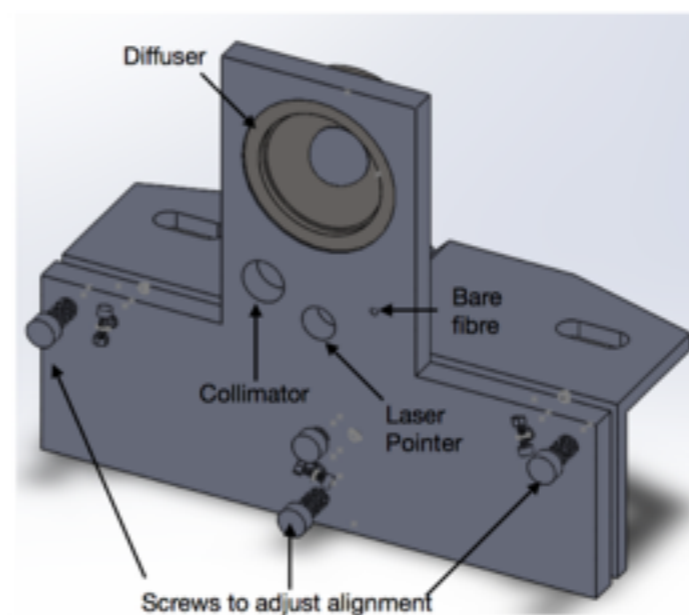
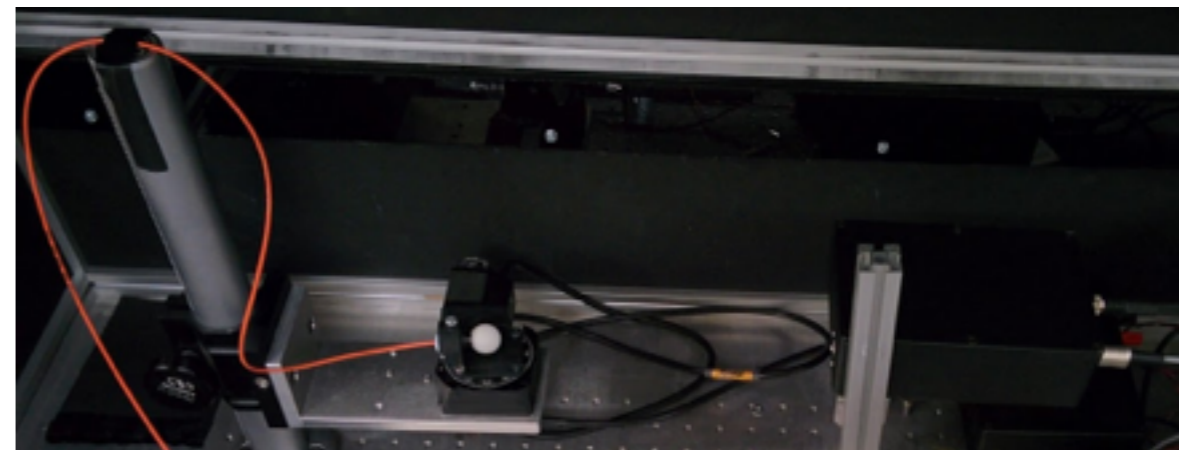
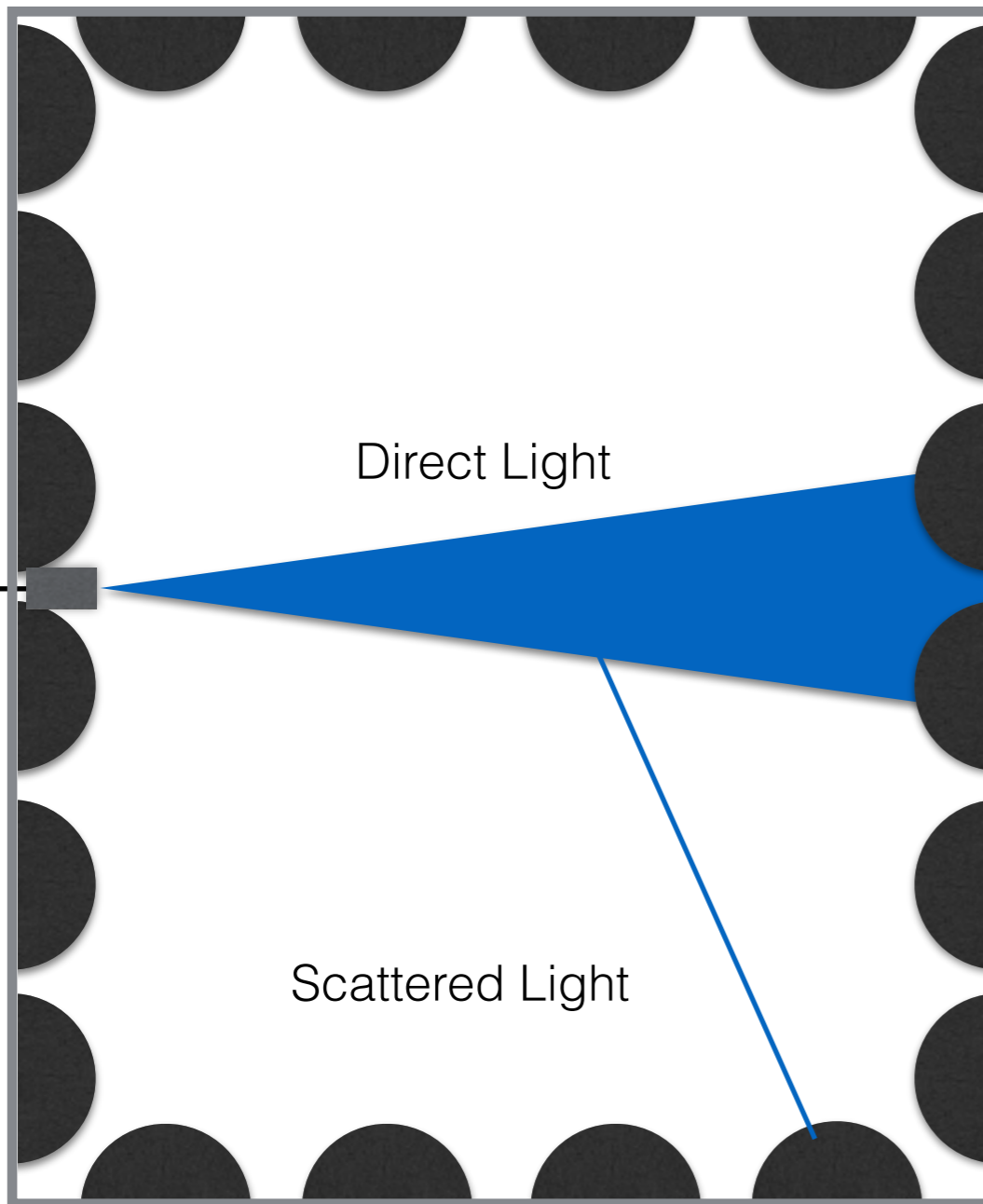


“Neutrino” Neutron Generator



# Calibration

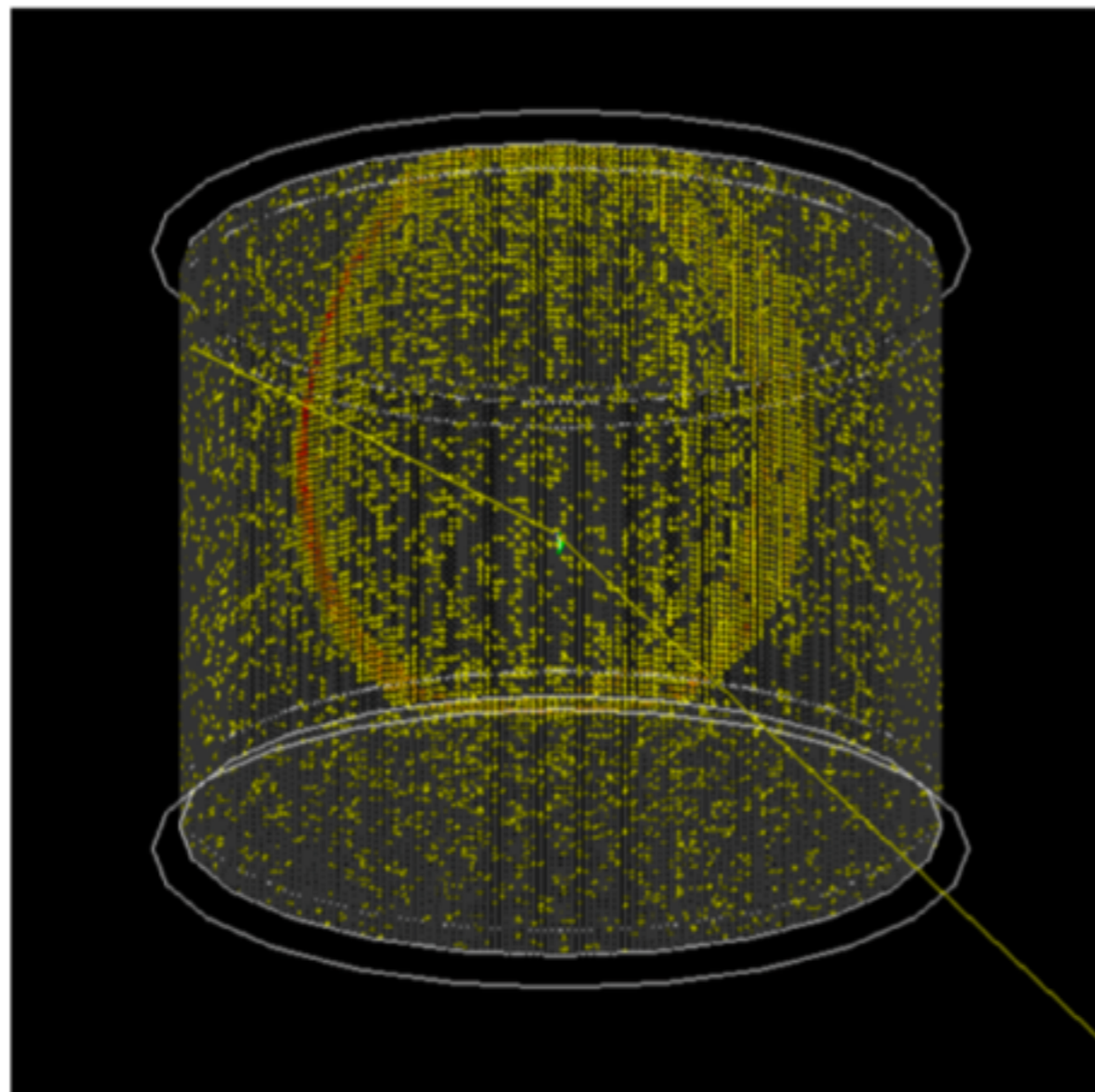
R&D for new optical calibration system in progress



Using Super-K 2018 shutdown for direct testing of newly developed calibration systems for Hyper-K

# Simulation

High fidelity calibration data is only as useful if it can be input into equally high fidelity simulation

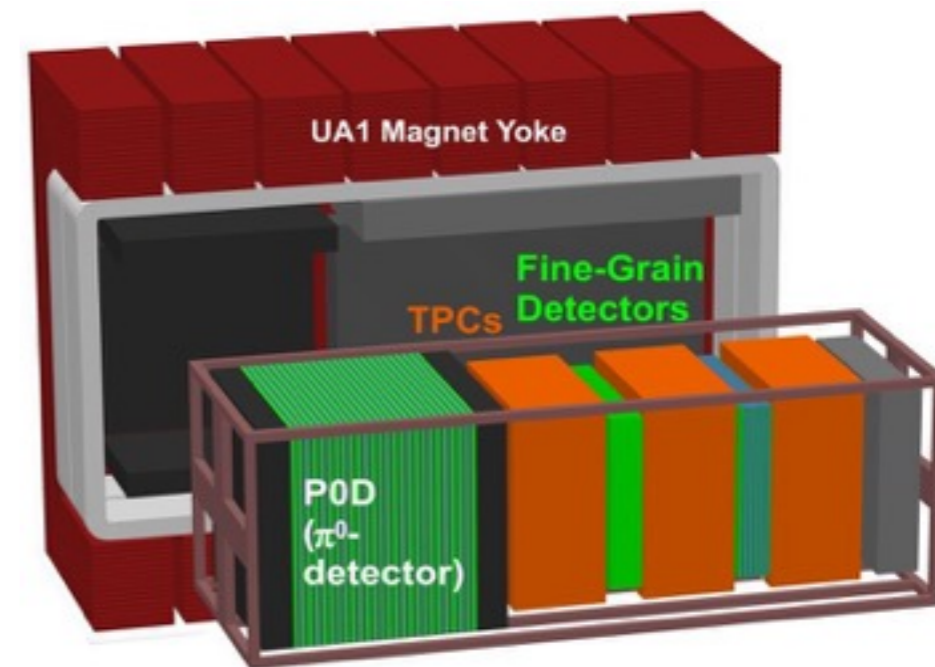


# Near Detector Development

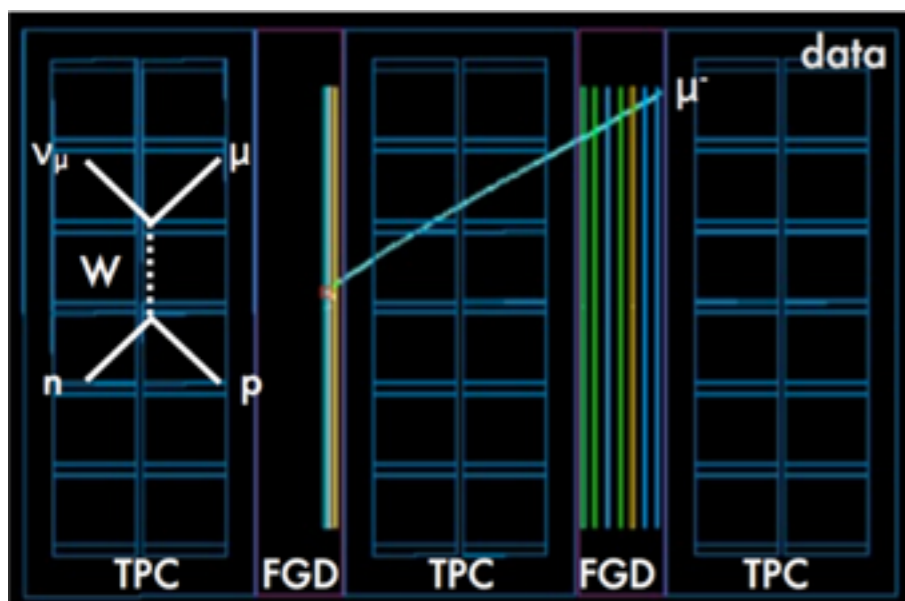
Carbon and Oxygen target materials

Acceptance differs from far detector

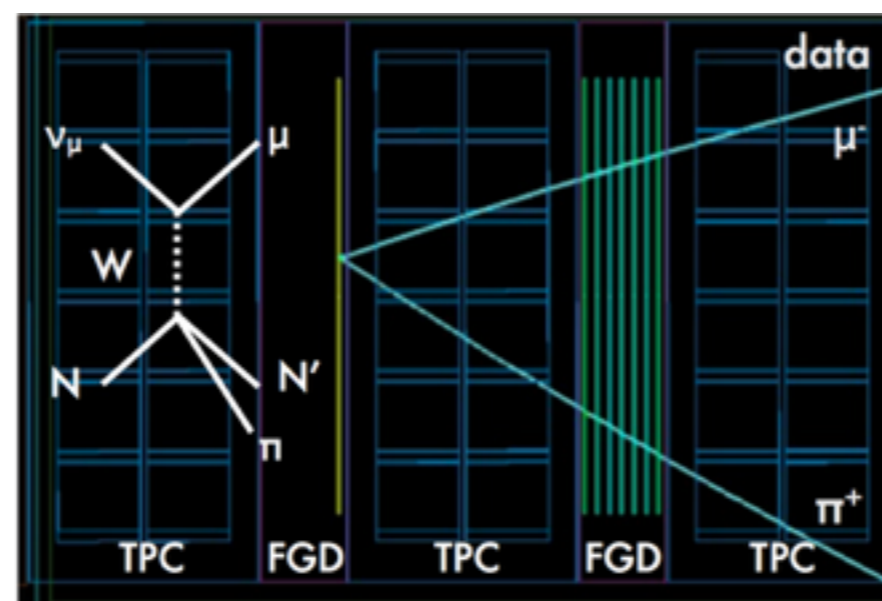
Magnetic field for sign selection



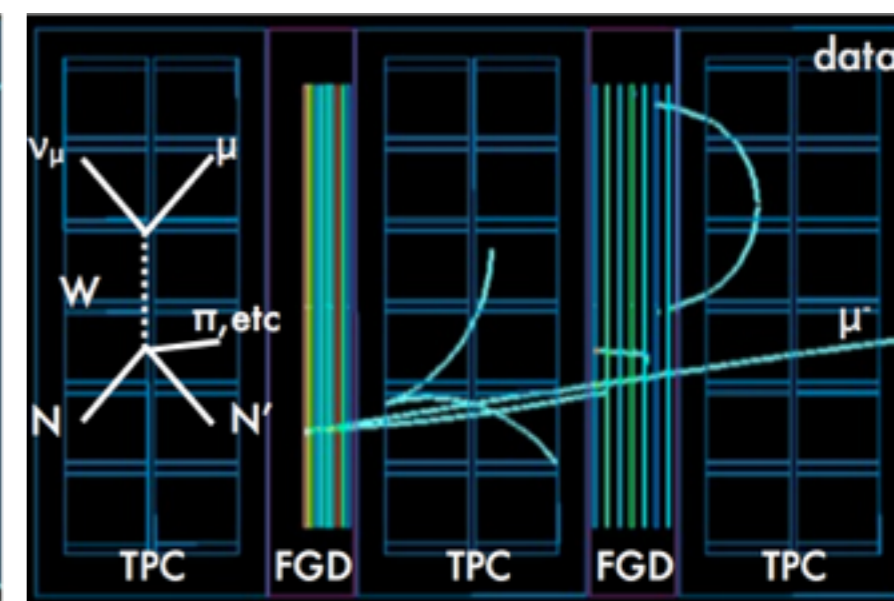
Near Detector (ND280)



CC  $1\mu + 0\pi + X$



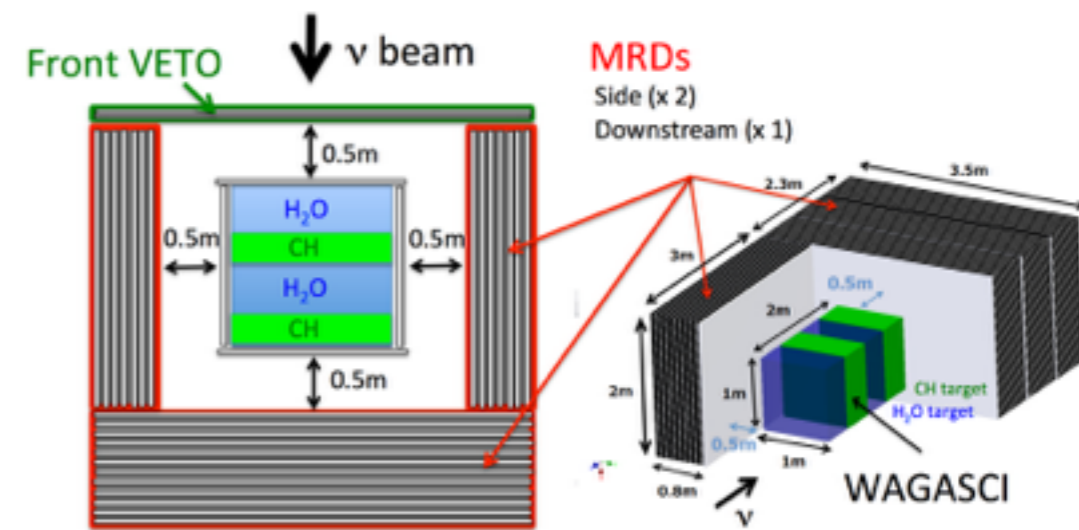
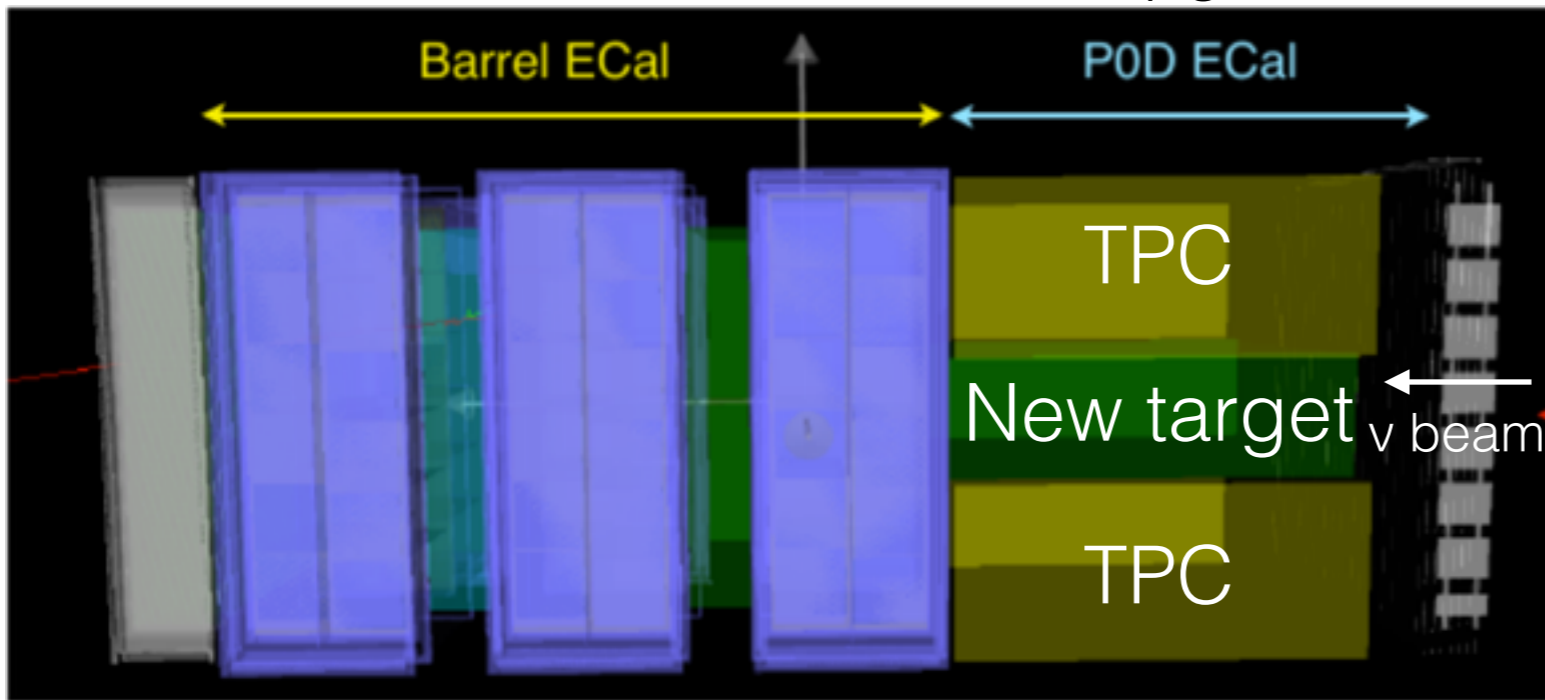
CC  $1\mu + 1\pi^+ + X$



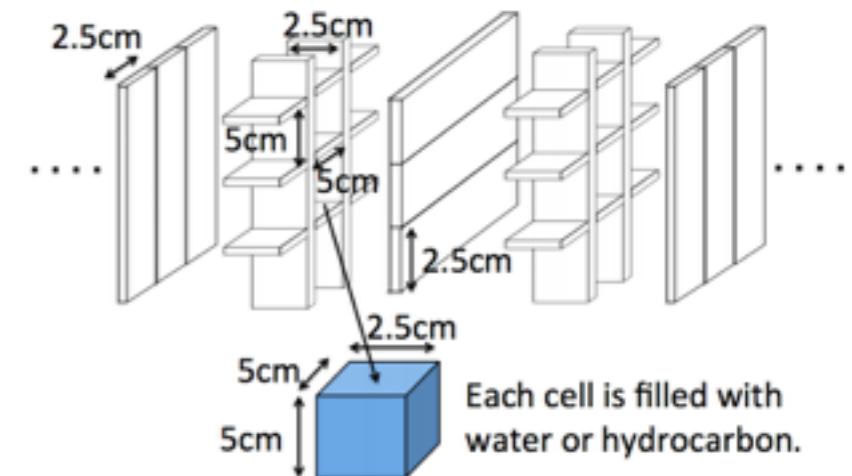
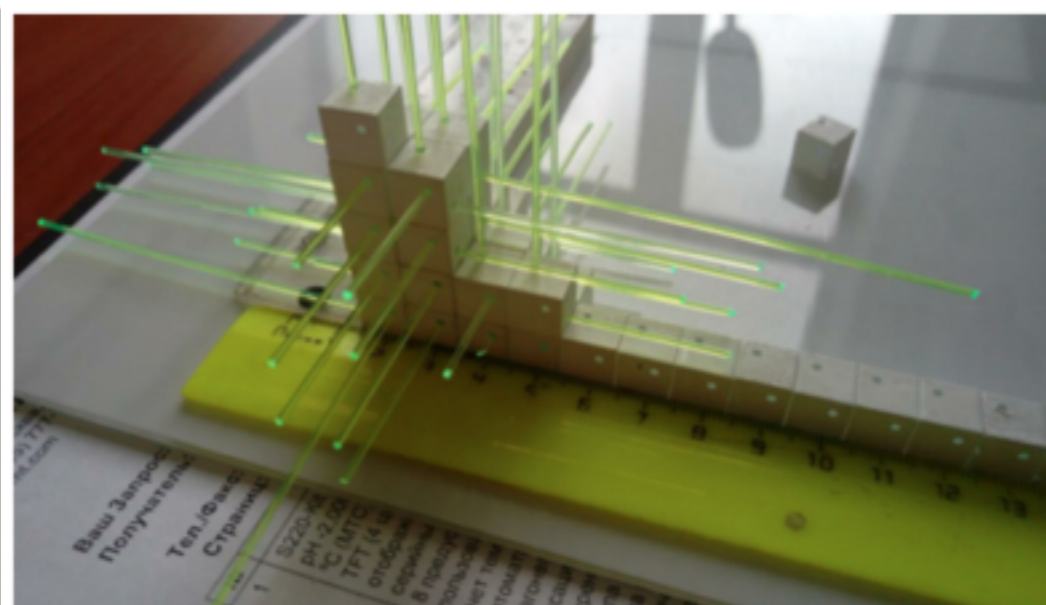
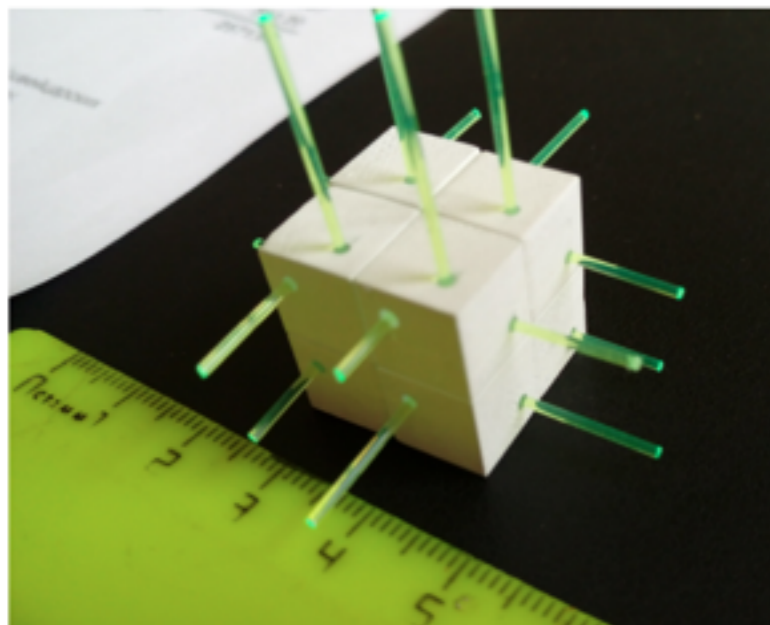
CC other

# Near Detector Development

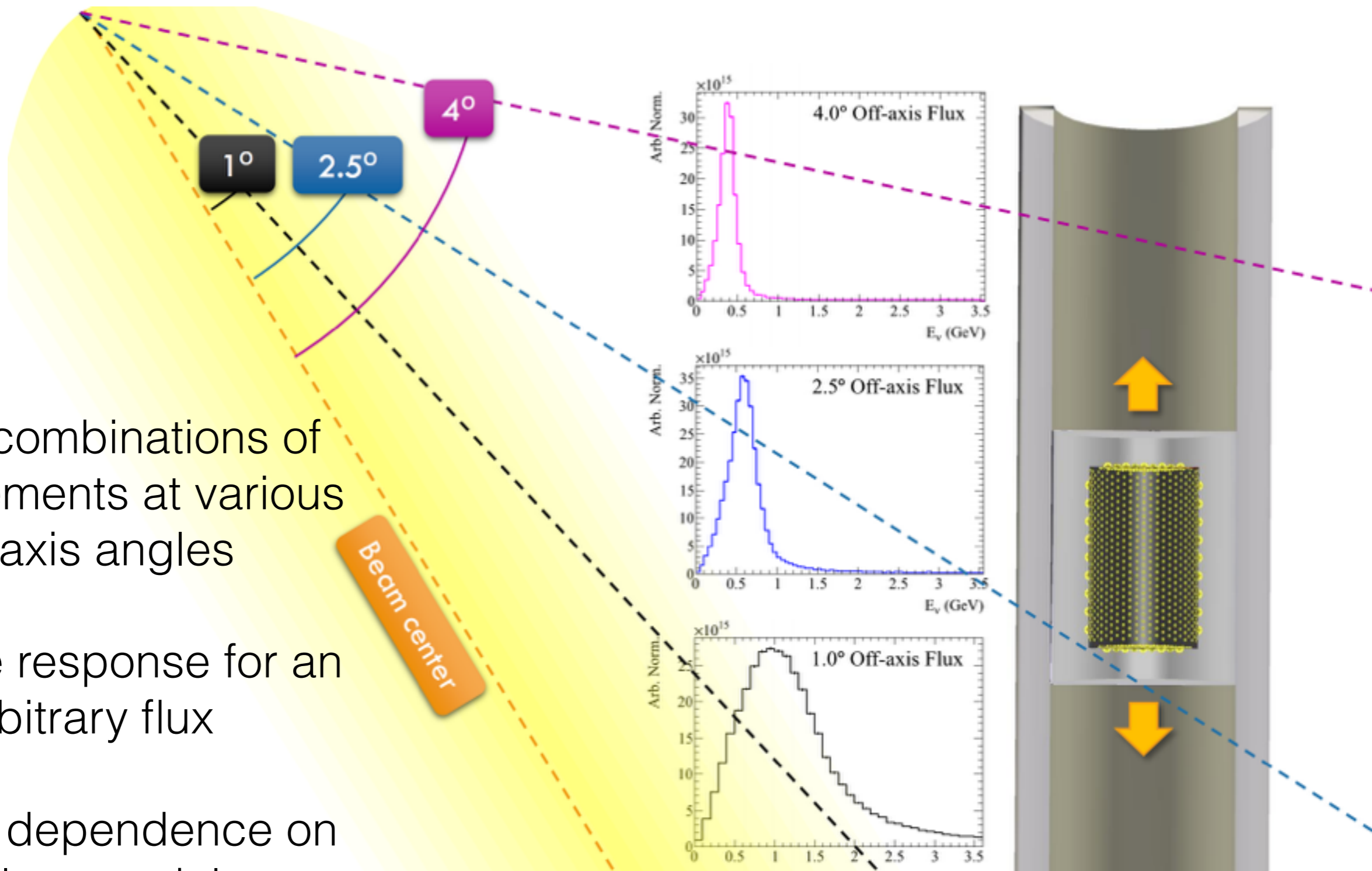
Planned ND280 Near Detector Upgrade



Near detector upgrades for T2K-II and T2HK era  
New target with increased angular acceptance



# E61 Experiment



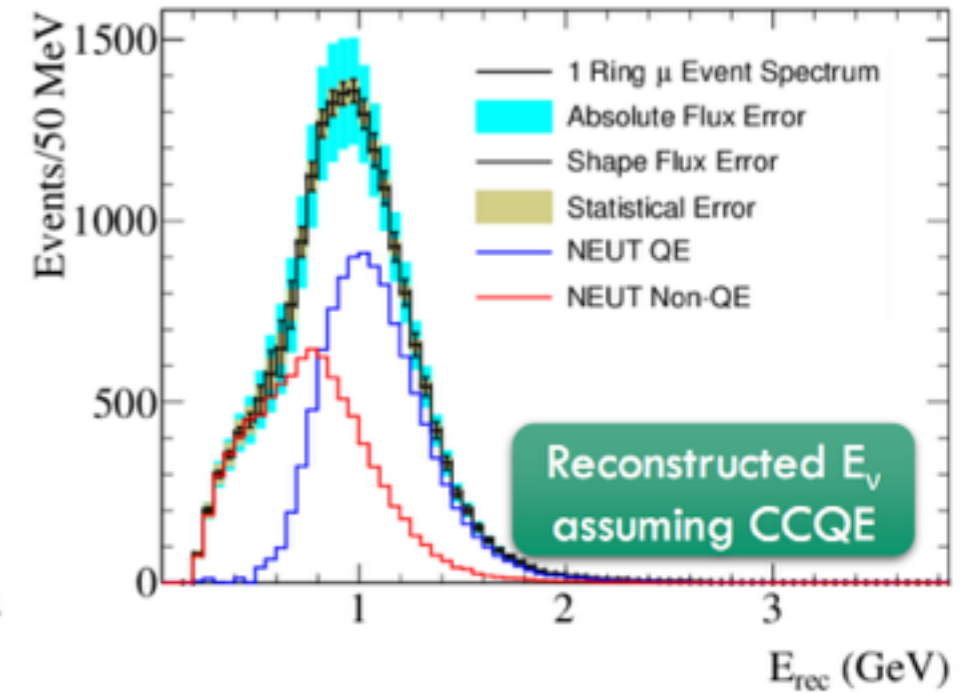
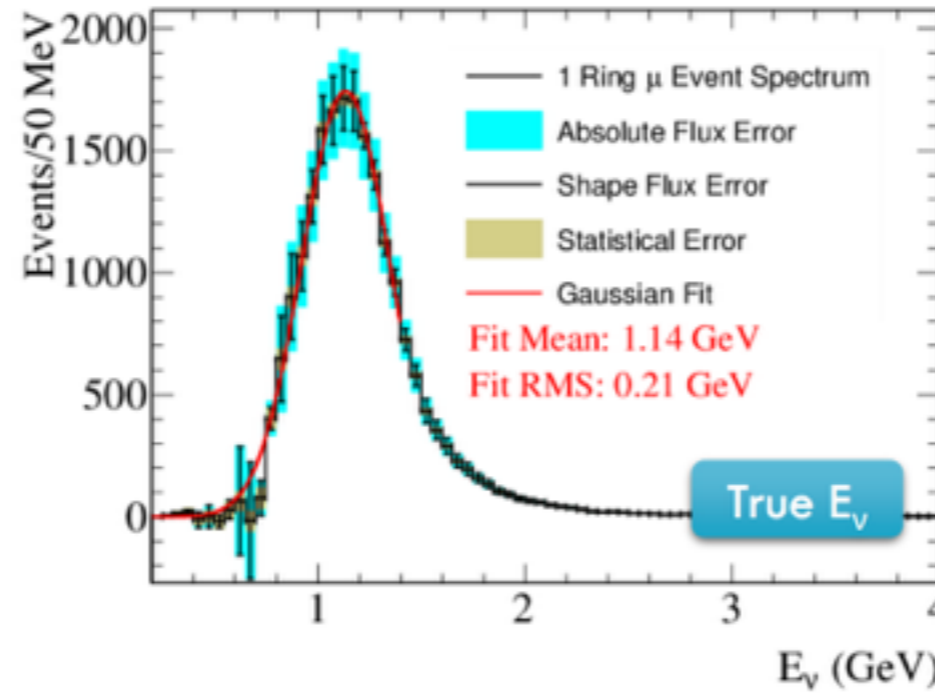
Linear combinations of measurements at various off-axis angles

Measure response for an arbitrary flux

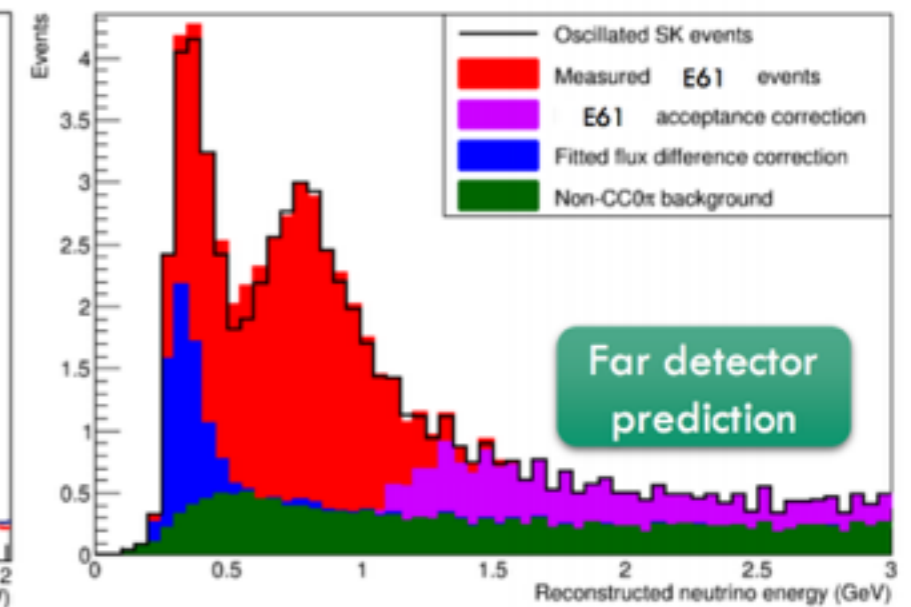
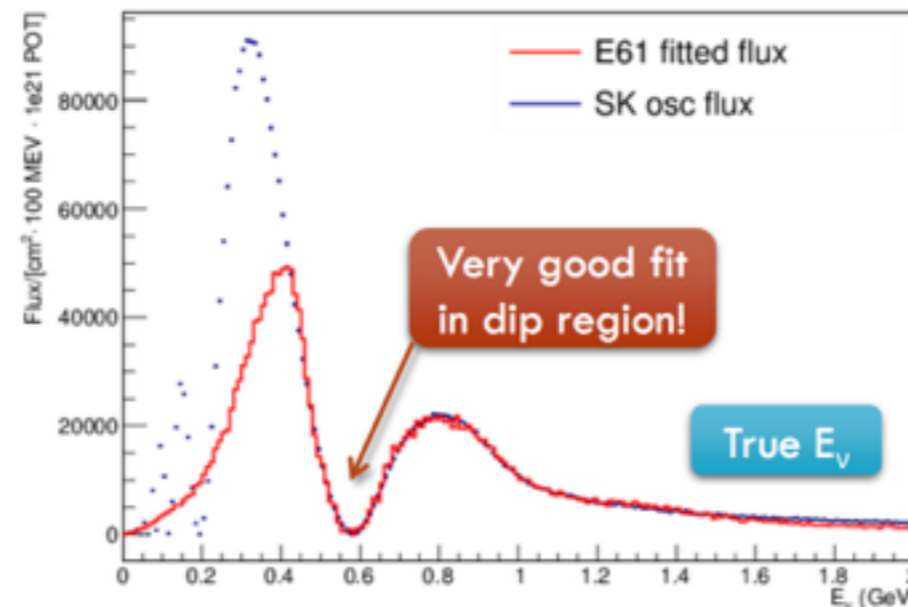
Reduce dependence on nuclear models

# E61 Experiment

Pseudo-monochromatic beams



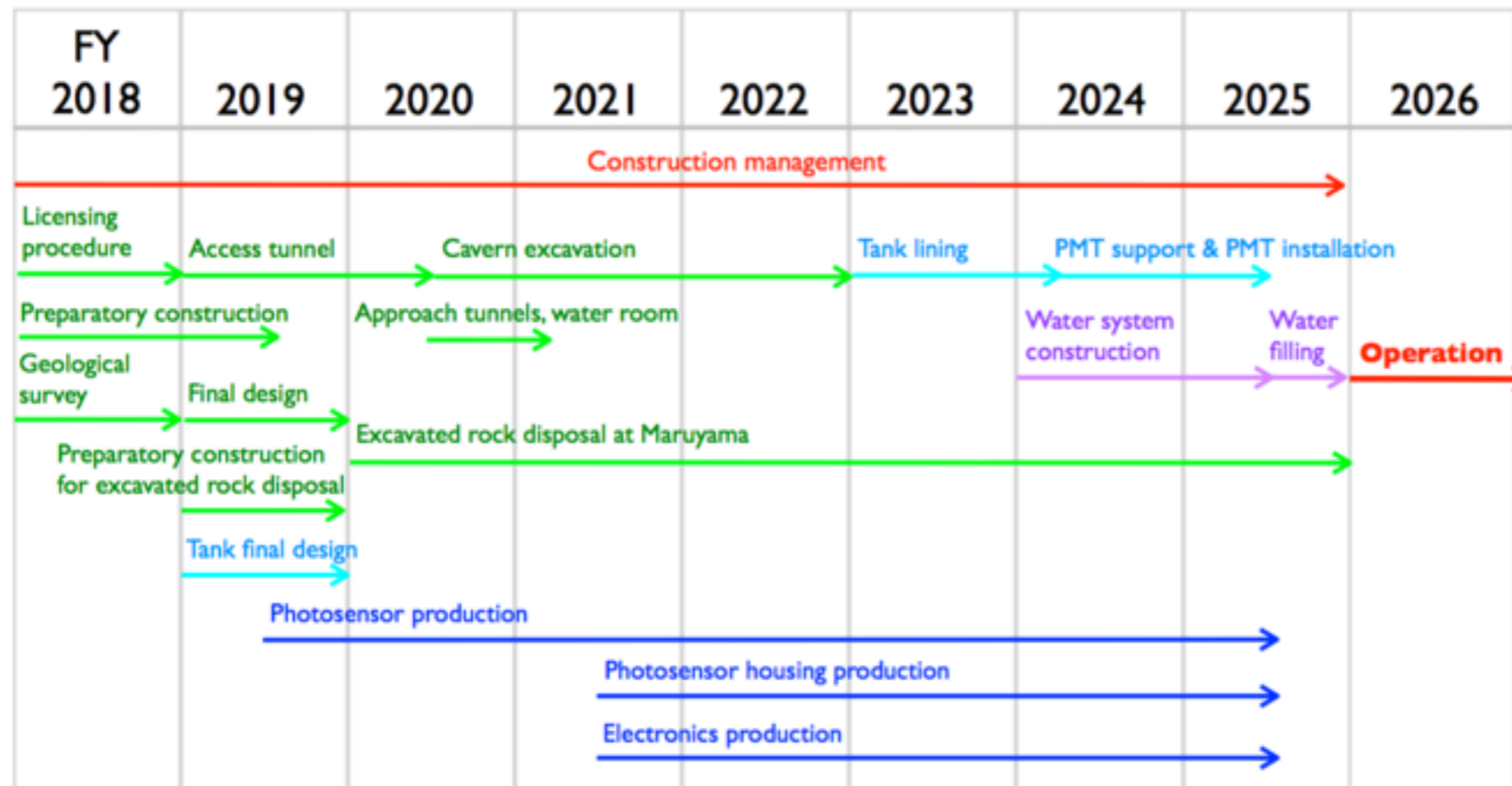
Far detector prediction for oscillated flux





# Project Timeline

HK selected in “Master Plan” of Science Council in Japan  
 HK selected as highest-priority large-scale projects MEXT  
 Roadmap 2017  
 Funding request in progress



# Summary

T2K established  $\nu_e$  appearance  
and sees hints of CP violation

Hyper-K well placed to build on the huge success  
of Super-K and T2K experiments

Capable of world leading measurements in neutrino  
oscillations, nucleon decay, neutrino astrophysics

Funding request in progress

If construction starts 2018, operation in 2026

References:

T2HKK White Paper, arXiv:1611.06118 [hep-ex]

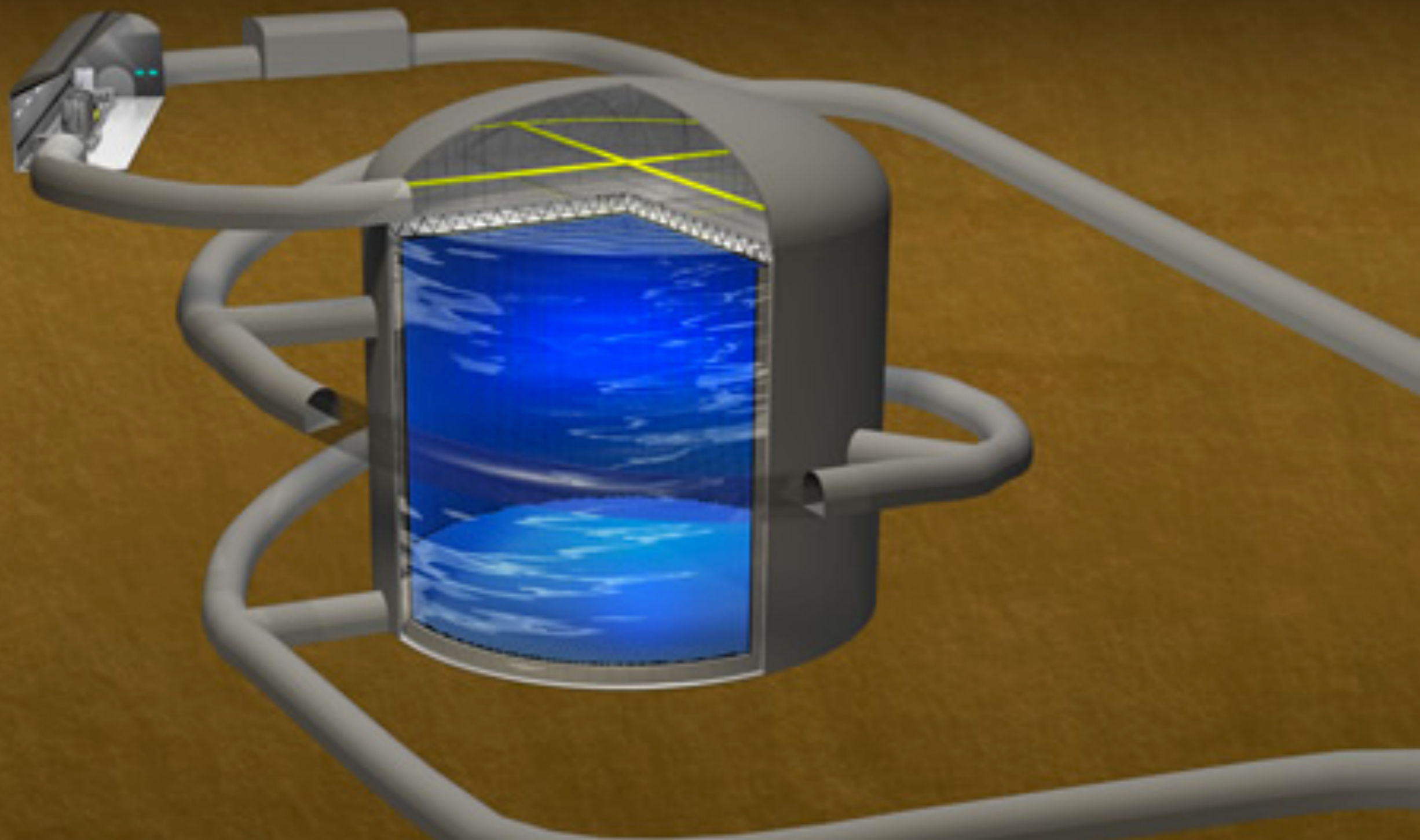
HK Design Report, KEK Preprint 2016-21

HK Physics Sensitivity, PTEP (2015) 053C02



# Hyper-k

David Hadley, University of Warwick



# Backup



# T2K Systematic Uncertainties

ND280 constraint  
13% → 3%

Error Source	$\mu$ sample [%]		e sample [%]	
	$\nu$	$\bar{\nu}$	$\nu$	$\bar{\nu}$
SK Detector	1.9	1.6	3.0	4.2
SK FSI+SI+PN	2.2	2.0	2.9	2.5
ND280 Constraint (Flux + Cross Section)	3.3	2.7	3.2	2.9
$\sigma(\nu_e)/\sigma(\nu_\mu)$	-	-	2.6	1.5
NC $1\gamma$	-	-	1.1	2.6
NC other	0.3	0.1	0.1	0.3
Total Systematic	4.4	3.8	6.3	6.4
Statistical	6.5	12	12	40

T2K preliminary (final systematics pending)

Total systematic uncertainty  
~4 - 6%

Smaller than stats. uncertainty  
(for now!)

Pion Final State  
Interactions (FSI) and  
Secondary Interactions  
(SI) modelling important

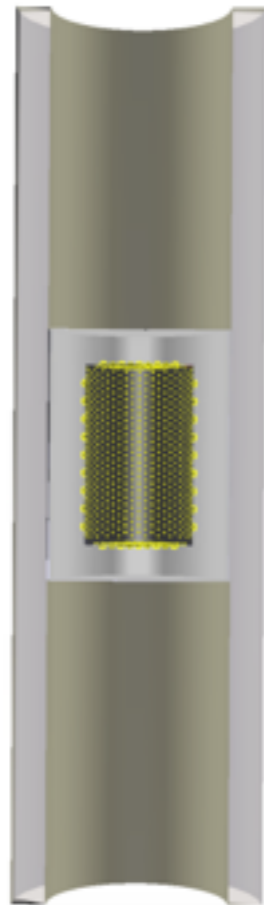
Theoretical uncertainty

$\nu_e$  to  $\nu_\mu$

Difficult to constrain with  
near detector

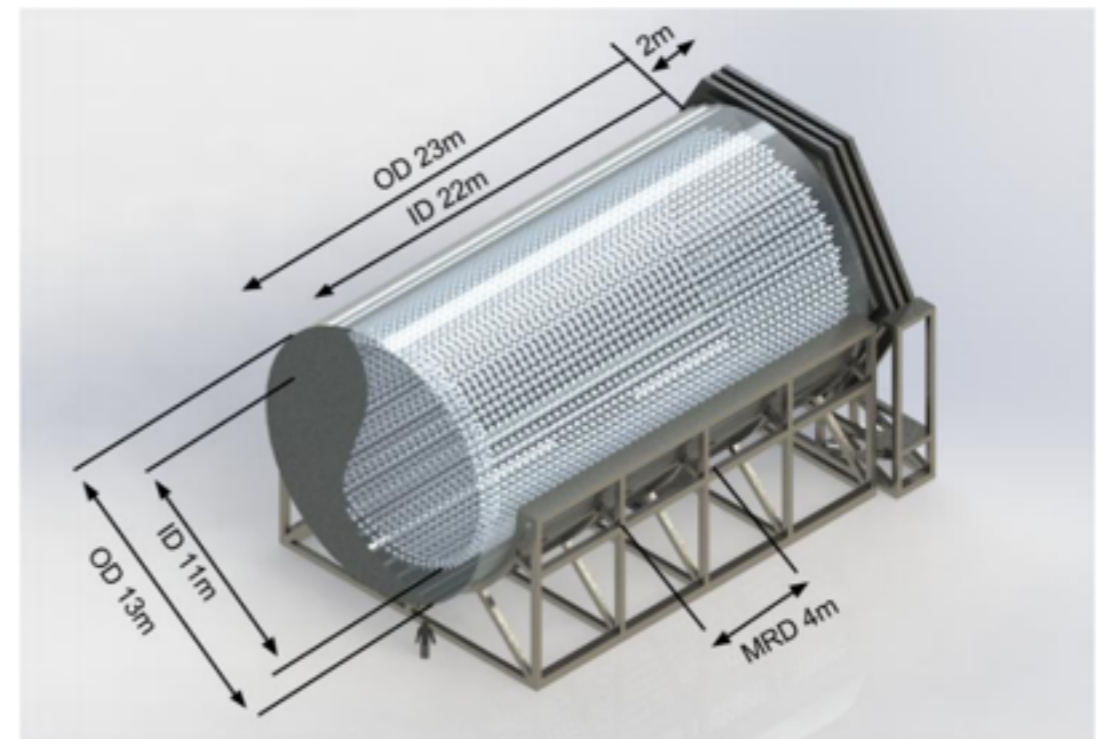
# E61 Experiment

Two competing collaborations



nuPRISM

“Water elevator”  
Measure  $\int \sigma(E)\phi(E)dE$   
as a function of theta  
[arXiv:1412.3086]



TITUS

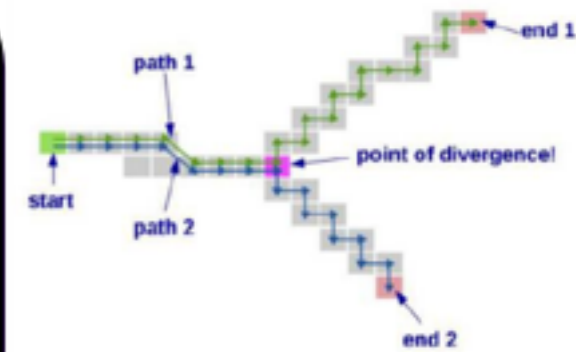
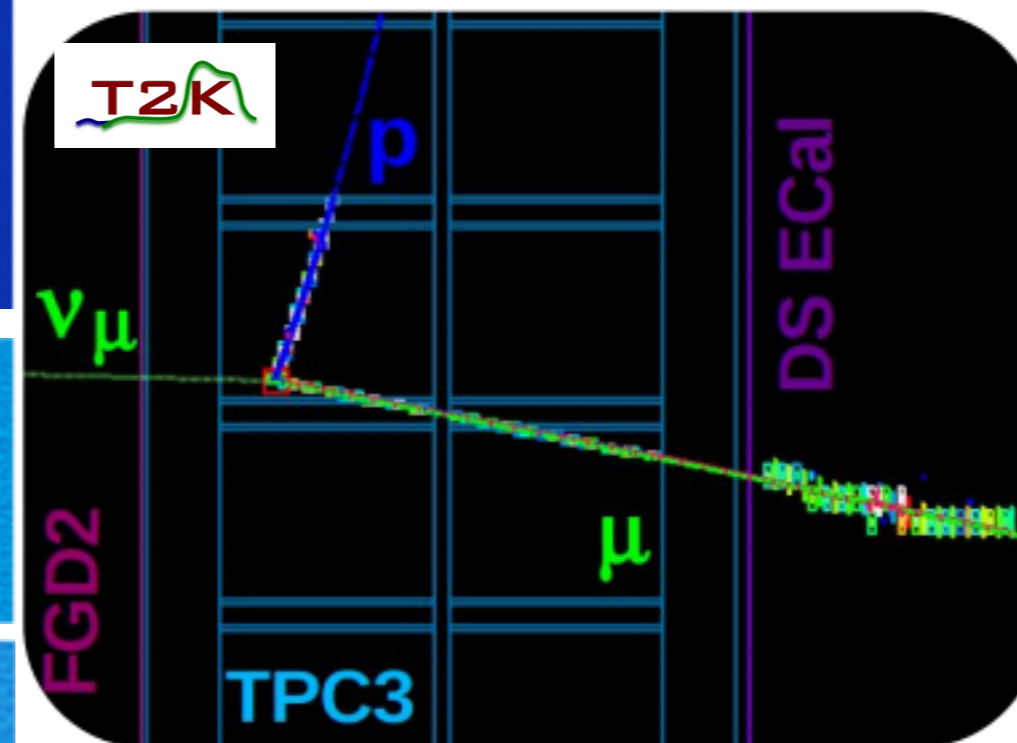
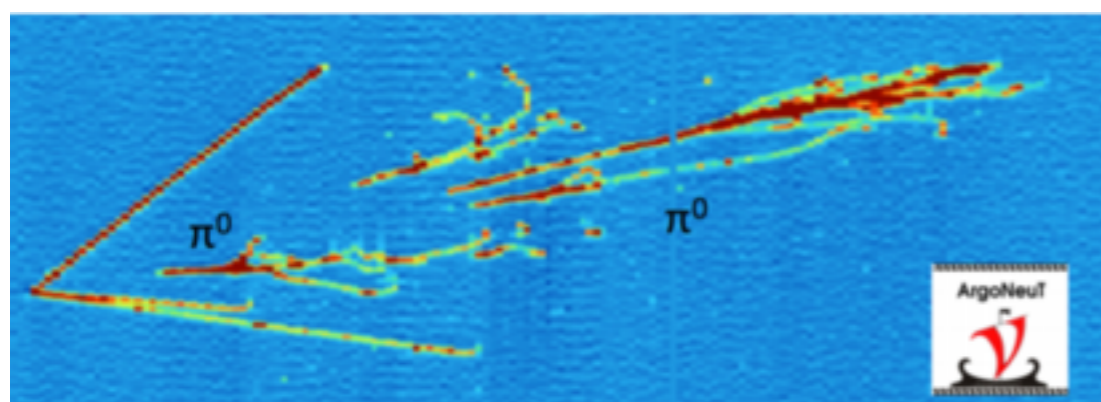
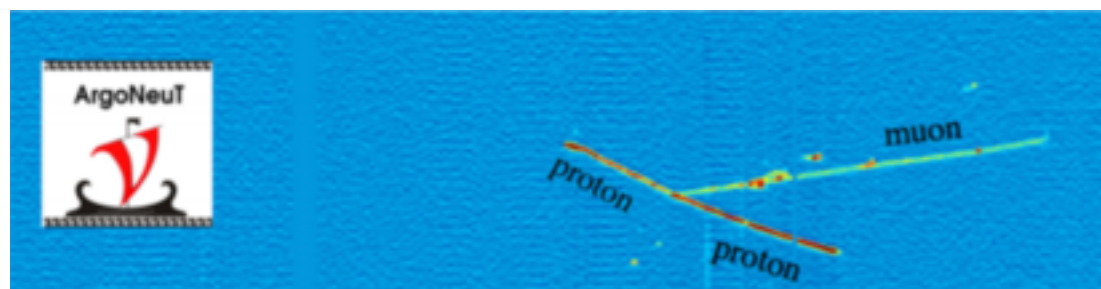
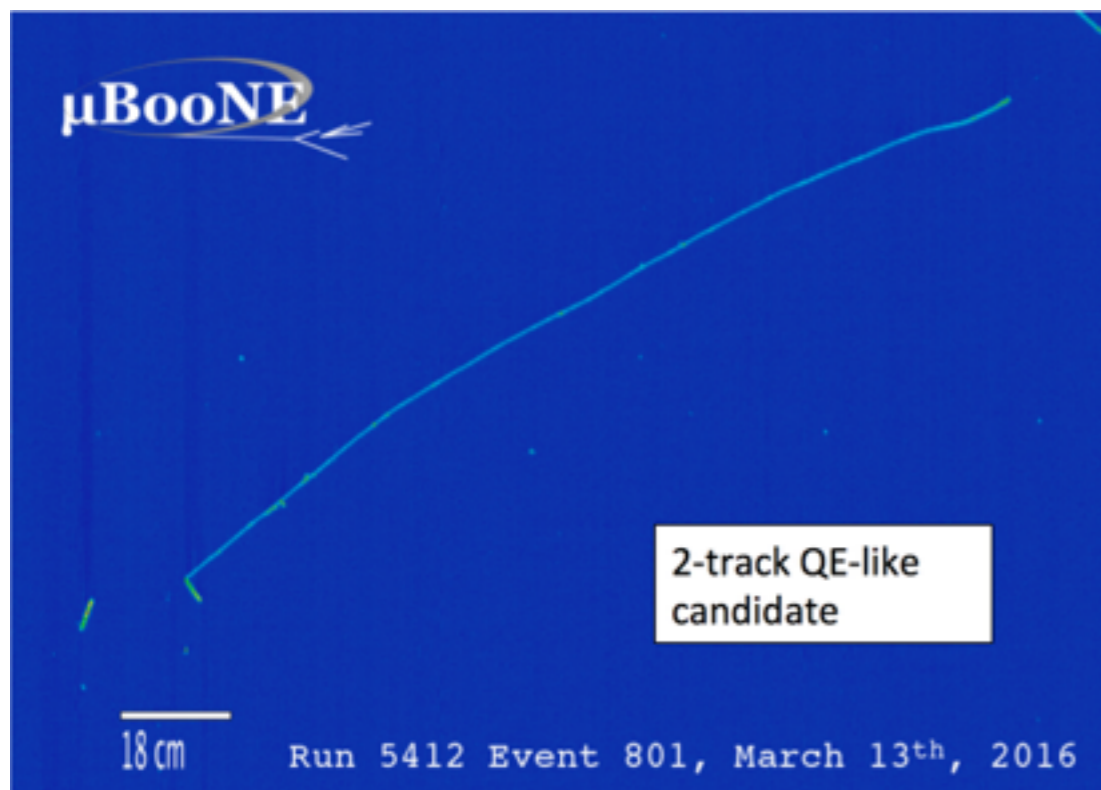
same off-axis angle far detector  
Gd, muon range detector  
[arXiv:1606.08114]

Merged into a single collaboration:

E61 Experiment

# Near Detector Development

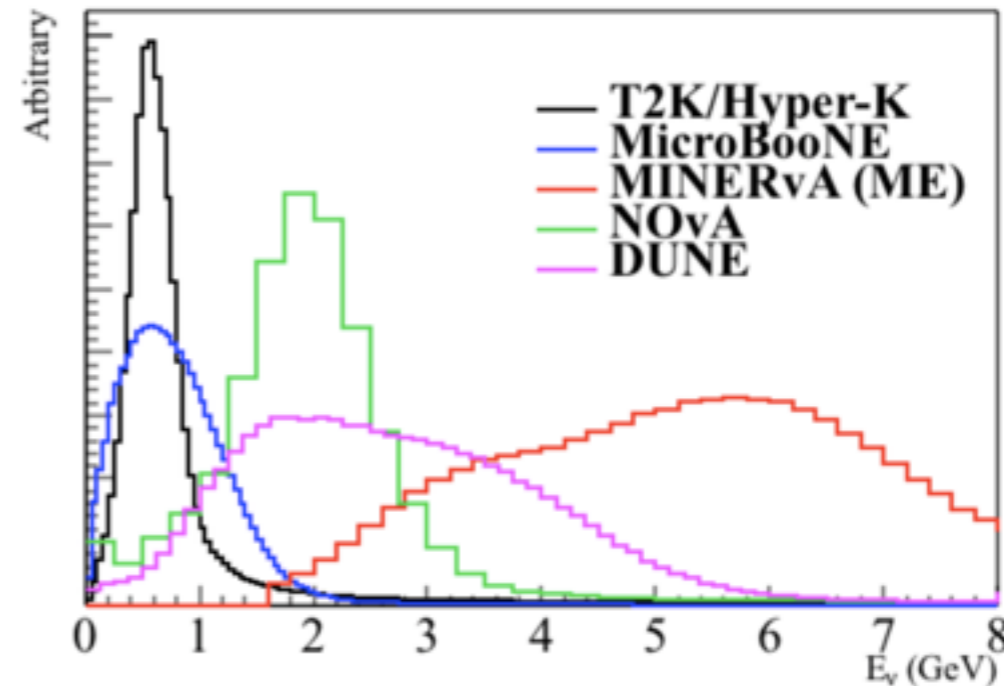
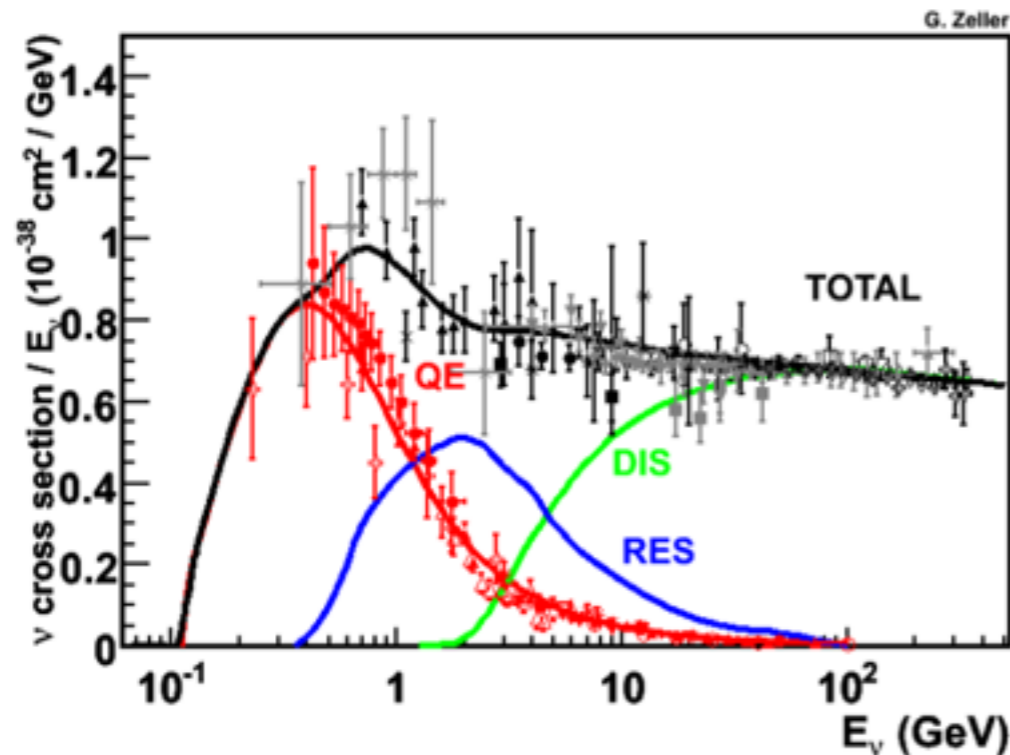
TPC measurements precisely image  $\nu$ -nucleus interaction vertex  
→ better constraints on models



Ultra-low thresholds with gaseous TPC



# Neutrino Interaction Model Uncertainties



Wide range of processes need to be simulated  
Require both lepton and hadronic side of the interaction  
Nuclear effects important in the relevant energy regime

Experiments rely on MC generators  
for  $E_{\text{visible}} \rightarrow E_{\nu}$  extrapolation

Model parameter uncertainties from fits to external datasets

Sometimes parameter error must be inflated or ad-hoc parameters to account  
for discrepancies between model and data or known flaws in the model

# T2K Cross-Section Model

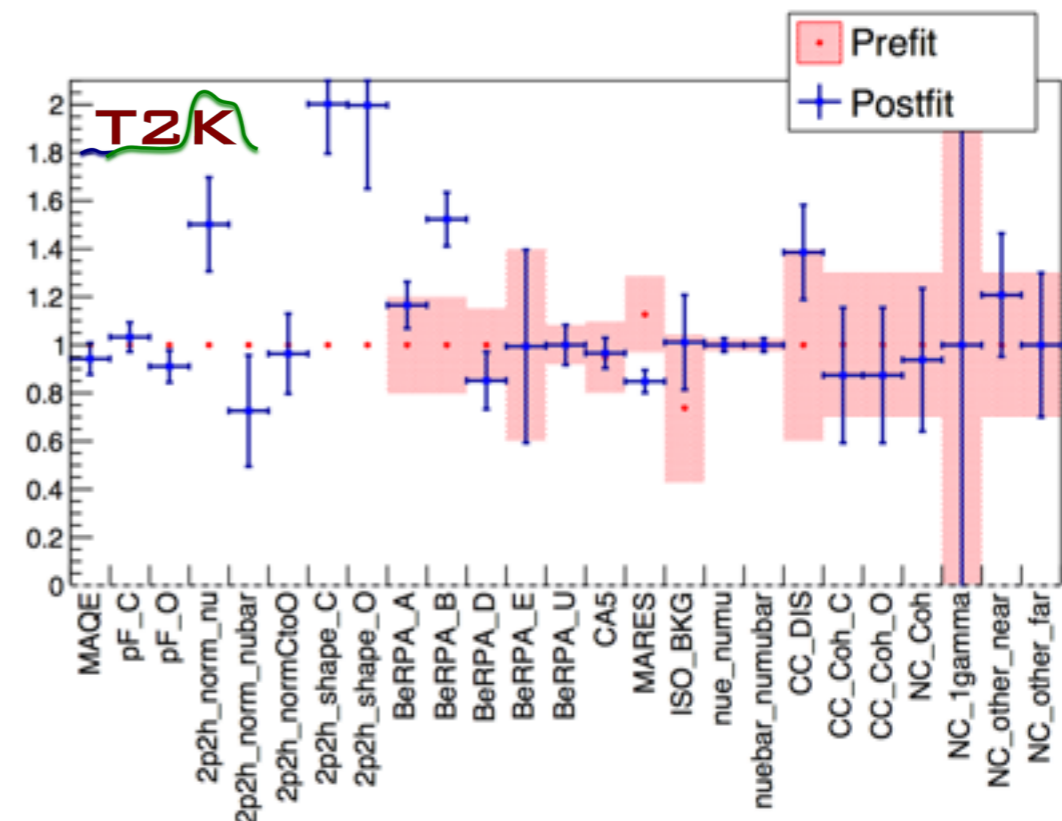
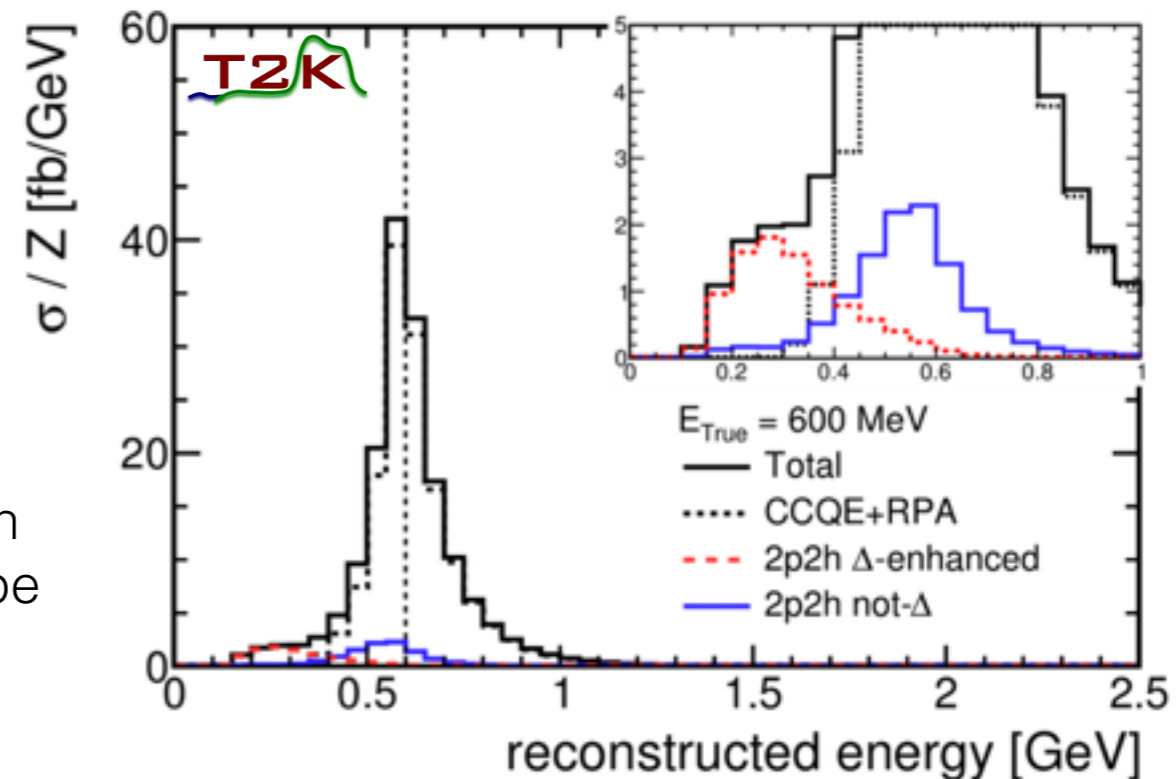
Implemented in NEUT MC generator

Quasi-elastic scattering most important process at T2K energies

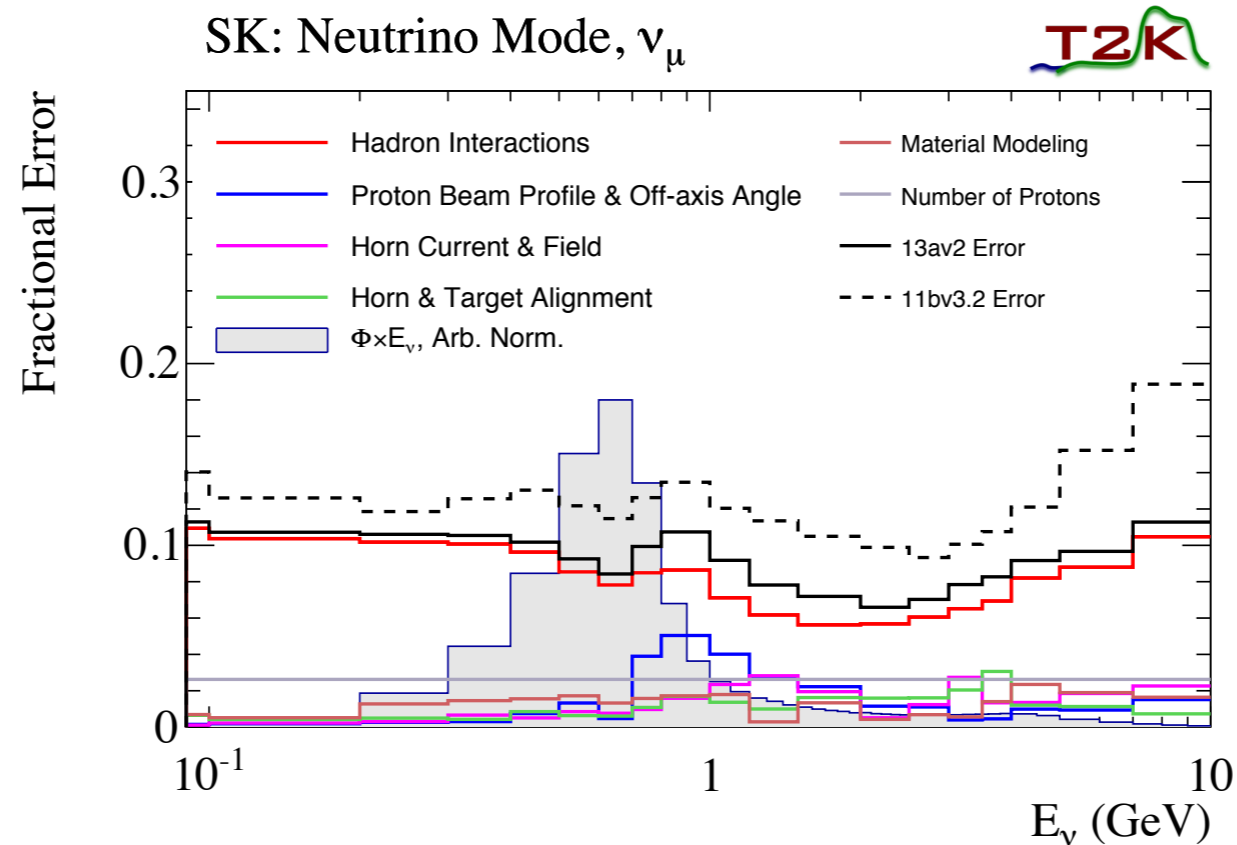
- Valencia 2p-2h model Phys. Rev. C83 (2011) 045501
- Long-range effects with Random Phase Approximation
- Parameters introduced to vary normalisation and shape
- Relativistic Fermi Gas (RFG) nuclear model
- Uncertainties from RFG  $\leftrightarrow$  Local Fermi Gas
- Final state interactions with cascade model

No priors on most CCQE parameters  
Constraint from near detector

Impact of alternative models not implemented in oscillation analysis evaluated with fake data studies



# Flux Uncertainties



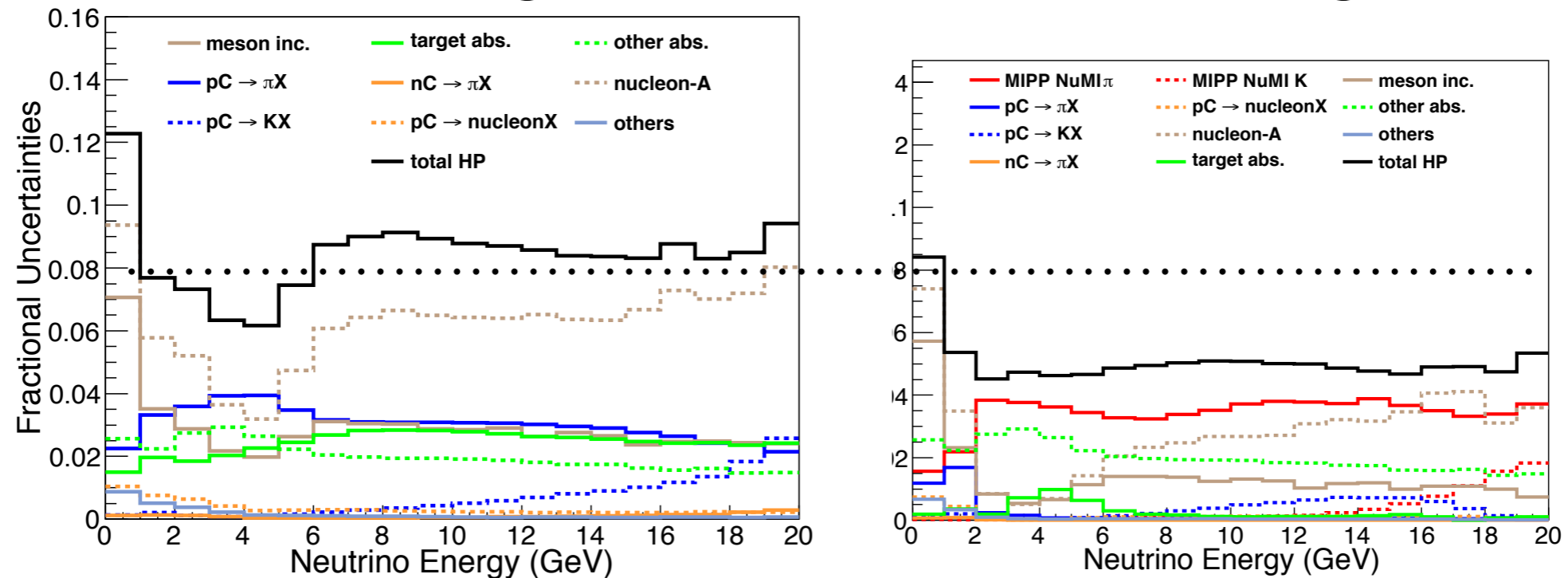
T2K  $\sim$  8-12% (based on thin target tuning)

Dominated by hadron interaction modelling

Alignment/focussing uncertainties are also important  
(especially for near to far extrapolation)

# Flux Uncertainties

Thin Target  $\longrightarrow$  Thick Target

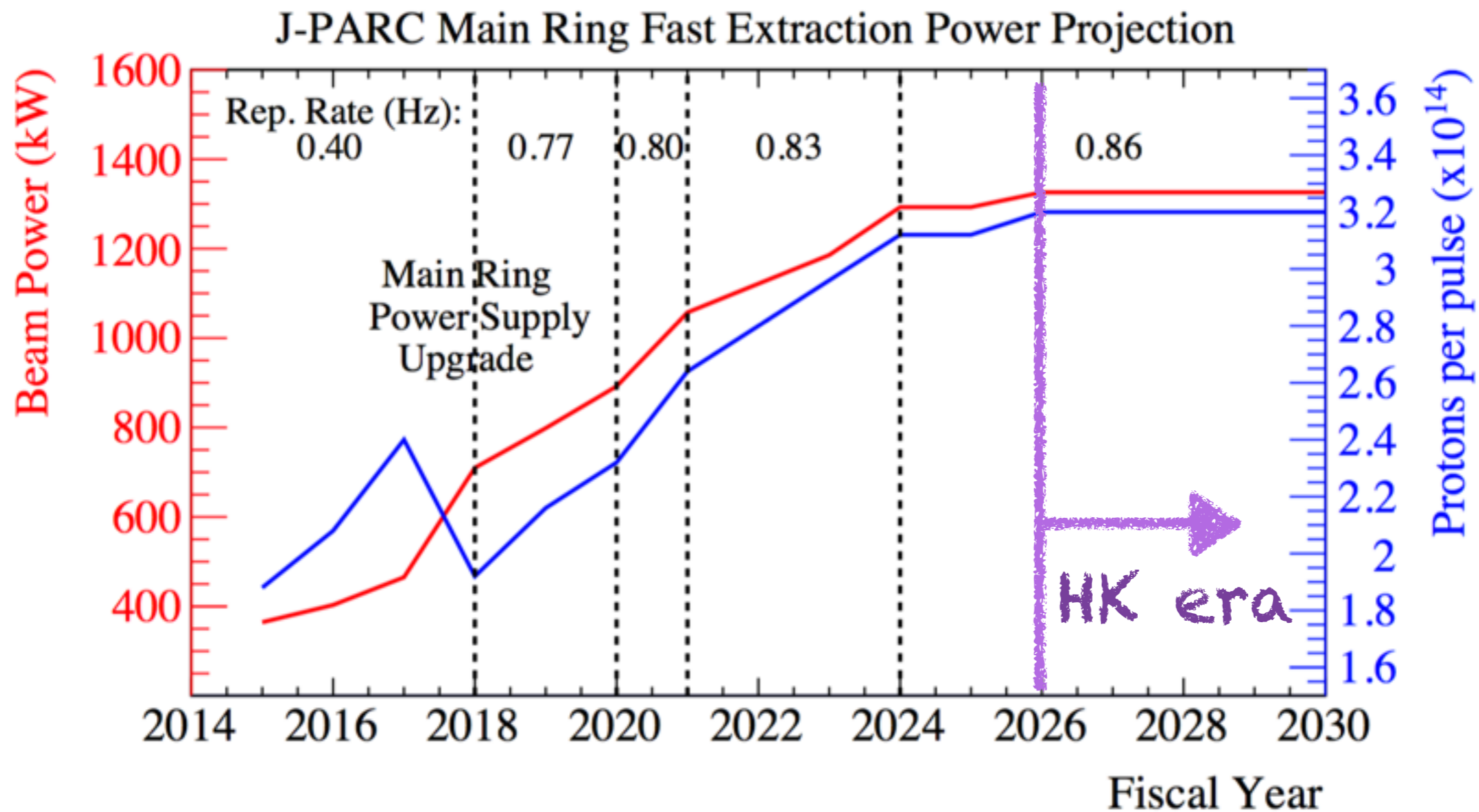


MINERvA Low E NuMI Flux Uncertainties, Phys. Rev. D 95, 039903 (2017)

Significant reductions from thick/replica target

If high power beam requires different target material/geometry  
new dedicated hadron production measurements will be  
necessary

# J-PARC Beam Upgrades

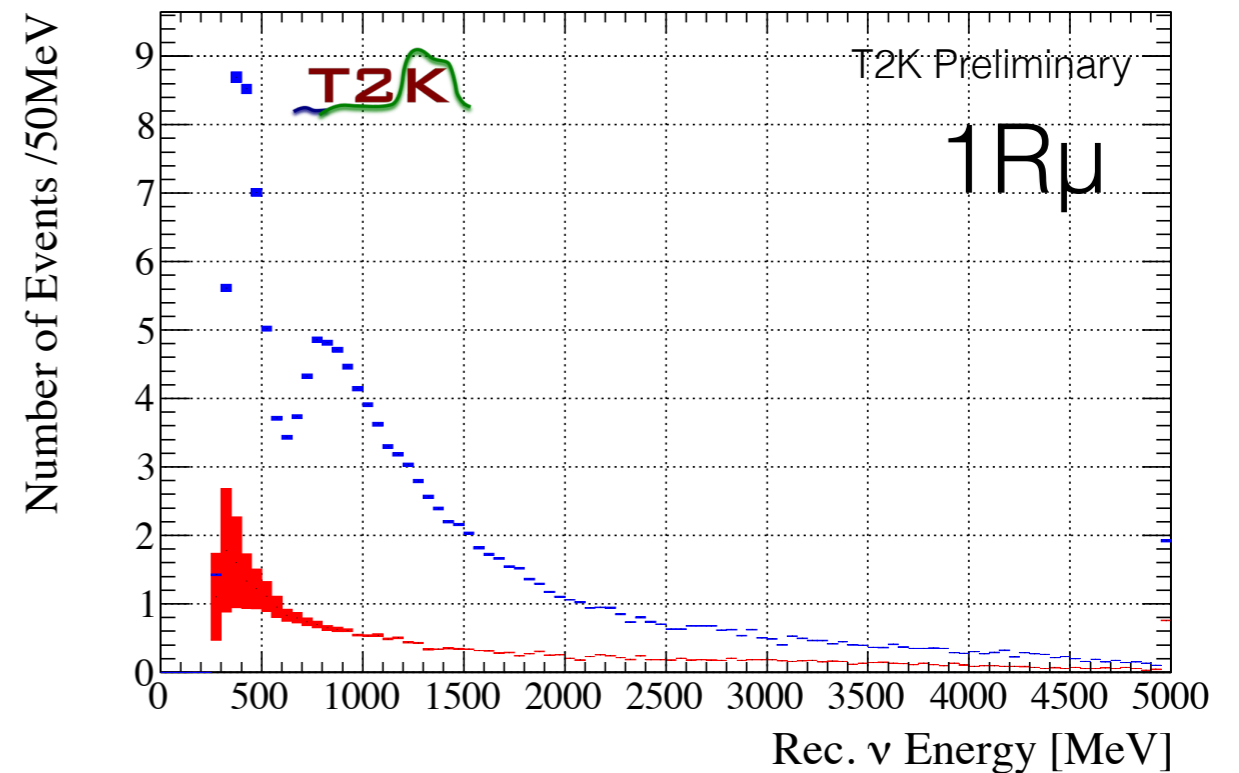
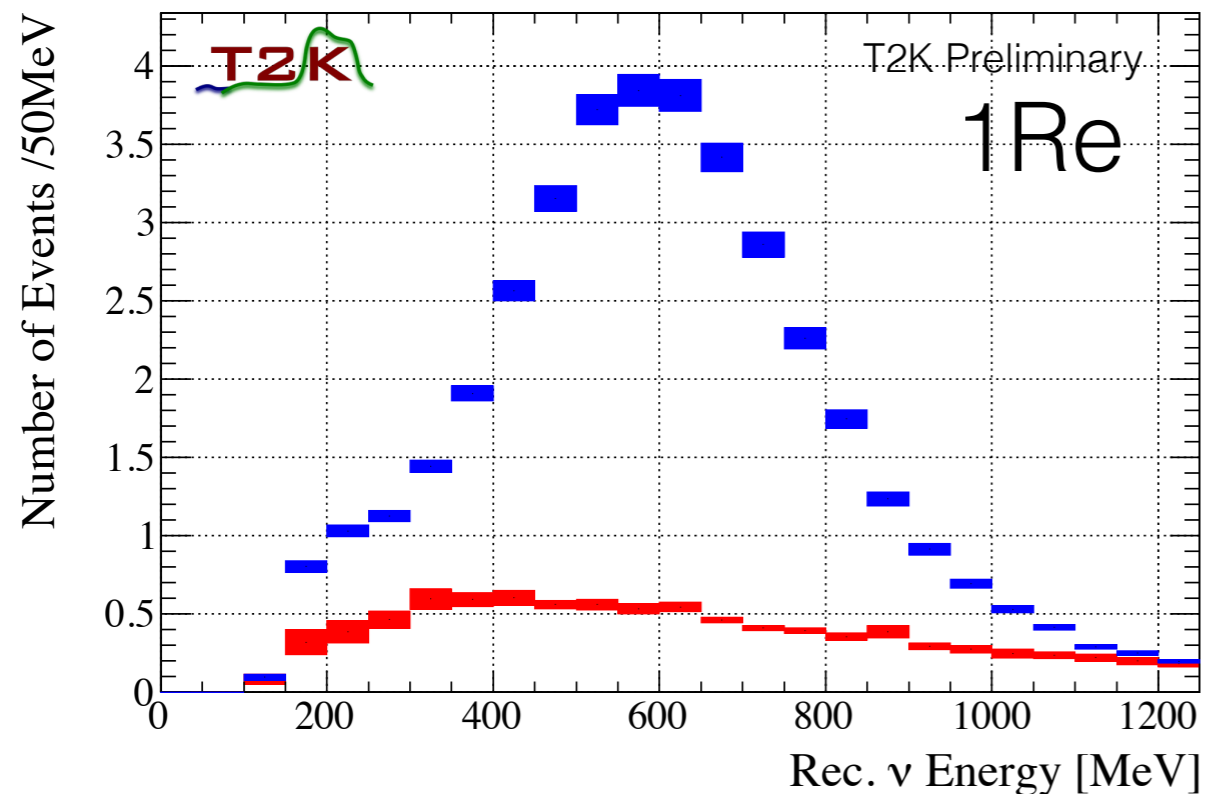


Current: ~470 kW

Short-term: 750 kW after 2018 long shutdown

Goal: 1.3 MW operation at HK operation

# Detector Modelling Uncertainties

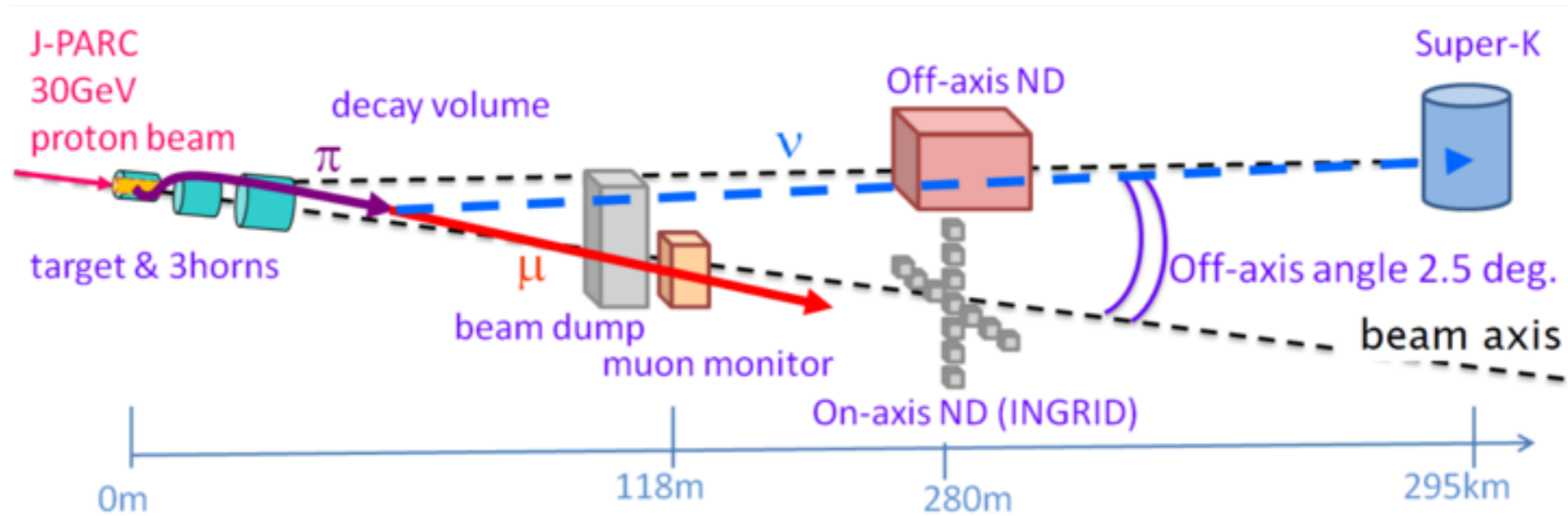


SK detector response evaluated with data-MC comparisons in atmospheric sample

May be limited by control sample statistics

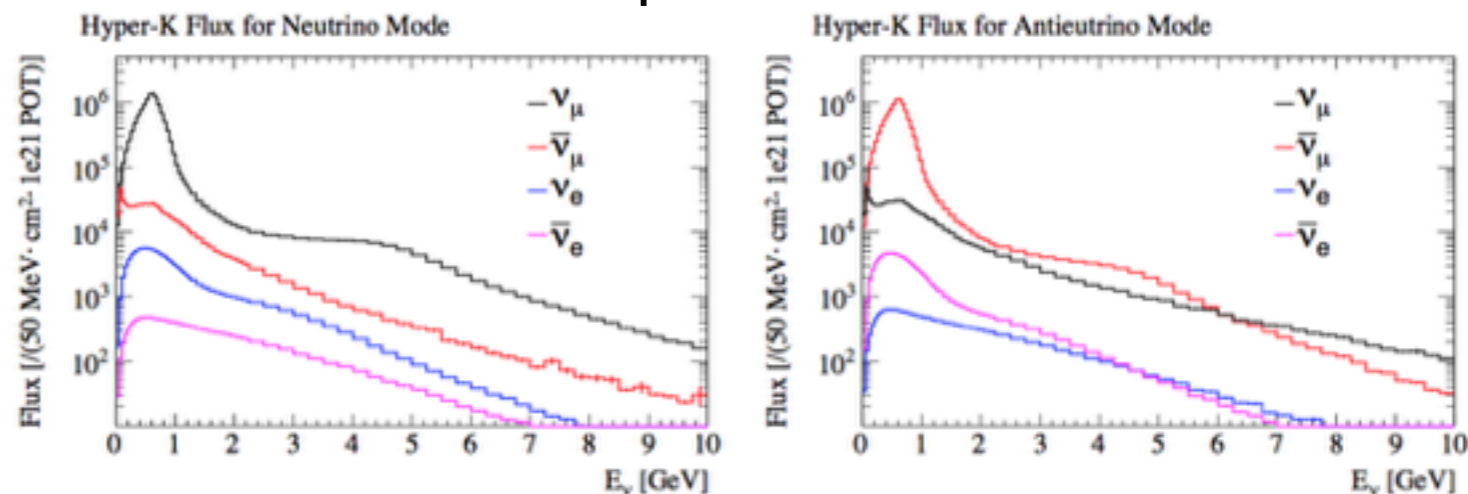
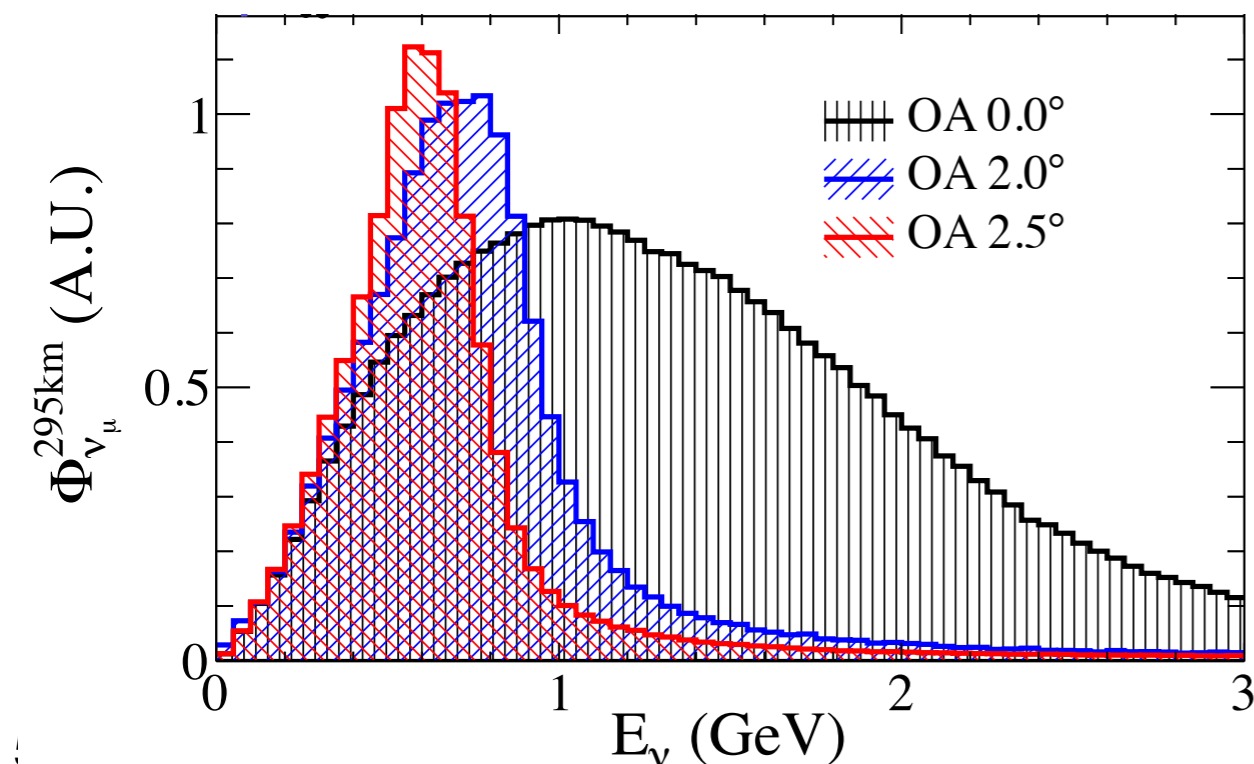
Possible to move toward bottom-up detector systematic uncertainty

# T2K / Hyper-K Flux



## Narrow band beam off-axis

## Flavour composition



nu-mode:  $\sim 94\% \nu_\mu$   
 anti-nu mode:  $\sim 92\% \bar{\nu}_\mu$   
 (for  $E < 1.25$  GeV)

# Physics at Hyper-K

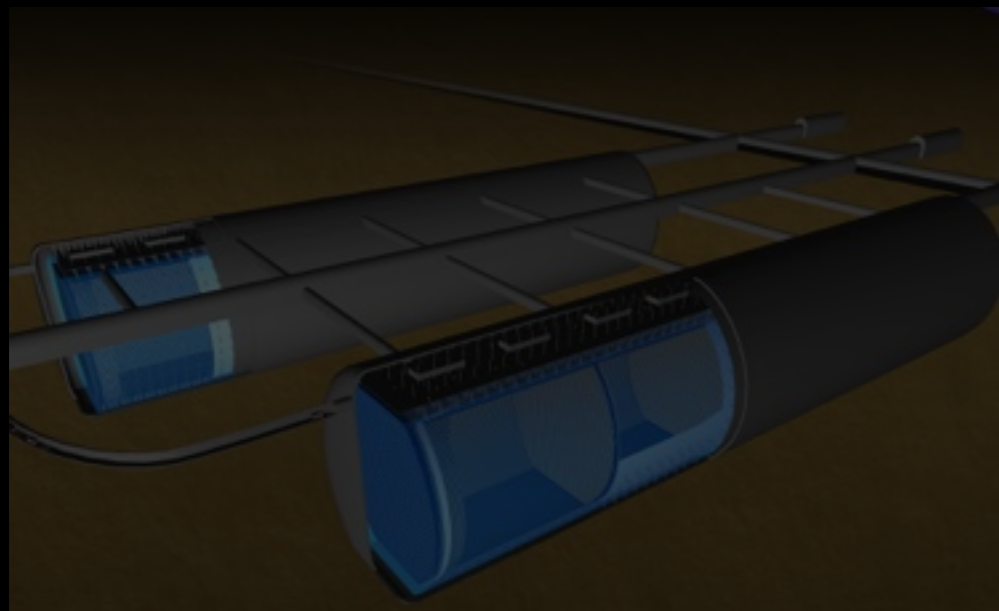
## Proton Decay

$$p \rightarrow e^+ + \pi^0$$

$> 1.3 \times 10^{35}$  years 90% CL

$$p \rightarrow \bar{\nu} + K^+$$

$> 3.2 \times 10^{34}$  years 90% CL



## Neutrinos

### Solar

200 solar  $\nu$  per day

Indirect dark matter search

### Supernova

SN  $\sim 200,000$  @ 10kPC

SN  $\sim 30-50$  @ M31

## Accelerator

### Leptonic CP violation

(see following slides)

### Mass Hierarchy determination

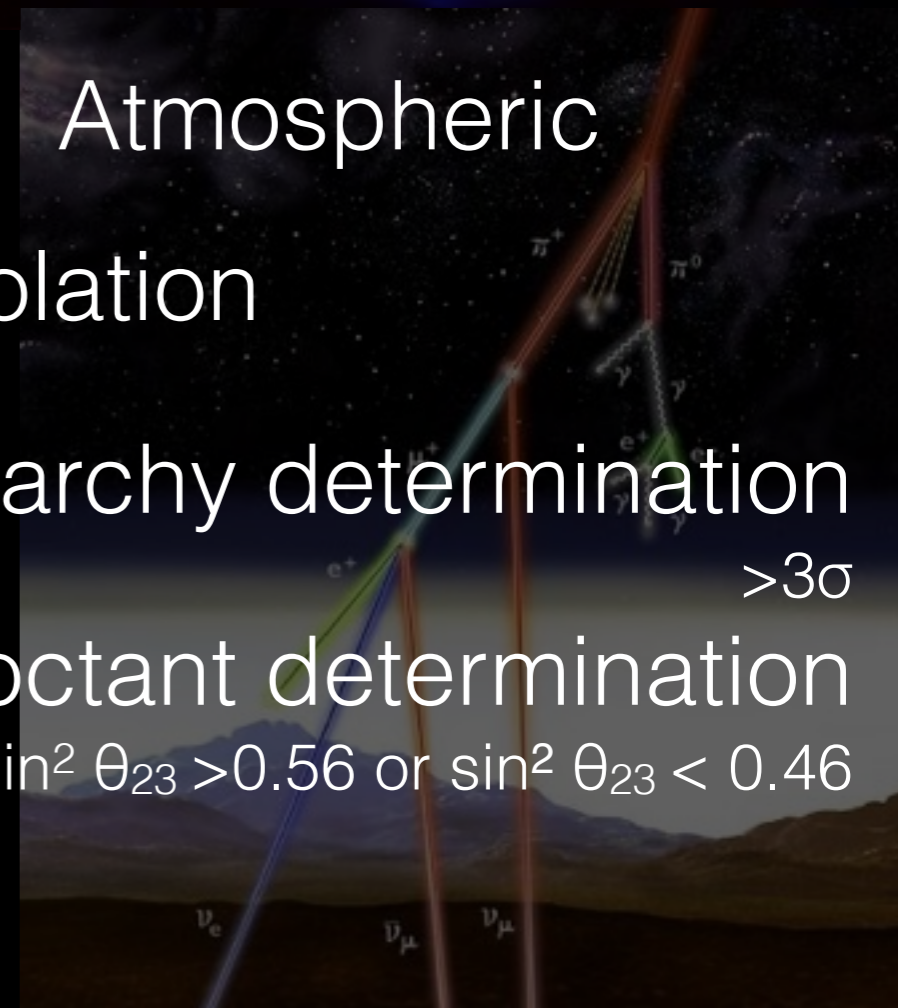
$> 3\sigma$

### $\theta_{23}$ octant determination

$3\sigma$  for  $\sin^2 \theta_{23} > 0.56$  or  $\sin^2 \theta_{23} < 0.46$



## Atmospheric



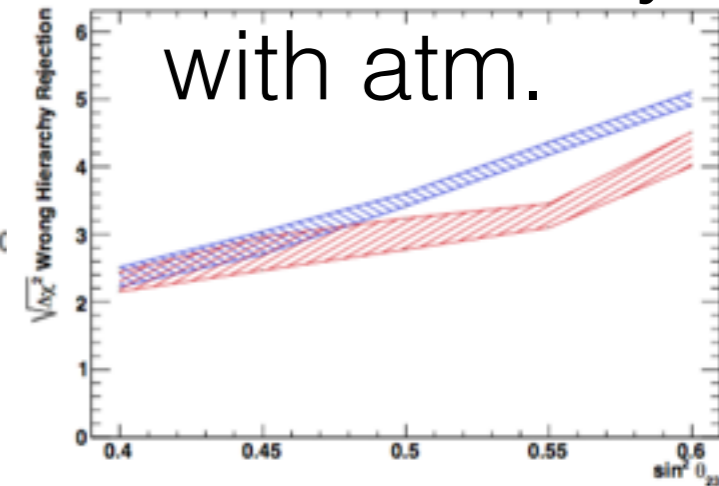
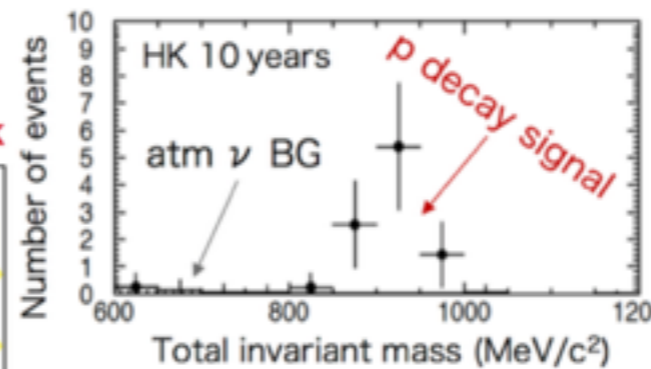
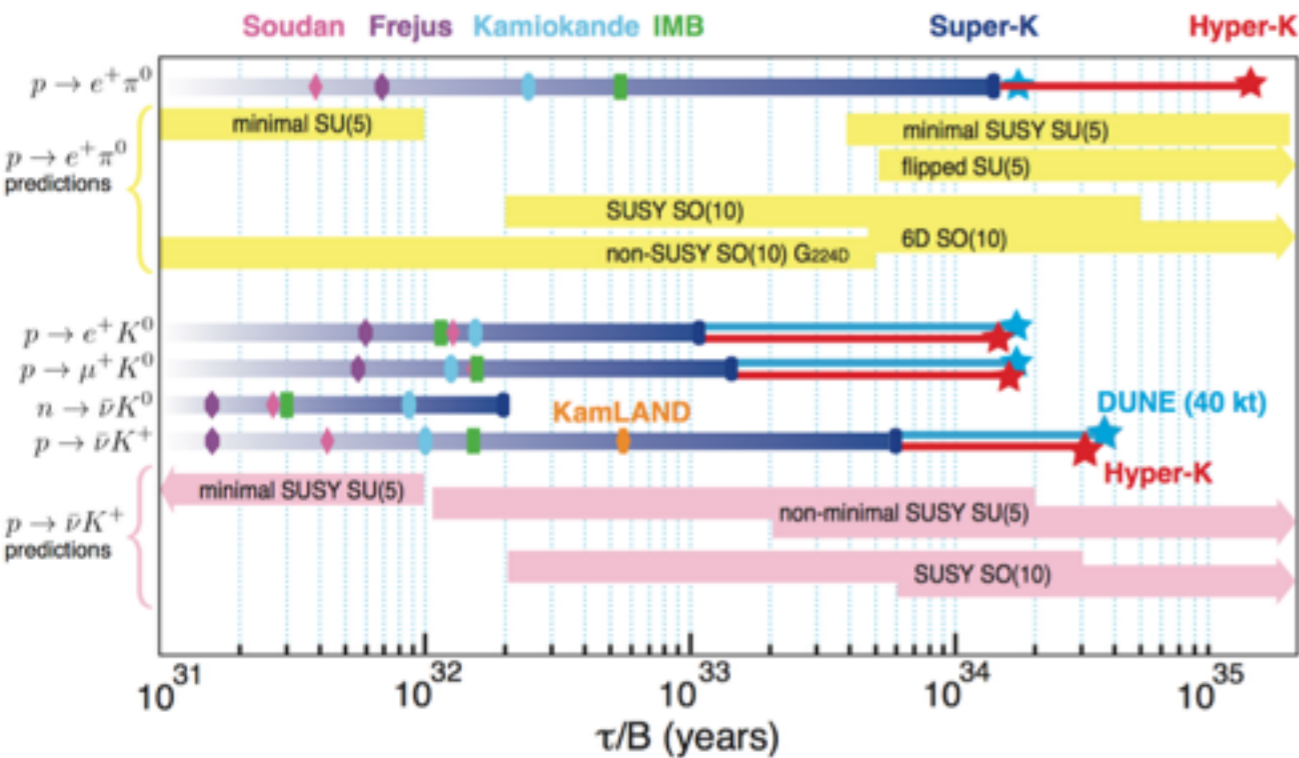
Broad physics programme.



# Lots of Physics with Hyper-K

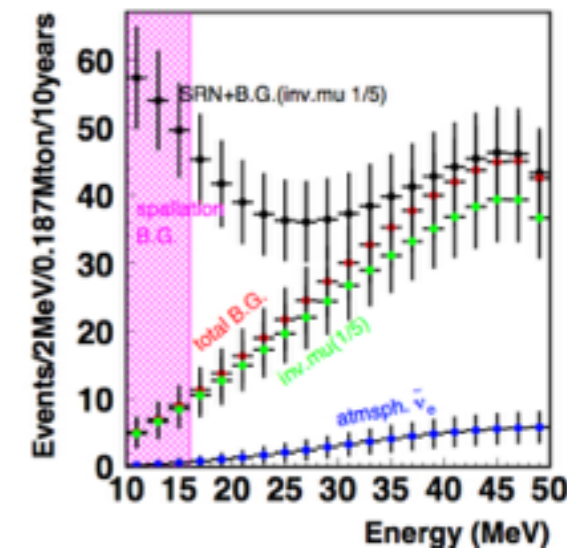
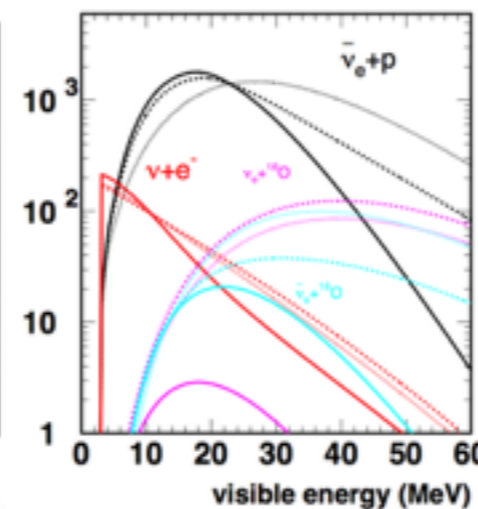
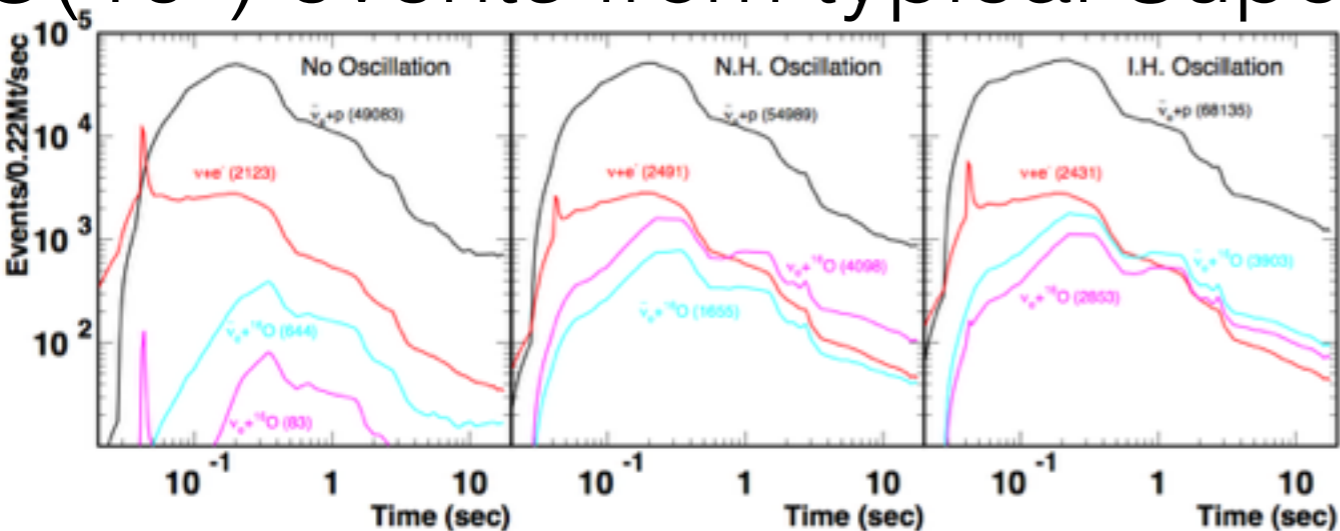
## Proton Decay

## Mass hierarchy with atm.

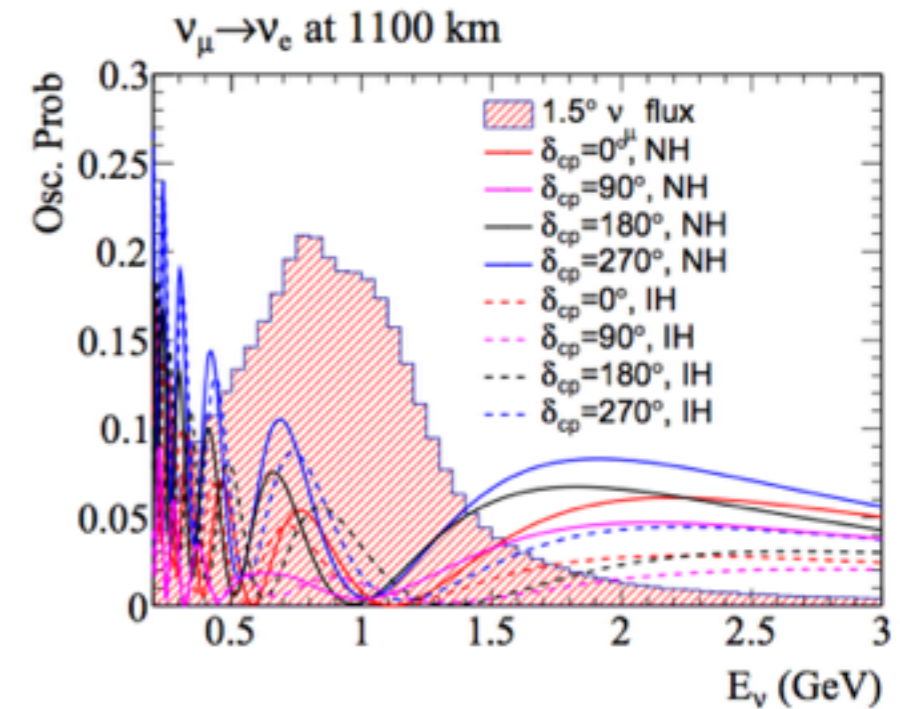
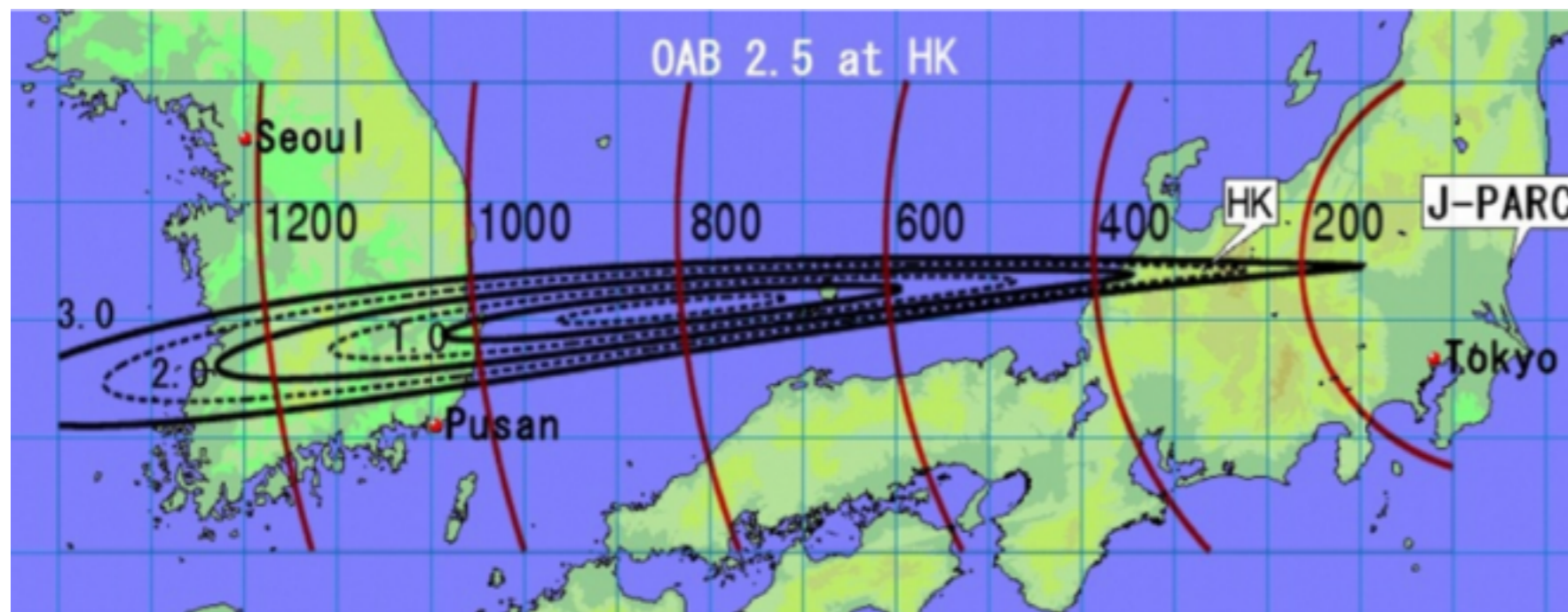


$O(10^5)$  events from typical Supernova @ 10 kpc

## SRN

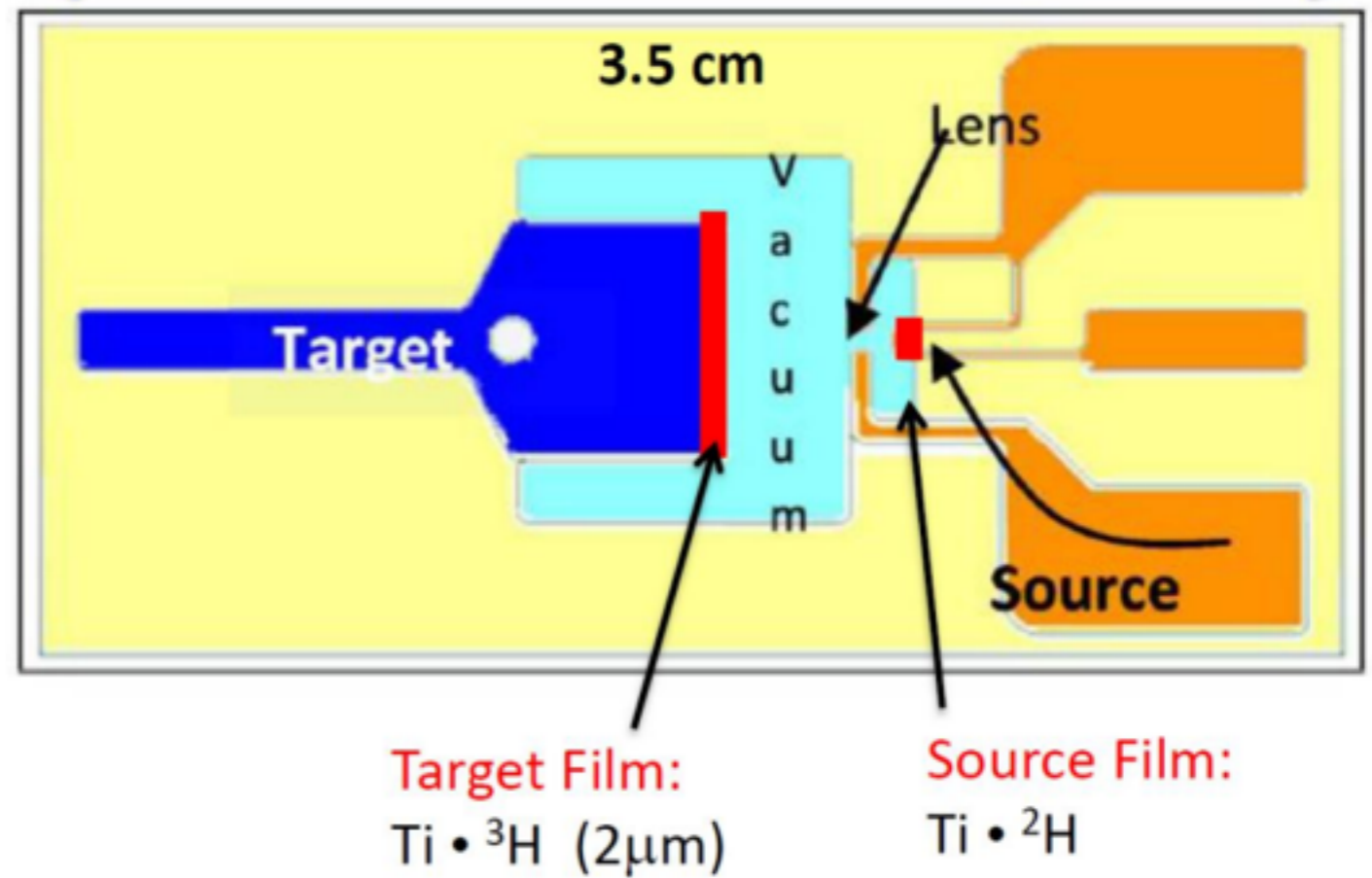
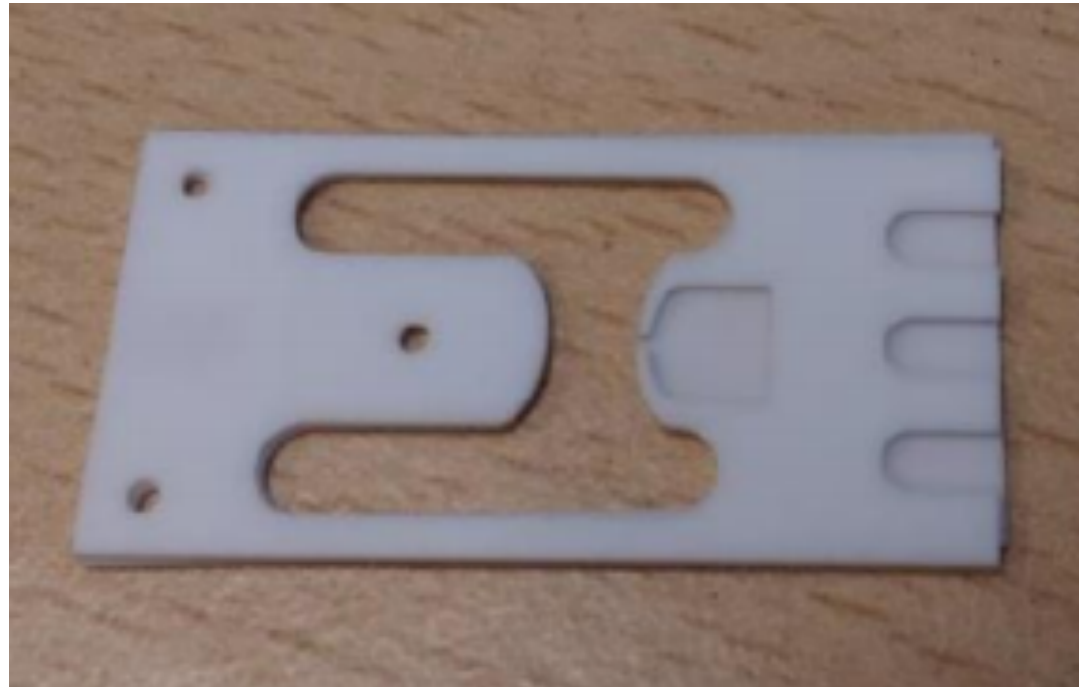


# Korean Tank



Stronger CP effect at the second oscillation maximum

A second tank in Korea would be able to measure this effect

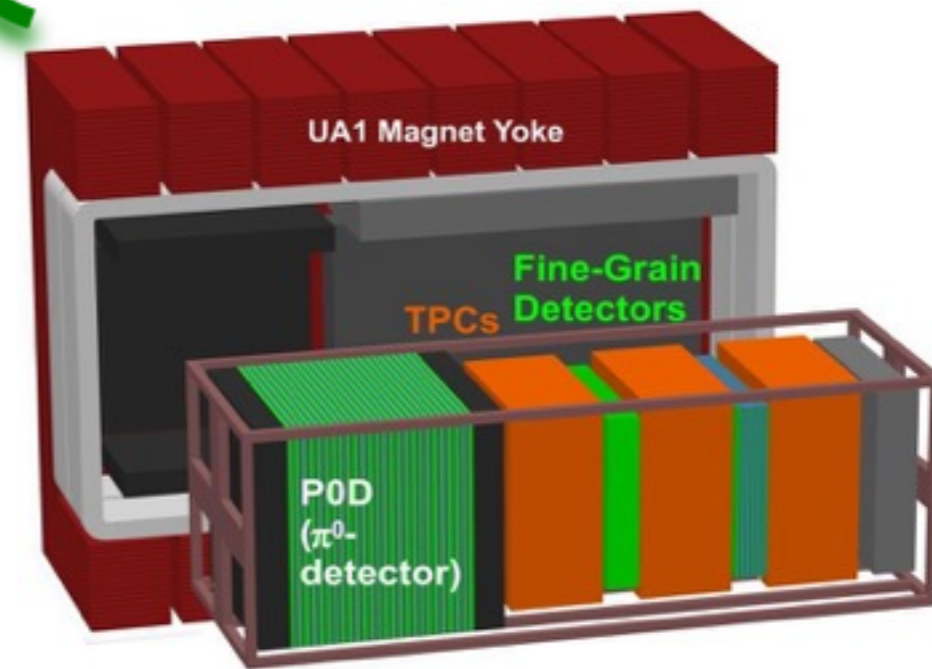


# T2K

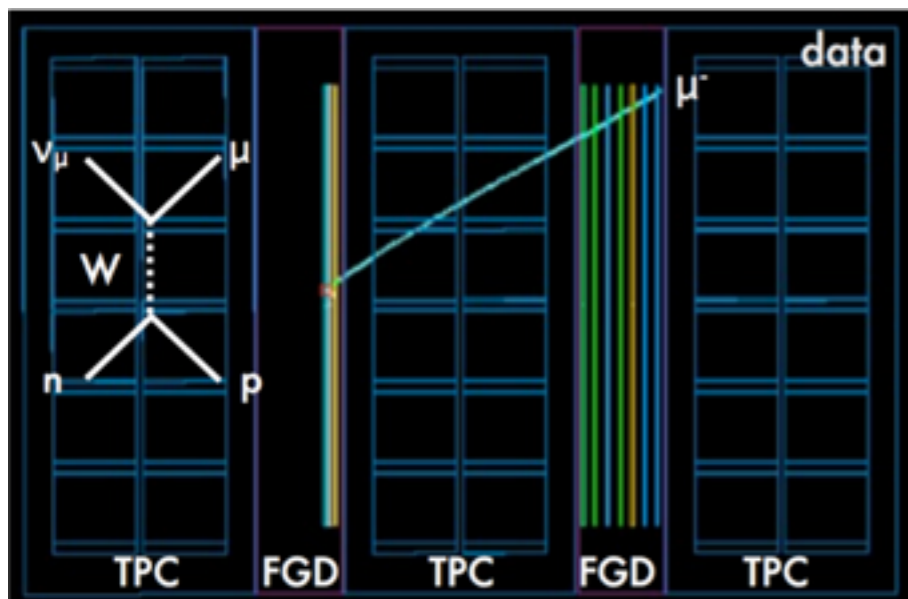
Carbon and Oxygen target materials

Acceptance differs from far detector

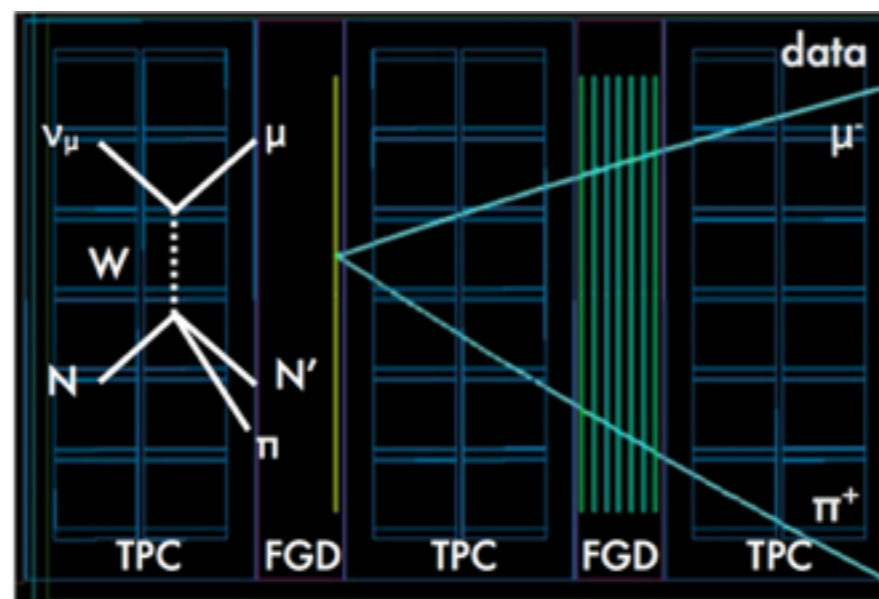
Magnetic field for sign selection



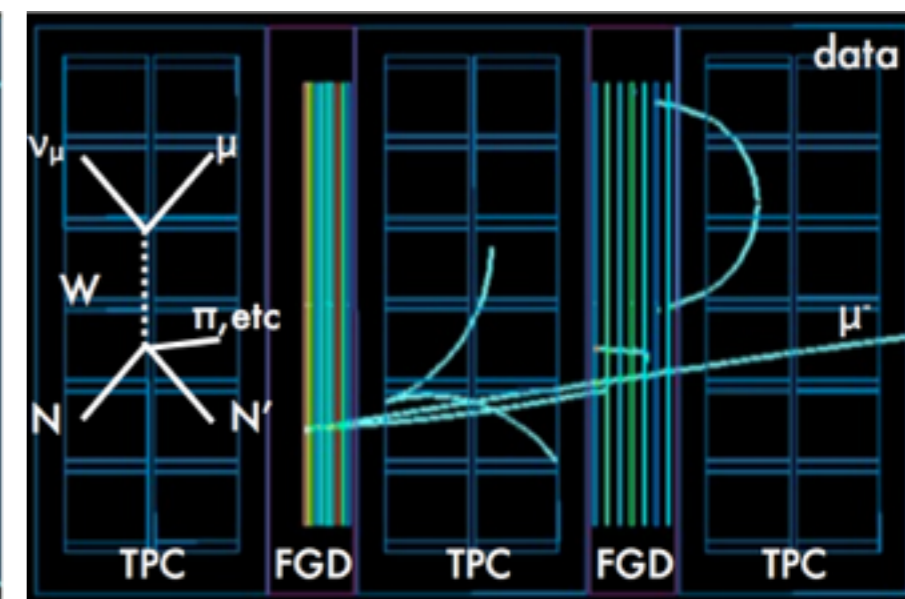
Near Detector (ND280)



CC  $1\mu + 0\pi + X$



CC  $1\mu + 1\pi^+ + X$



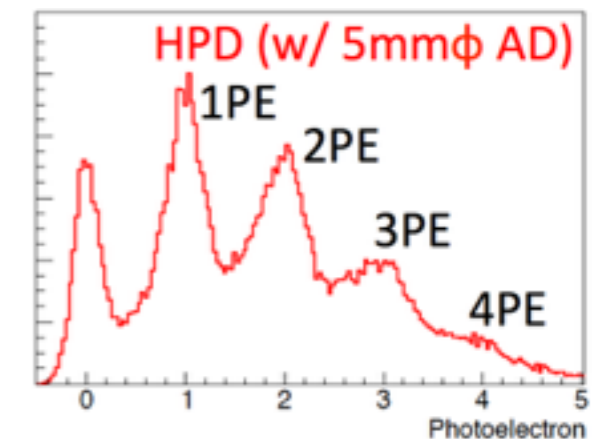
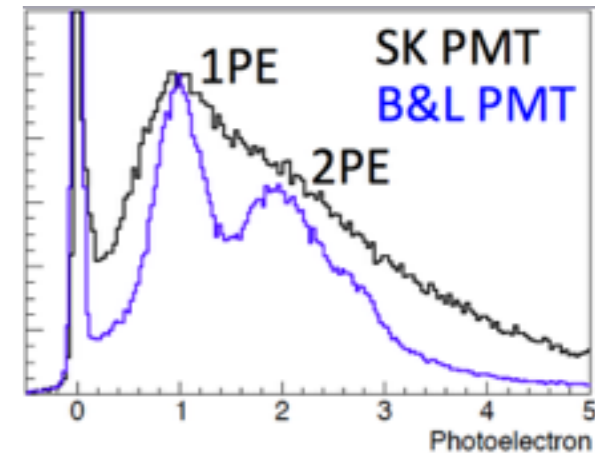
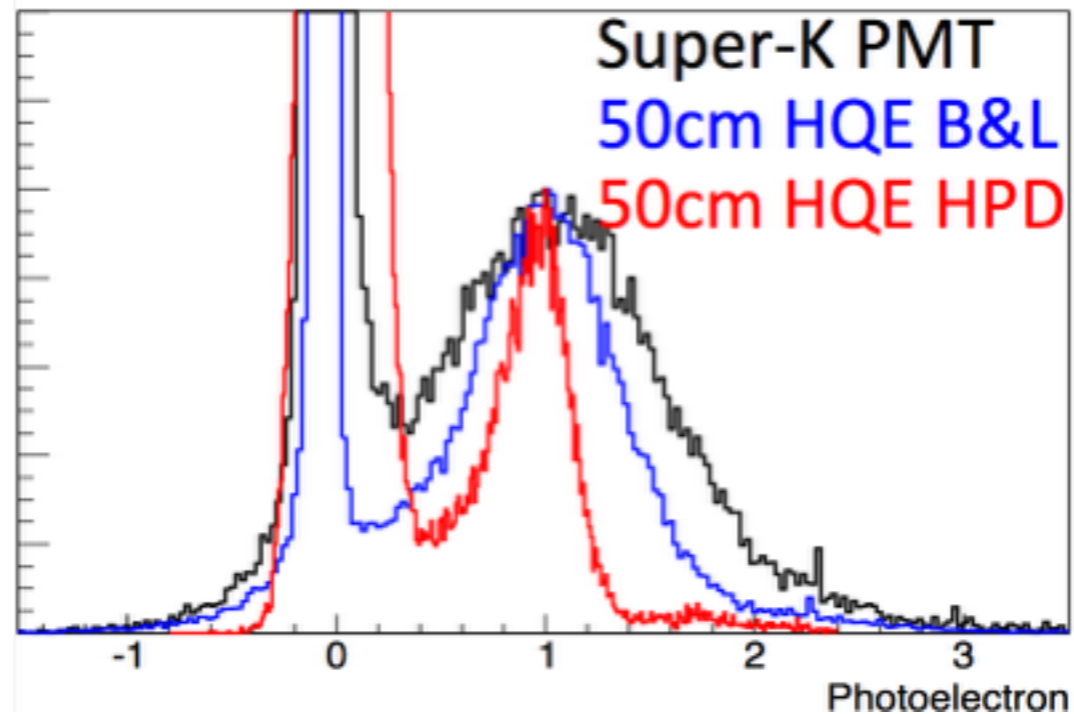
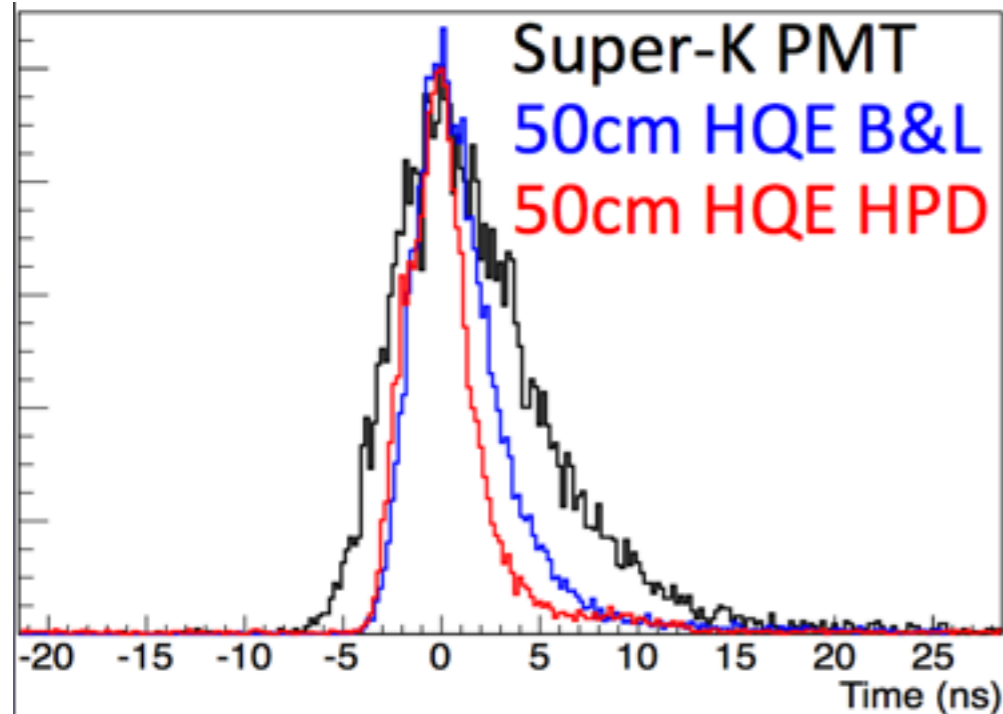
CC other

# Photo Sensors

Time Resolution

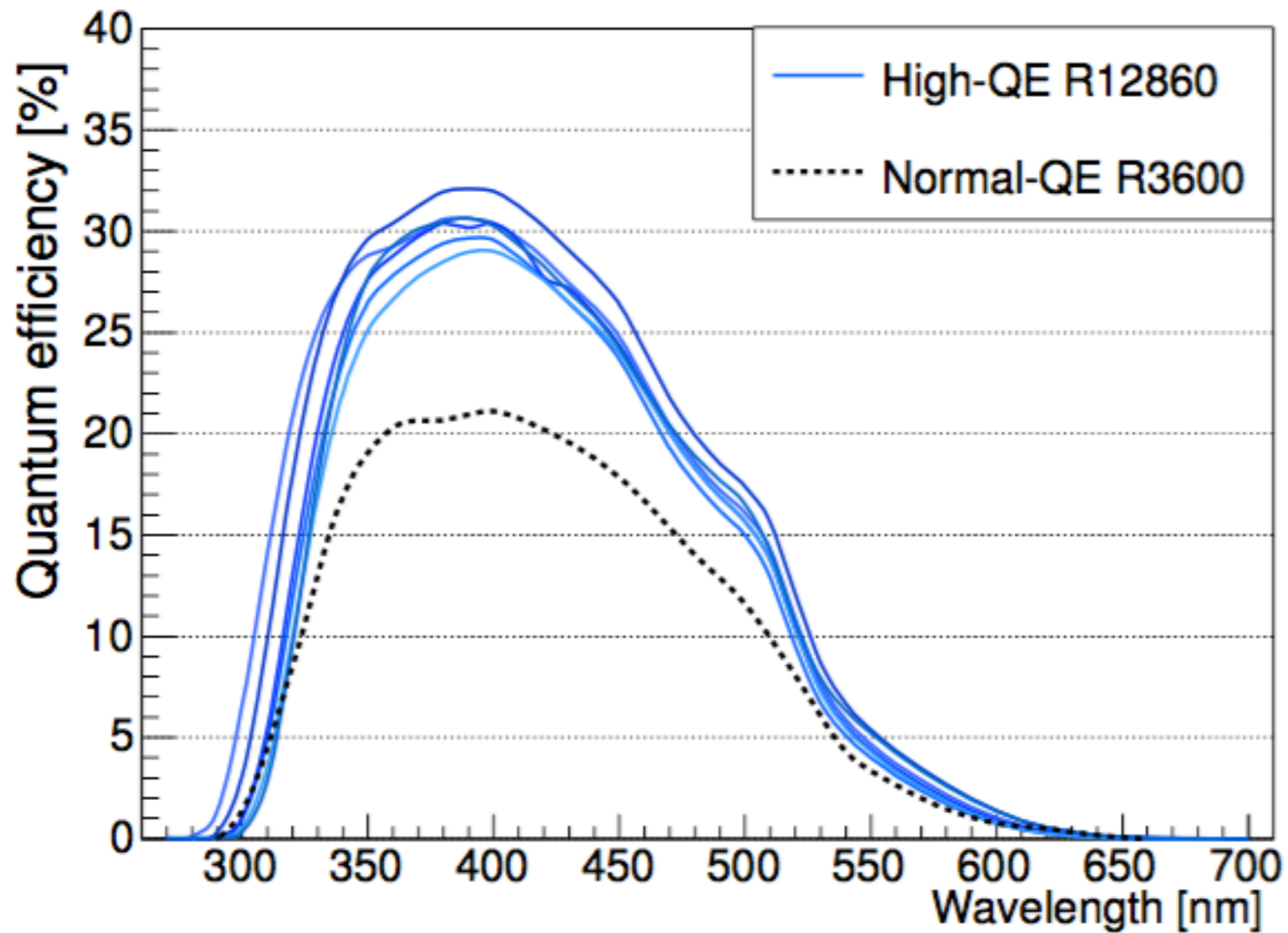
1p.e. charge distribution

Multi-p.e. charge distribution



	SK PMT	B&L PMT	50cm HPD (20cm)
1PE T resolution $\sigma$ (ns)	2.1	1.1	1.4 (1.1)
FWHM (ns)	7.3	4.1	3.4 (3.3)
1PE Q resolution $\sigma$ /mean	53%	35%	16% (12%)
Peak-to-Valley ratio	2.2	4.3	3.9 (5.2)

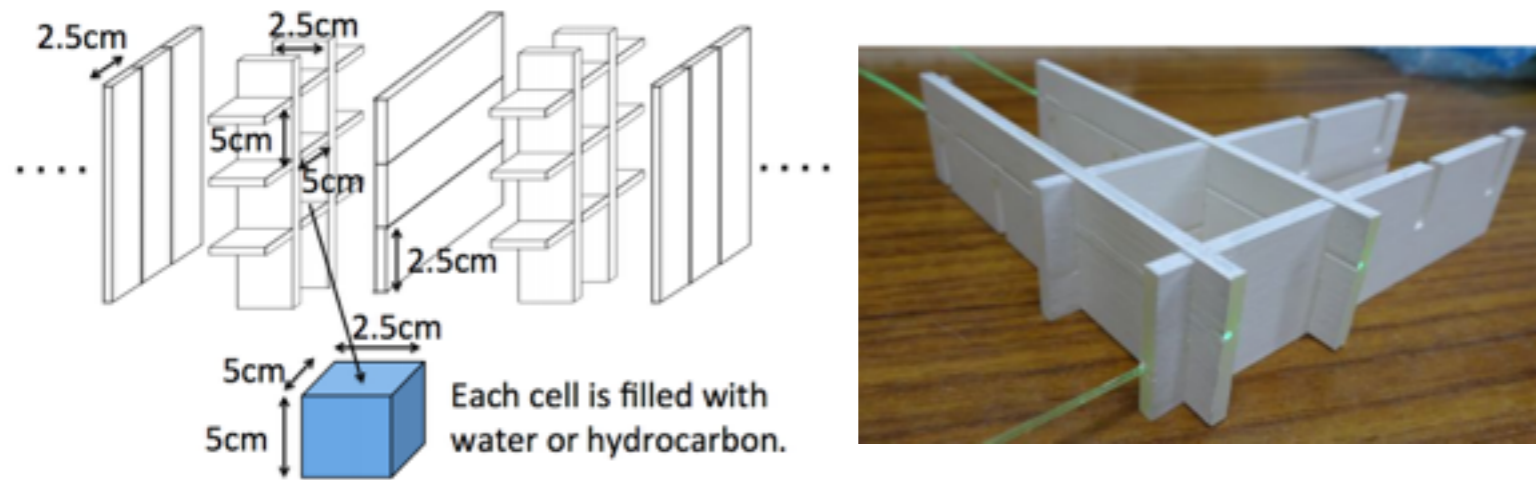
# Photo Sensors



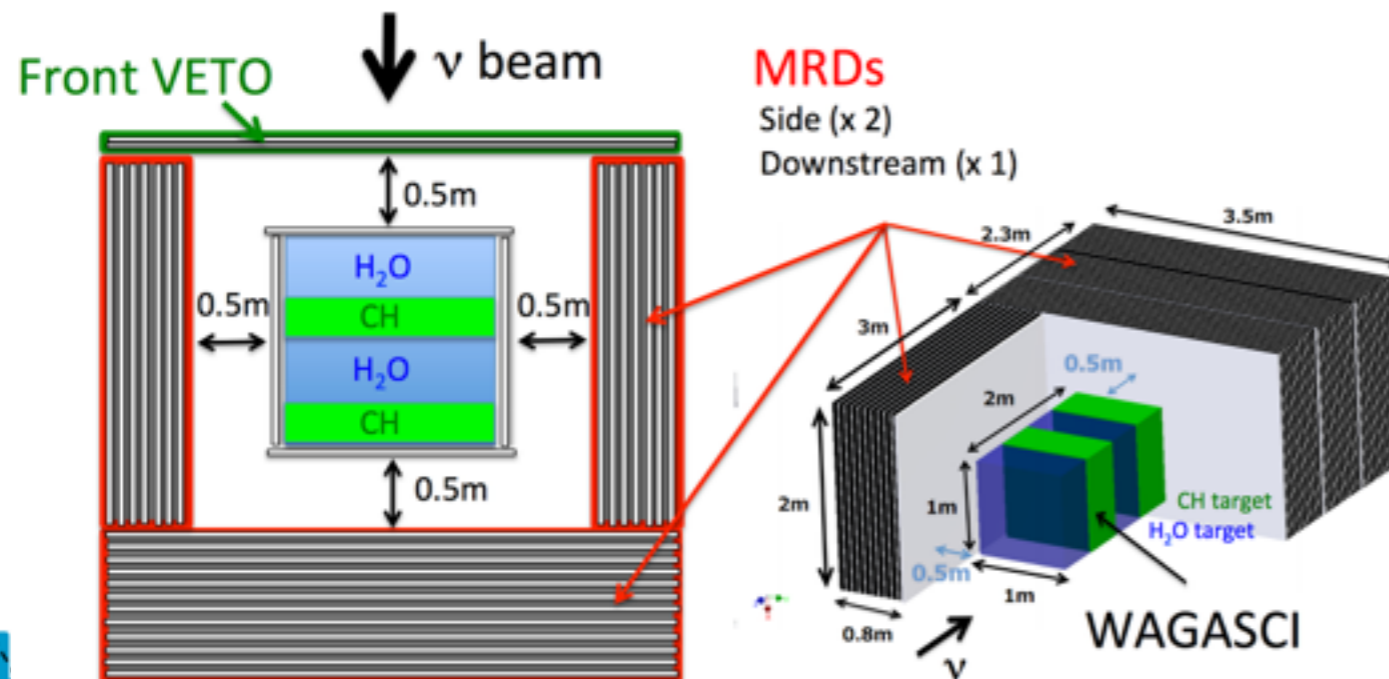
# Near Detector Development

New/Upgraded Detectors in the Existing ND280 Complex

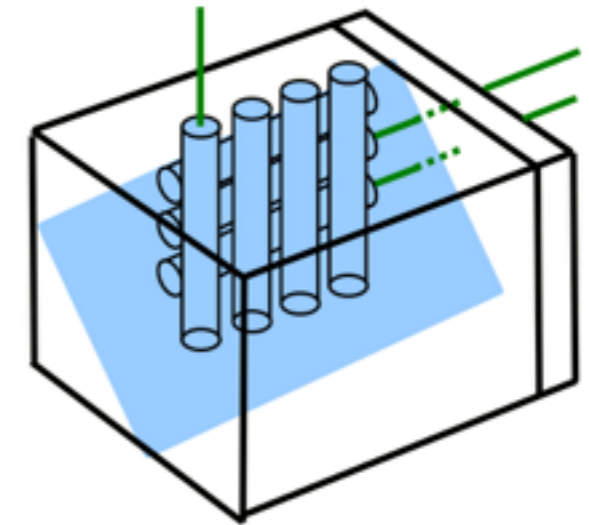
## WAGASHI



Water dominated target  
4π acceptance



Water based  
liquid scintillator



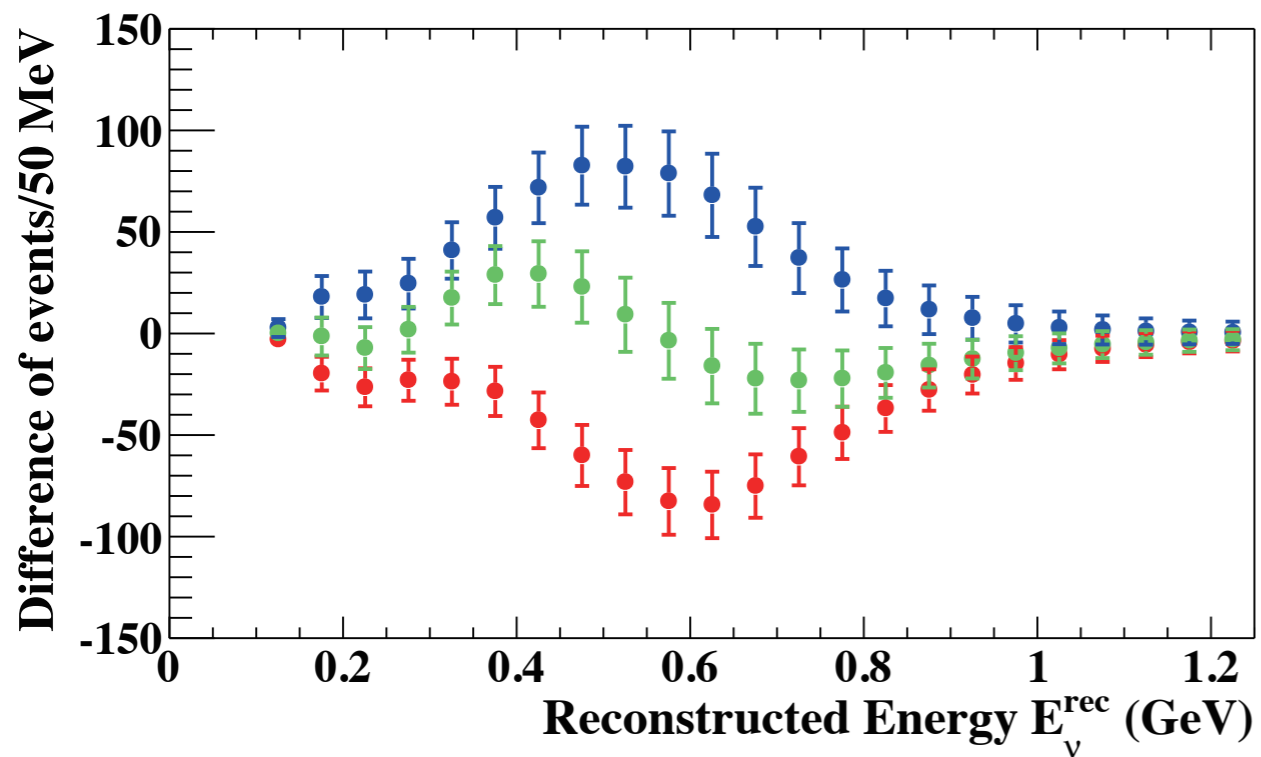
An alternative approach is to improve knowledge of neutrino-nucleus interactions

e.g. High Pressure Gas TPC



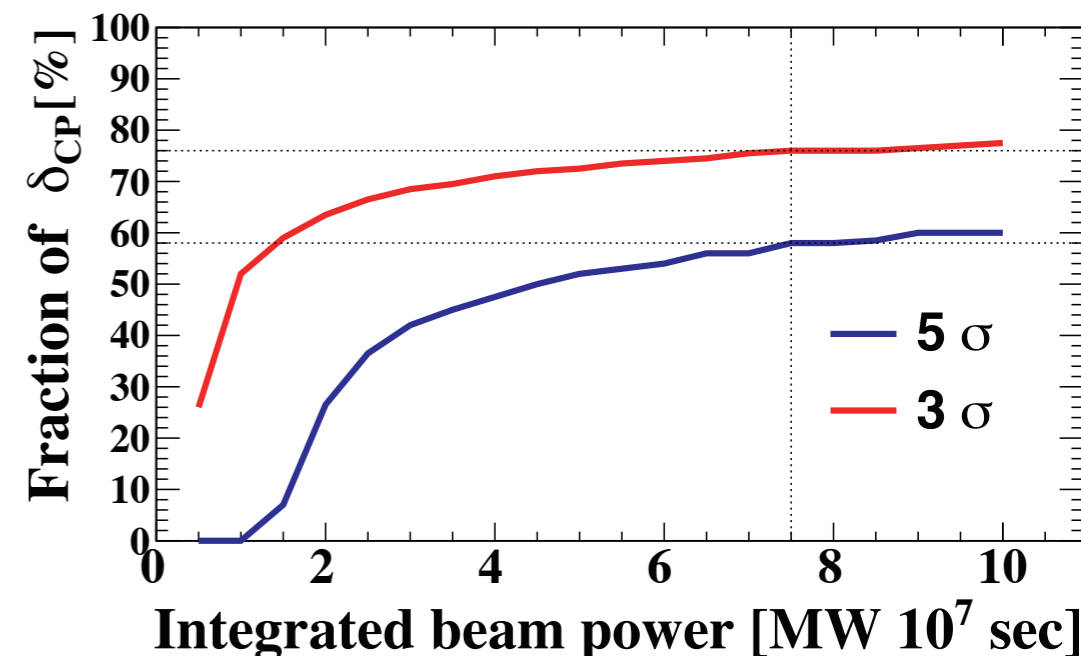
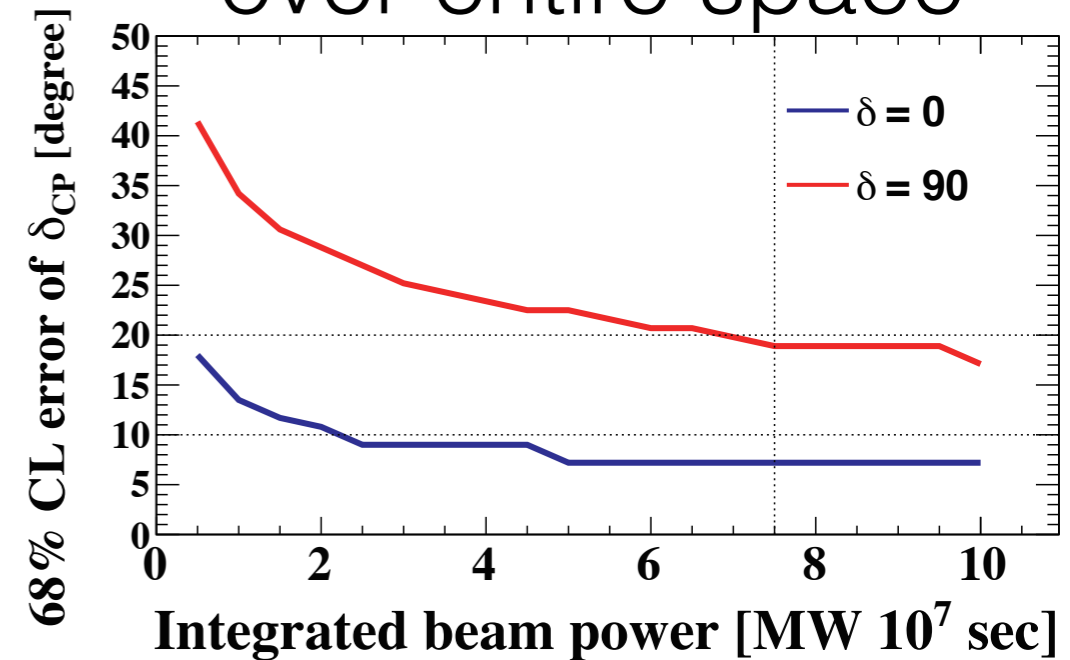
# Leptonic CP Violation

Measure  $\delta_{CP}$  by comparing data with beam in  $\nu$ -mode with anti- $\nu$  mode



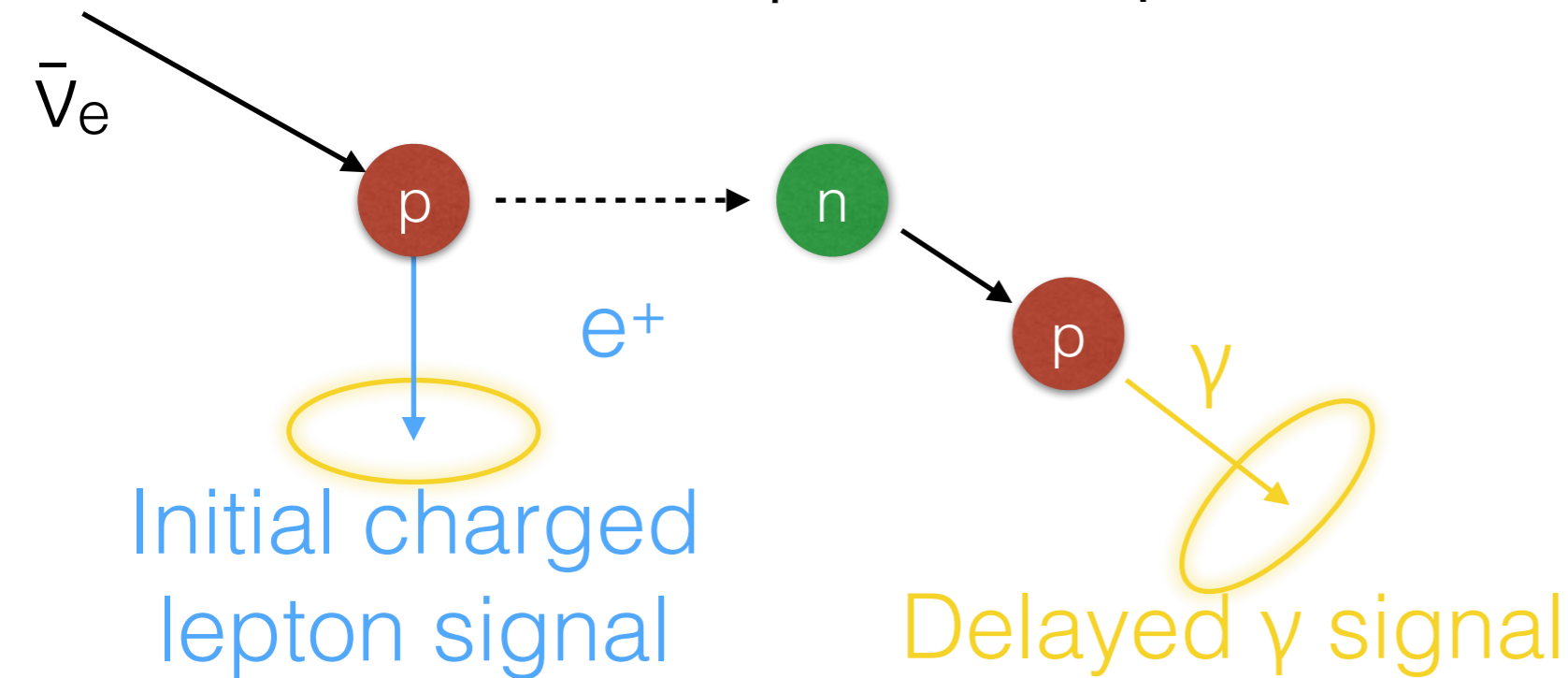
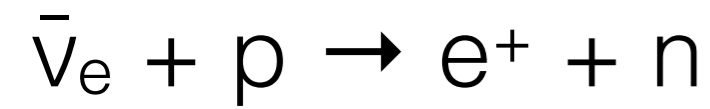
CP violation can be established at  $3\sigma$  ( $5\sigma$ ) for 76% (58%) of  $\delta_{CP}$  space.

$\delta_{CP}$  measured to  $< 20^\circ$  over entire space





# Neutron Capture on Hydrogen



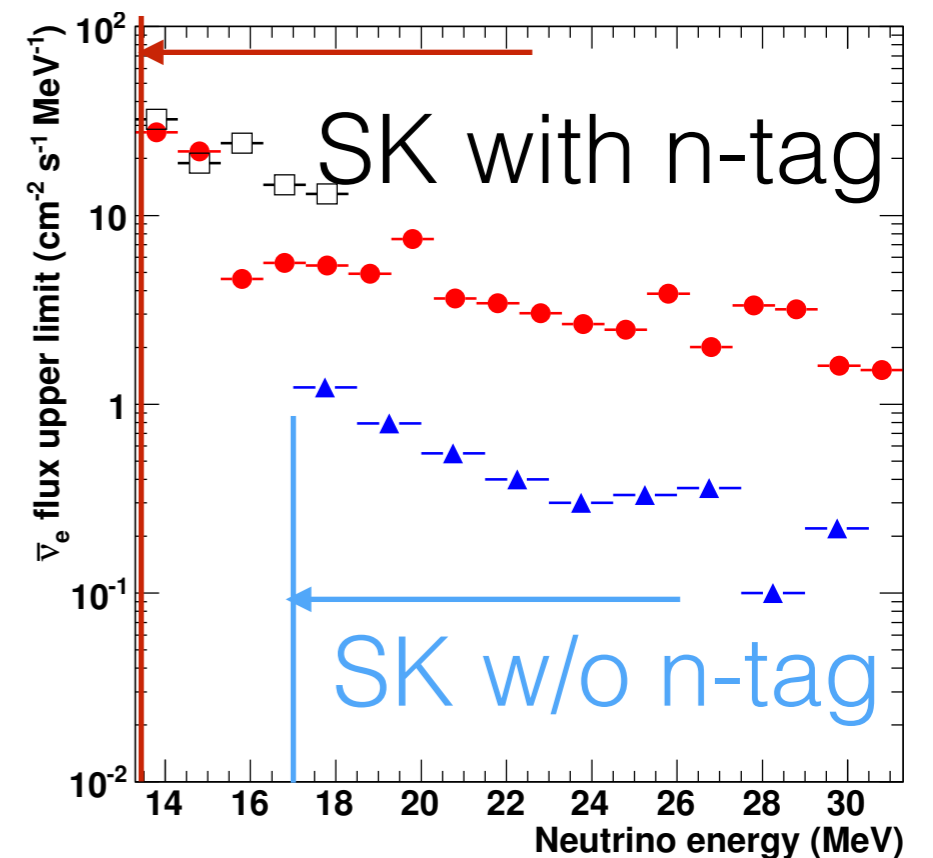
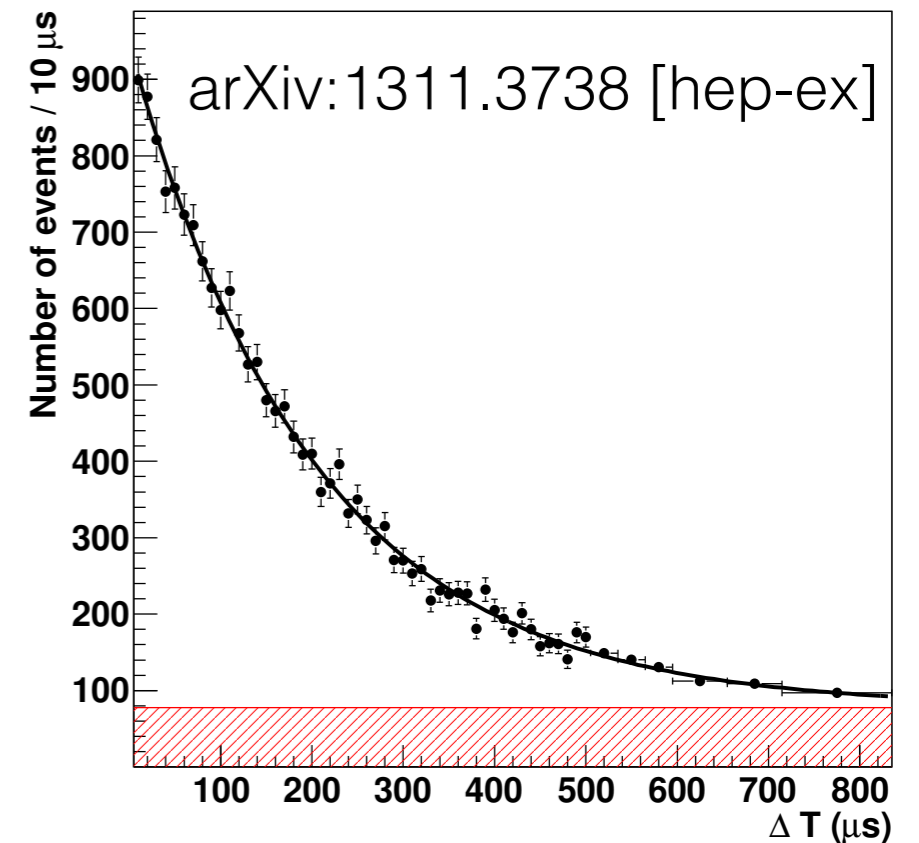
200  $\mu\text{s}$  capture time

$E_\gamma = 2.2 \text{ MeV}$

Low light yield

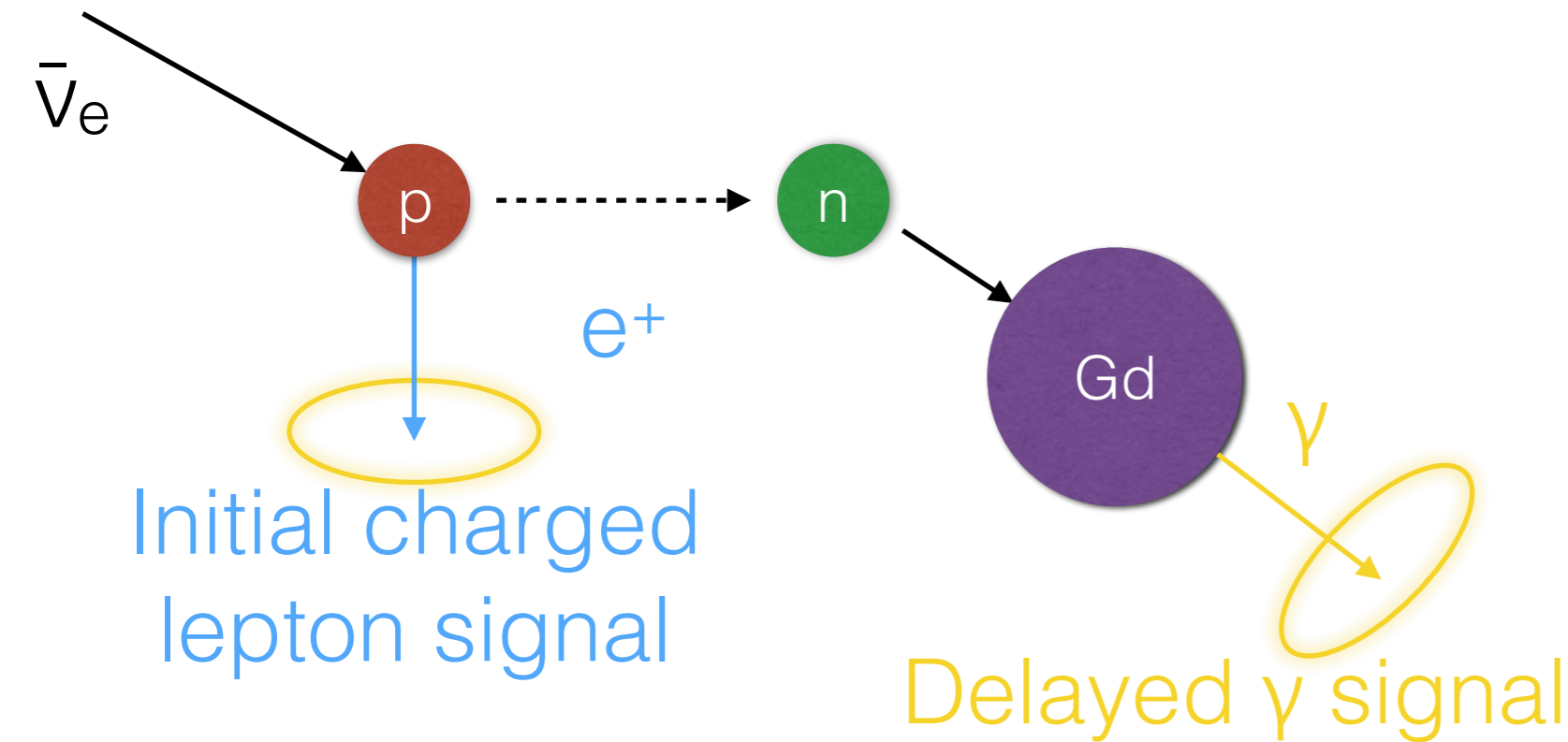
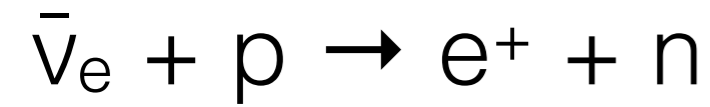
Close to or below trigger threshold

Low detection efficiency ( $\sim 18\%$ )



# Neutron Capture on Gadolinium

arXiv:0811.0735 [hep-ex]

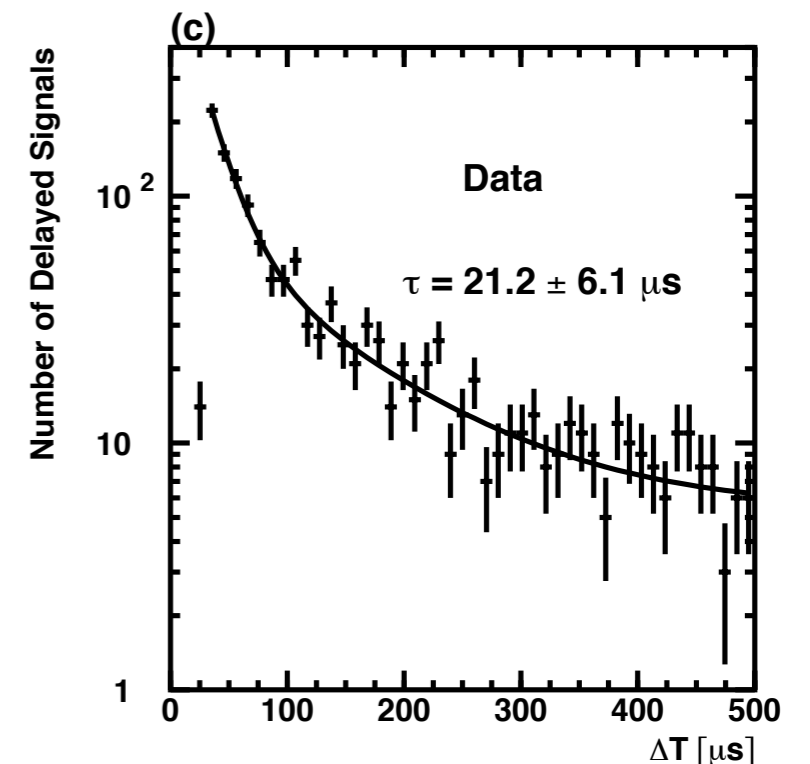
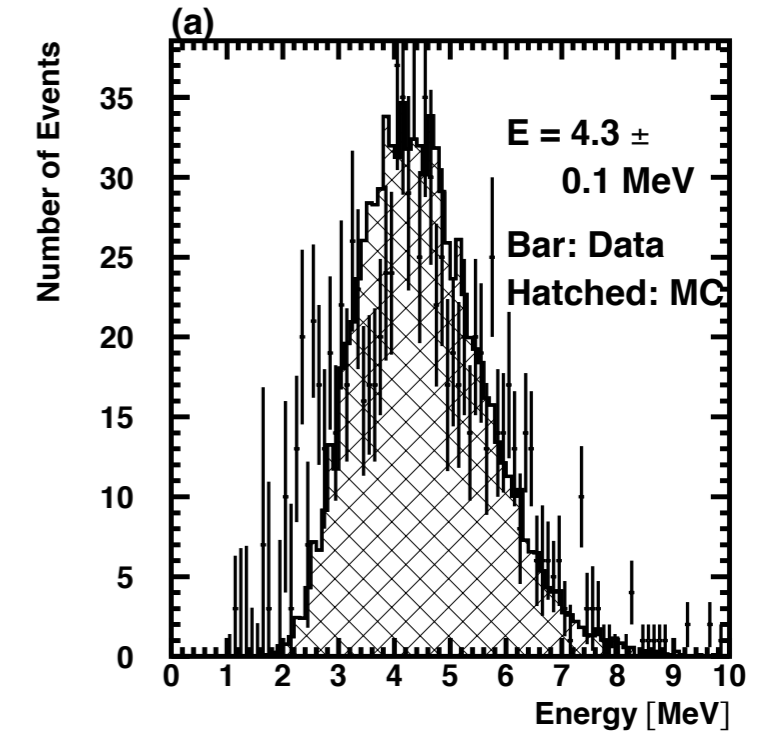


20  $\mu$ s capture time

$E_\gamma \sim 8$  MeV cascade ( $\sim 4$  MeV visible)

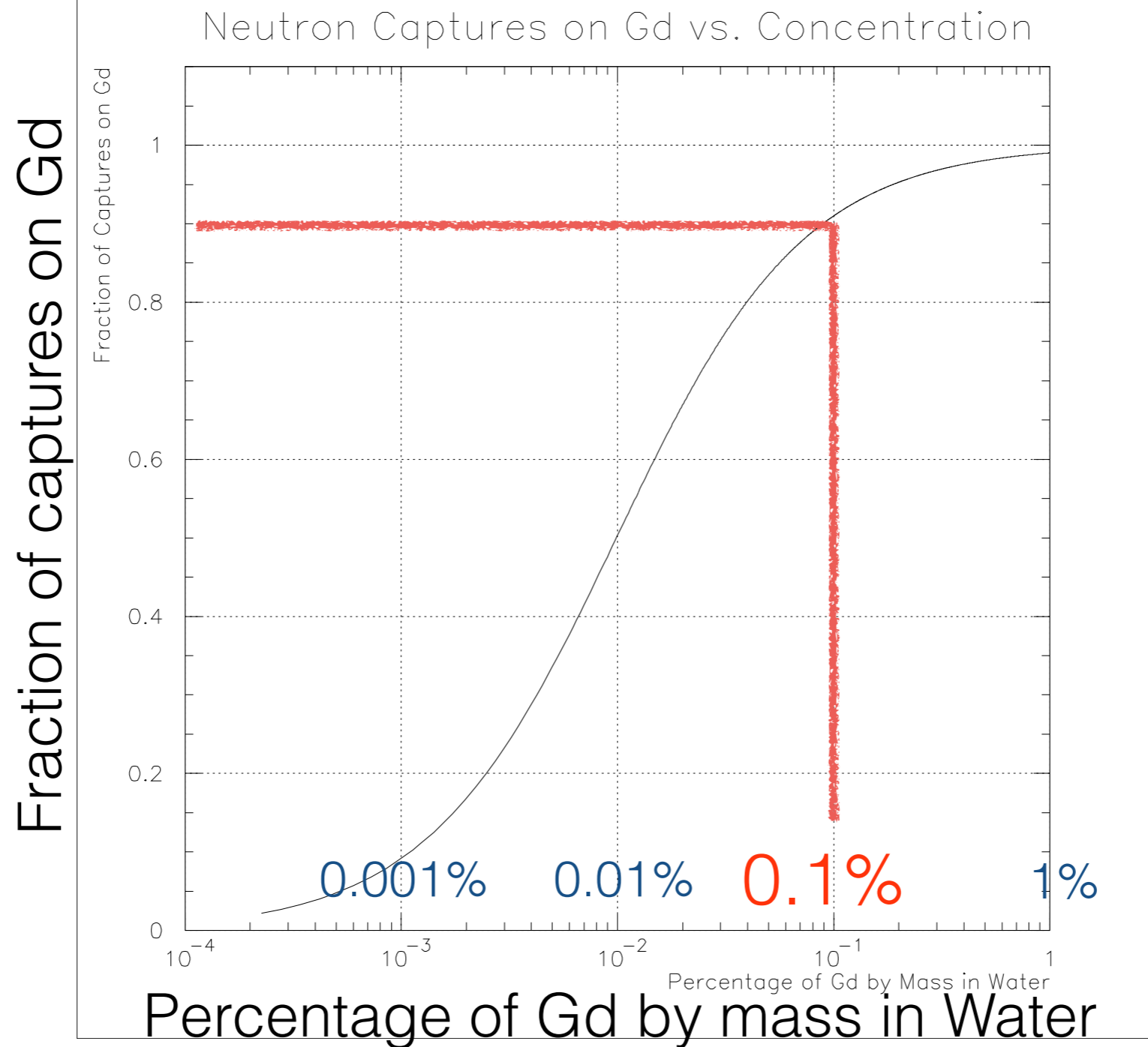
Fast capture time (small  $\Delta T$  window)

Higher energy  $\gamma$  signal



# Neutron Capture on Gadolinium

Cross section for neutron capture: Gd (49,700 b), H (0.3 b)



0.1% Gd fraction gives 90% neutrons captured on Gd.

# Applications: Supernova Relic Neutrinos

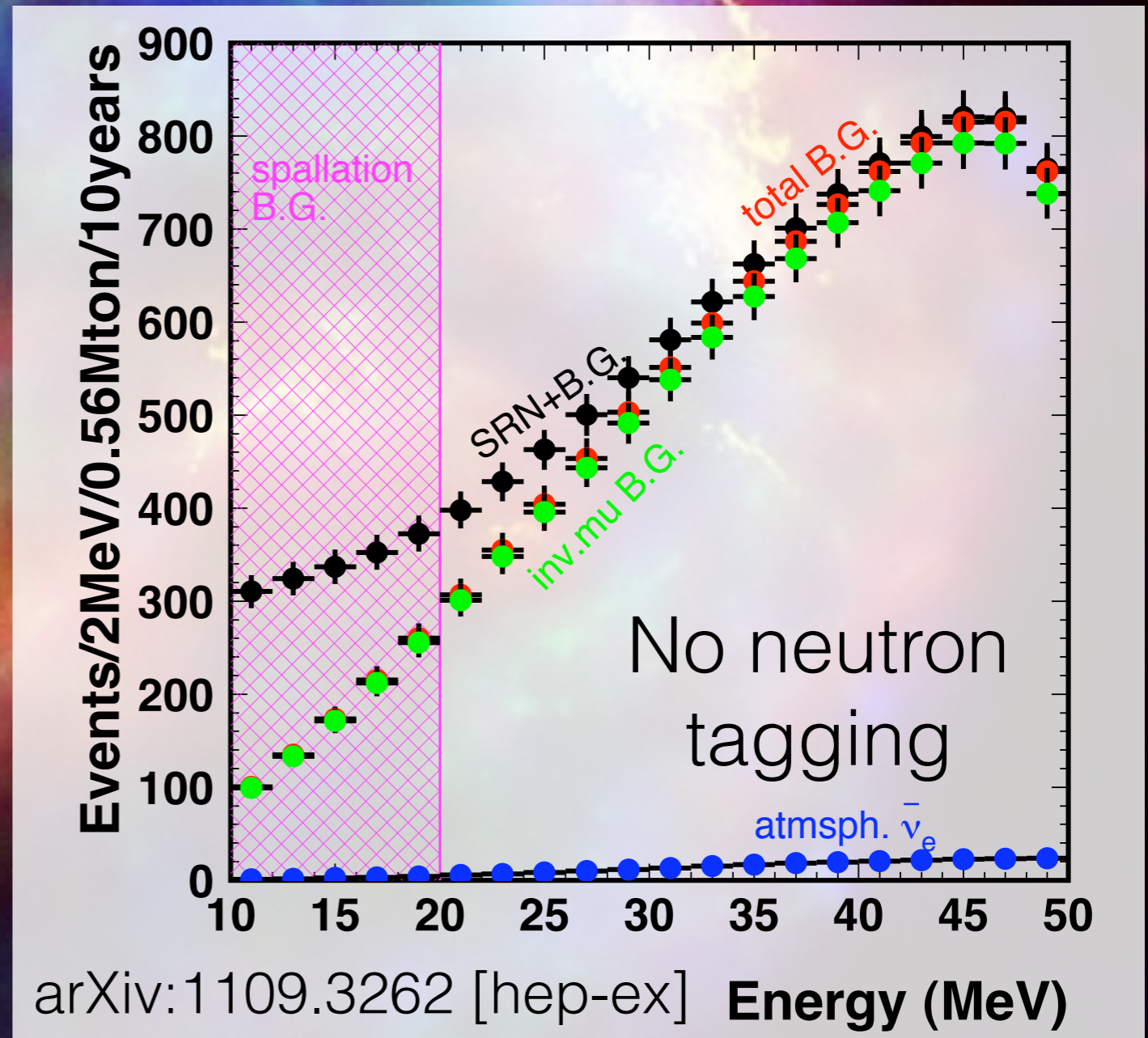
A low energy example

Directly observable local supernova are all too rare

Alternative is to measure diffuse supernova background DSNB/SRN

Very low rate

Large backgrounds



# Applications: Supernova Relic Neutrinos

A low energy example

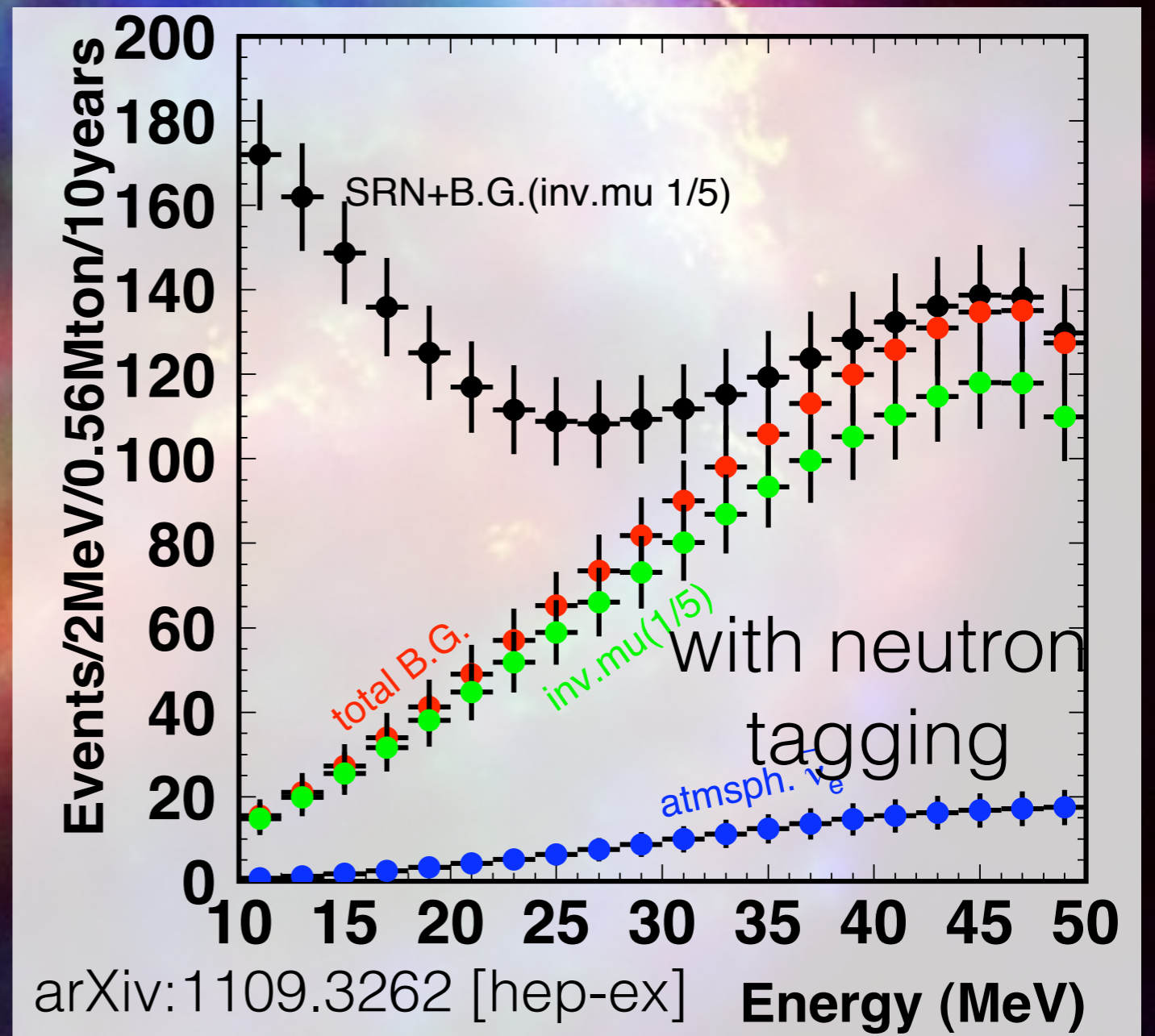
Directly observable local supernova are all too rare

Alternative is to measure diffuse supernova background DSNB/SRN

Very low rate

Large backgrounds

Removed by requiring coincidence with neutron



A few clean events per year in SK  
~100s per year in HK

# Tank Parameters

	KAM	SK	HK-1TankHD
Depth	1,000 m	1,000 m	650 m
Dimensions of water tank			
diameter	15.6 m $\phi$	39 m $\phi$	74 m $\phi$
height	16 m	42 m	60 m
Total volume	4.5 kton	50 kton	258 kton
Fiducial volume	0.68 kton	22.5 kton	187 kton
Outer detector thickness	$\sim$ 1.5 m	$\sim$ 2 m	1 $\sim$ 2 m
Number of PMTs			
inner detector (ID)	948 (50 cm $\phi$ )	11,129 (50 cm $\phi$ )	40,000 (50 cm $\phi$ )
outer detector (OD)	123 (50 cm $\phi$ )	1,885 (20 cm $\phi$ )	6,700 (20 cm $\phi$ )
Photo-sensitive coverage	20%	40%	40%
Single-photon detection efficiency of ID PMT	unknown	12%	24%
Single-photon timing resolution of ID PMT	$\sim$ 4 nsec	2-3 nsec	1 nsec

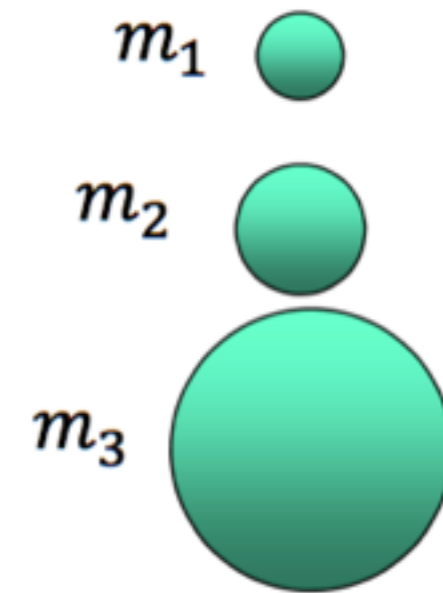
# Three Flavor Mixing in Lepton Sector

Weak eigenstates



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{MNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

mass eigenstates



$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & +c_{23} & +s_{23} \\ 0 & -s_{23} & +c_{23} \end{pmatrix} \begin{pmatrix} +c_{13} & 0 & +s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & +c_{13} \end{pmatrix} \begin{pmatrix} +c_{12} & +s_{12} & 0 \\ -s_{12} & +c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$(c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij})$$

$$\theta_{12}, \theta_{23}, \theta_{13}, \delta,$$

$$\Delta m_{21}^2, \Delta m_{32}^2, \Delta m_{31}^2$$

$$*\Delta m_{ij}^2 = m_i^2 - m_j^2$$

Out of three  $\Delta m^2$ 's, number of free parameters is two. ( $\Delta m_{31}^2 = \Delta m_{21}^2 + \Delta m_{32}^2$ )

# $\nu_\mu$ disappearance probability

$\theta_{13}=0$  case

$$P_{\mu \rightarrow x} \approx 1 - \sin^2 2\theta_{23} \cdot \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right)$$

For non-zero  $\theta_{13}$

$$P_{\mu \rightarrow x} \approx 1 - \left( \cos^4 \theta_{13} \cdot \sin^2 2\theta_{23} + \sin^2 \theta_{23} \cdot \sin^2 2\theta_{13} \right) \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$
$$\Delta m^2 \approx \Delta m_{32}^2 \approx \Delta m_{31}^2$$

Maximal disappearance occurs at  $\sin^2 \theta_{23} = \frac{1}{2\cos^2 \theta_{13}} = 0.513$



# more on $\nu_\mu$ disappearance

- $\nu_\mu$  disappearance probability in vacuum

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_\mu) = & 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \Delta_{atm} \\
 & + \left\{ c_{13}^2 (c_{12}^2 - s_{13}^2 s_{23}^2) \sin^2 2\theta_{23} + s_{12}^2 s_{23}^2 \sin^2 2\theta_{13} - c_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \cos \delta \right\} \\
 & \times \left\{ \frac{1}{2} \sin 2\Delta_{solar} \sin 2\Delta_{atm} + 2 \sin^2 \Delta_{solar} \sin^2 \Delta_{atm} \right\} \\
 & - \left\{ \sin^2 2\theta_{12} (c_{23}^2 - s_{13}^2 s_{23}^2)^2 + s_{13}^2 \sin^2 2\theta_{23} (1 - c_\delta^2 \sin^2 2\theta_{12}) \right. \\
 & + 2s_{13} \sin 2\theta_{12} \cos 2\theta_{12} \sin \theta_{23} \cos 2\theta_{23} c_\delta \\
 & - \frac{1}{2} c_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \cos \delta s_{23}^2 s_{12}^2 \\
 & \left. + \sin^2 2\theta_{23} c_{13}^2 (c_{12}^2 - s_{13}^2 s_{12}^2) + s_{13}^2 s_{23}^2 \sin^2 2\theta_{13} \right\} \times \sin^2 \Delta_{solar}
 \end{aligned} \tag{26}$$

$s_{ij}$	=	$\sin \theta_{ij}$
$c_{ij}$	=	$\cos \theta_{ij}$
$c_\delta$	=	$\cos \delta$
$\Delta_{atm}$	=	$\frac{\Delta m_{13}^2 L}{4 E_\nu}$
$\Delta_{solar}$	=	$\frac{\Delta m_{21}^2 L}{4 E_{\nu u}}$

T2K:  $L = 295$  km,  $E_\nu$  peaks at  $\sim 0.6$  GeV  $\rightarrow \sin^2 \Delta_{solar} \sim 0, \sin 2\Delta_{atm} \sim 0$

$$P(\nu_\mu \rightarrow \nu_\mu) \sim 1 - \left( \underbrace{\cos^4 \theta_{13} \cdot \sin^2 2\theta_{23}}_{\text{Leading-term}} + \underbrace{\sin^2 2\theta_{13} \cdot \sin^2 \theta_{23}}_{\text{Next-to-leading}} \right) \cdot \sin^2 \frac{\Delta m_{31}^2 \cdot L}{4E}$$

$\nu_\mu$  disapp. probability depends on  $\sin^2 2\theta_{13} \cdot \sin^2 \theta_{23}$  to second order  
 $\rightarrow$  Can be used in combination with known  $\sin^2 2\theta_{13}$  to resolve the  $\theta_{23}$  octant

# $\nu_e$ appearance probability

## Leading term only

$$P_{\mu \rightarrow e} \approx \sin^2 \theta_{23} \cdot \sin^2 2\theta_{13} \cdot \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$

$$\Delta m^2 \approx \Delta m_{32}^2 \approx \Delta m_{31}^2$$

# $\nu_e$ appearance probability (exact formula in vacuum)

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \boxed{4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31}} \quad \text{Leading term} \quad \boxed{\theta_{13}} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \quad \boxed{\text{CPC}} \\
 & - \boxed{8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}} \quad \boxed{\text{CPV}} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} \quad \boxed{\text{Solar}}
 \end{aligned}$$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

replace  $\delta$  by  $-\delta$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

**CP violating term introduced by  
interference among three-flavor mixing**

# $\nu_e$ appearance probability with 1<sup>st</sup> order matter effect

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \approx & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \right) && \text{Leading including matter effect} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CP conserving} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CP violating} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} && \text{Solar} \\
 & - 8c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \frac{aL}{4E} \cos \Delta_{32} \sin \Delta_{31} && \text{Matter effect (small)}
 \end{aligned}$$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

$$a \equiv 2\sqrt{2}G_F n_e E = 7.56 \times 10^{-5} \text{eV}^2 \frac{\rho}{\text{gcm}^{-3}} \frac{E}{\text{GeV}}$$

replace  $\delta$  by  $-\delta$  and  $a$  by  $-a$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

# $\nu_e$ appearance probability

approximation at around oscillation maximum

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right) \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2\sin^2 \theta_{13}) \right)$$

Leading including matter effect

$$- \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right) \sin \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)$$

CP violating

replace  $\delta$  by  $-\delta$  and  $a$  by  $-a$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

$$a \equiv 2\sqrt{2}G_F n_e E = 7.56 \times 10^{-5} \text{eV}^2 \frac{\rho}{\text{gcm}^{-3}} \frac{E}{\text{GeV}}$$

